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ABSTRACT

ELECTRICAL, ELECTRONIC and OPTICAL PROPERTIES OF MoS$_2$ & WS$_2$

by

Weitao Tang

Two dimensional materials such as graphene, boron nitride and transition metal dichalcogenide (TMDCs) monolayers have arisen as a new class of materials with unique properties at monolayer thickness. Their electrical, electronic and optical properties are of great importance for a variety of applications in optoelectronics as light emitters, detectors, and photovoltaic devices. This work focuses on MoS$_2$ and WS$_2$, which are two important members of the TMDC class of materials. The properties of monolayer MoS$_2$ and WS$_2$ are investigated as well as the properties of bulk MoS$_2$ and WS$_2$ to provide an understanding of their significant difference.

A detailed investigation of the electrical and electronic properties including temperature dependent resistivity, contact resistance, band structure and electronic excitation are discussed in this work. The temperature dependence of the energy gap for monolayer MoS$_2$ and WS$_2$ is also investigated. The optical properties of MoS$_2$ and WS$_2$, in the range of 1.5-3.0 eV, are modeled utilizing MATLAB simulations. Simulations of the optical properties of these materials on silicon, gold and fused silica substrates are presented. The optical band gap is simulated in order to compare with the electrical band gap. The future applications of both the materials are discussed.
ELECTRICAL, ELECTRONIC and OPTICAL PROPERTIES OF MoS$_2$ & WS$_2$

by

Weitao Tang

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“To my parents who keep supporting and encouraging me all these years and never give up the immature me.”
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CHAPTER 1

INTRODUCTION

1.1 Introduction

Two-dimensional materials such as graphene, boron nitride and transition metal dichalcogenide (TMDCs) monolayers have drawn enormous interest in materials science because of their distinctive properties at monolayer thickness. This work focuses on MoS$_2$ and WS$_2$, which are two important members of the TMDC class of materials. Their electrical, electronic and optical properties are promising for a variety of applications in optoelectronics as light emitters, detectors, and photovoltaic devices. The properties of monolayer MoS$_2$ and WS$_2$ are investigated as well as the properties of bulk MoS$_2$ and WS$_2$ to give a better understanding of their significant difference.

In the first part of this study, a brief introduction to the molecular structure of the two materials is presented in Section 1.2. In Chapter 2, the electrical properties of the two materials are discussed including the temperature dependent resistivity and contact resistance. In Chapter 3, their electronic properties such as band structure, electronic excitation and temperature dependence of the band gap are investigated. The optical properties including dielectric constants, complex reflective index, optical band gap and spectral properties are investigated in Chapter 4 utilizing MATLAB simulations. Also, the spectral properties of monolayer MoS$_2$ and WS$_2$ on silicon, gold and fused silica are investigated to promote future applications in optical and optoelectronic devices. Chapter 5 presents a general conclusion of this study and provides some recommendations for future directions.
1.2 Overview of MoS$_2$ & WS$_2$

The layered transition metal dichalcogenides (TMDCs) i.e. MX$_2$ are typical 2D semiconductors with atomic scale thickness. M refers to a transition metal atom such as Mo, W, etc. while X refers to a chalcogen atom. Monolayer TMDCs present distinctive properties from bulk TMDCs and thus draw enormous interest.

The TMDC monolayers are direct band gap semiconductors while bulk TMDCs are indirect band gap with a smaller band gap. The lack of inversion center also allows high degree of freedom for charge carriers or k-valley index and thus lead to valleytronics [1]. In bulk TMDCs, the TMDC layers are combined with each other, layer by layer, with Van-der-Waals force, and the week interaction between layers greatly influences the properties of the bulk TMDCs. TMDCs can also combine with other 2D materials to form Van-der-Waals heterostructure devices through week Van-der-Waals force[2].

1.2.1 Monolayer Structure

The bulk TMDCs are stacked by monolayer TMDCs through Van-der-Waals force. Thus, in order to understand the structure of bulk TMDCs, the structure of monolayer TMDCs need to be studied first.

As shown in Figure1.1, the monolayer TMDCs have interior layered structure. One layer of transition metal atoms is sandwiched between two layers of chalcogen atoms. The bonds between the transition metal atom and transition metal atom, chalcogen atoms and chalcogen atoms, transition metal atoms and chalcogen atoms are all covalent bond, and thus, much more stable compared with the week Van-der-Waals force between bulk layer.
Both the MoS$_2$ monolayer and WS$_2$ monolayer have a thickness of 0.65nm[4]. The ultrathin thickness of the two materials enables more light to pass through the structure and thus reduces the optical absorptance. Unlike the most commonly studied 2D material: graphene, these materials have intrinsic direct band gap, and thus are promising in fabricating new transistor channel as an ideal switch material[5]. It should be noted that the direct band structure of both the materials are sensitive to strain[6, 7]. The direct band structure in WS$_2$ can be transformed to indirect band gap with only 1% strain[7]. The electronic properties of these materials will be further discussed in Chapter 2.

1.2.2 Bulk Structure

Bulk MoS$_2$ and Bulk WS$_2$ are both inorganic compounds. They are all classified as metal dichalcogenides and have similar structure.
Figure 1.2 presents the structure of bulk TMDCs, which includes MoS$_2$ and WS$_2$. Bulk TMDCs contain three types of structure: 1T, 2H and 3R. The three structures represent different combination of single layers by week Van-der-Waals force. It should be noted that bulk TMDCs often consist of all these three structures, and is not formed by only one of the structures. The 2H structure is the most abundant structure in the bulk crystal[8]. In the 2H structure, the metal atoms stay in the center of a trigonal prismatic coordination sphere which consists of one metal atom and six chalcogen atoms, and each chalcogen atom is bonded with three metal atoms. Because each layer is combined by week Van-der-Waals force, bulk TMDCs can be exfoliated to form single or few layer structure/s.
Table 1.1 Physical Properties of Bulk MoS$_2$ and WS$_2$

<table>
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<th>Molybdenum disulfide</th>
<th>Tungsten disulfide</th>
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<tr>
<td>Chemical formula</td>
<td>MoS$_2$</td>
<td>WS$_2$</td>
</tr>
<tr>
<td>Molar Mass</td>
<td>160.07 g/mole [9]</td>
<td>247.98 g/mole [9]</td>
</tr>
<tr>
<td>Density</td>
<td>5.06/cm$^3$ [9]</td>
<td>7.5 g/cm$^3$ [9]</td>
</tr>
<tr>
<td>Melting point</td>
<td>1,185 °C decompose</td>
<td>1250°C decompose</td>
</tr>
<tr>
<td>Crystal structure</td>
<td>hP6, space group P6 3/mmc, No 194 (2H)</td>
<td>hR9, space group R3m, No 160 (3R)</td>
</tr>
<tr>
<td>Lattice constants</td>
<td>a = 0.3160nm, c/a= 3.89 (2H) [10]</td>
<td>3.154nm, c/a=3.920 (2H) [10]</td>
</tr>
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Table 1.1 presents the physical properties of bulk MoS$_2$ and WS$_2$. Bulk MoS$_2$ crystal is a silvery black solid and bulk WS$_2$ crystal is dark gray. They both present dry lubricant properties. The monolayer TMDCs can be produced from the bulk crystal by chemical vapor deposition, liquid exfoliation and mechanical exfoliation; the last method provides much cleaner, more pristine and higher quality structures, which are more suitable for fundamental studies and potential applications[6].
CHAPTER 2
ELECTRICAL PROPERTIES OF MoS₂ & WS₂

In Chapter 1, a succinct introduction to the structures of the monolayer and bulk MoS₂ and WS₂ are provided. In this chapter, a literature review of the electrical properties of MoS₂ and WS₂ are presented. The temperature dependence of electrical properties such as sheet conductivity and field effect mobility are discussed to study the conduction mechanism in order to understand the performance of electrical devices by MoS₂ and WS₂ monolayers.

2.1 Background Knowledge

2.1.1 Hall Effect
Hall effect is the most common method used to characterize the electrical properties of conductors and semiconductors. It is a simple, low cost and time saving method that is used to characterize the carrier concentration, conductivity, resistivity and the mobility, as function of temperature, in industry or laboratories. Thus, it is necessary to give an introduction to Hall effect for a better understanding of the experimental results.

Hall effect is due to the nature of the current in a conductor. Figure 2.1 presents an illustration of the Hall effect. Current is the flow of charge carriers in the conductor. These charge carriers can be electrons, holes and ions. When there is a voltage drop applied across a material, the electrical field created by the voltage drop applies electrical forces to the free carriers inside the material, and thus, the carriers move at certain direction depending on the direction of the electric field and the charge type of the carriers. It is obvious that all the electrical properties are fundamentally the properties of the charge carriers. When a
magnetic field is applied vertically to the current, the magnetic field will apply a force to
the moving carriers due to electromagnetic induction, and this force is called Lorentz force.

![Figure 2.1 Illustration of Hall effect.](https://en.wikipedia.org/wiki/Hall_effect)

The direction of force is vertical to the direction of carrier flow following Maxwell
equations. This results in carriers that are generated at the boundary of the material. This
also leaves equal and opposite charges exposed on the other side of the boundary due to
lack of charge carriers. The asymmetric distribution will generate another voltage drop and
applies another electric force to the moving carriers and the direction of the force will be
opposite to the direction of the Lorentz force. The carriers generated at the boundary will
increase with time; also, the electric field due to the opposite carriers at the boundary and
electric force applied to the moving carriers will both increase. At certain times, the electric
force by the opposite charge on both sides of the boundary will neutralize the Lorentz force
induced by the magnetic field, and the moving carriers will be able to move in the original
direction regardless of the former two forces. If we measure the current and the voltage in the flow direction as well as vertical to the flow, we can calculate the corresponding electrical properties of the material with the following equations.

For a certain carrier in a material, there are two forces in the y direction; one is the electrical force by the opposite charge on both sides of the boundary, while the other is the Lorentz force by the magnetic field. The total force is given by Equation 2.1:

\[ F = q*[E + (v \times B)] \quad (2.1) \]

As mentioned above, the total force in the y direction should be zero to ensure that the carriers do not move in the y direction. This leads to Equation 2.2:

\[ 0 = E_y - v_x \times B_z \quad (2.2) \]

The electric field in the y direction is generated by the voltage in the y direction as given by Equation 2.3:

\[ E_y = \frac{V_H}{w} \quad (2.3) \]

Combining Equations 2.2 and 2.3 leads to Equation 2.4:

\[ V_H = v_x \times B_x \times w \quad (2.4) \]
For the electron current, we have Equation 2.5 where $n$ is the current density:

$$I_x = ntw (-v_x)(-e)$$  \hspace{1cm} (2.5)

Combing Equations 2.4 and 2.5 to exclude $w$, Equation 2.6 is:

$$V_H = \frac{I_x B_z}{nt e}$$  \hspace{1cm} (2.6)

and it can be transformed to Equation 2.7:

$$n = \frac{I_x B_z}{V_H te}$$  \hspace{1cm} (2.7)

The current in $x$ direction is $I_x$, the magnetic field in $z$ direction is $B_z$, the Hall voltage and thickness $t$ are all measurable while $e$ is a constant. Thus, by using the above equations, the carrier density $n$ can be calculated.

2.1.2 Metal–Oxide–Semiconductor Field-Effect Transistor

In order to perform experimental research on the electrical properties of MoS$_2$ and WS$_2$, the corresponding devices need to be fabricated first. In most of the experimental research on TMDCs, Metal–Oxide–Semiconductor Field-Effect Transistor is the most commonly chosen device for fabrication. Thus, a brief introduction to the MOSFET is necessary.
MOSFET is a special type of transistor which is widely used in circuits. The main advantage of MOSFET over other transistors is that it only requires a diminutive current to turn on and deliver a large current. MoS₂-based FETs have exhibited high ON/OFF ratio - higher than $10^8$ which means that the process of turning the switch can be performed in a very short time; thus, this indicates the potential application of MoS₂ in future electronic devices[11, 12]. Meanwhile, WS₂-based FETs show the highest electron mobility among all the TMDCs[13].

![Schematic of a simple MOSFET](image)

**Figure 2.2** Schematic of a simple MOSFET

As shown in Figure 2.2, a MOSFET has four terminals, which are source(S), gate(G), drain(D) and body(B), while normal field effect transistors only have three terminals, which are gate, source and drain. The conductor and insulator layer form the gate together,
while source, drain and body are all formed by the semiconductor. It is notable that drain and source should be the same type of semiconductor while the type of semiconductor in the body should be different from the former. Metal in the MOSFET is always a misnomer since polycrystalline silicon has been adapted as the gate material. This also applies to the oxide in the MOSFET, which can be replaced by other dielectric materials. In normal situation, the different semiconductor types between drain and body cause a depletion region at the boundary between them where no charge carriers can pass. For instance, assuming that the drain and source are p-type semiconductors while body is an n-type semiconductor, the electrons in n-type semiconductor body will fill the holes in p-type semiconductor drain and source to a certain depth; thus, regions with no charge carriers are created between drain and body. The switch between drain and source is off.

2.2 Experimental Research

2.2.1 MoS₂

Charge carrier mobility in bulk MoS₂, at room temperature, has been studied by Fivaz and Mooser in 1967. Their results show that the charge carriers can reach mobility in the range of 200-500 cm²/Vs [14]. Recently, experiments have been carried out on monolayer MoS₂ at room temperature. It should be noted that the mobility decreased to 0.1-10 cm²/Vs [11, 15]. The main reason for the decrease is the charge traps at the interface between MoS₂ and the substrate[16].

In order to increase the mobility of MoS₂ in FET at room temperature, the understanding of the transition mechanism is very important. In 2013, Radisavljevic et al.
reported their study on the mobility measurements in monolayer MoS$_2$, based on Hall effect[17]. In their study, the mobility of MoS$_2$ in FET are measured at different temperatures, and the results are fitted to the theoretical equations to study the current conduction mechanism.

Figure 2.3 presents the structure of MoS$_2$ FET which is commonly fabricated and studied. In this MOSFET structure, M is MoS$_2$, O is SiO$_2$, S represents Si corresponding to Figure 2.2. The degenerately-doped Si wafer back-gate is covered by 270 nm SiO$_2$. 
Figure 2.3 Structure of MoS$_2$ FET. (a) Optical image. (b) Cross section view. $V_{ds}$ is drain-source voltage. $I_{ds}$ is drain-source current. $V_{bg}$ is back-gate voltage [17].
Figure 2.4 Temperature dependence of electrical properties of monolayer MoS$_2$

(a). Conductance $G$ versus back-gate voltage at different temperature. (b) Conductance $G$ versus temperature at different back-gate voltage. (c) Mobility as function of temperature [17].
The measurements in Figure 2.4 have been carried out by Hall effect. It is described in Section 2.1.1.

In Figure 2.4 a, the variation of conductance with back-gate voltage, for various device temperature is shown. For each back-gate voltage, the corresponding charge concentration can be calculated using the following equations:

\[ n_{2d} = C_{ox1} \times \Delta V_{bg}/e \]  

\[ C_{ox1} = \varepsilon_0 \varepsilon_r d_{ox1} \]  

\[ \Delta V_{bg} = V_{bg} - V_{bg,th} \]

The \( V_{bg,th} \) is the threshold voltage for each device and is close to its pinch-off voltage estimated from the conductance curves. For instance, the highest back-gate voltage of 40V corresponds to a charge concentration of \( n_{2d} \sim 3.6 \times 10^{12} \) cm\(^{-2}\).

As shown in Figure 2.4 b, for each \( V_{bg} \), in a certain temperature range, the conductance follows the Equation 2.11:

\[ G = G_0 \times \exp \left( \frac{-Eg}{kT} \right) \]

Equation 2.11 is valid for the condition when electron transport is thermally-activated; good agreement of the experimental data with Equation 2.11 shows that the electron transport in these temperature ranges are thermally activated. At lower temperatures, we can notice that the variation in conductance is weakened for all \( V_{bg} \). This is due to hopping through localized states which becomes a dominant mechanism of electron transport, and the system approaches the localized regime.
In Figure 2.4 c, the mobility dependence on temperature is presented. The results are extracted from Figure 2.4 a and Figure 2.4 b in the range of 30-40V of back-gate voltage, $V_{bg}$. The flowing equation is applied in the calculation:

$$\mu = \frac{dG}{dV_{bg}} \cdot \frac{L_{12}}{(W/C_{ox1})}$$  \hspace{1cm} (2.12)

A sharp peak corresponding to 18 cm$^2$/Vs is observed at 200K; after the peak, the mobility decreases with temperature. This shows that electron-phonon scattering becomes the dominant mechanism. The decreasing component of the curve can be fitted in accordance with $\mu \sim T^\gamma$, $\gamma \approx -1.4$, which is in agreement with the theoretical predictions of $\gamma \approx -1.69$[18].

### 2.2.2 WS$_2$

WS$_2$ is also an important member of the TMDC class of materials. In 2011, Liu et al. performed a theoretical study of all the TMDCs. Among all these materials, WS$_2$ shows the highest mobility which results from its reduced effective mass[19]. A recent report estimates that monolayer WS$_2$ exhibits 44 cm$^2$/Vs mobility at room temperature[20]. Similar to MoS$_2$, the temperature dependence study of the electrical properties of WS$_2$ is critical for understanding the current conduction mechanism which is key to improving its performance. In 2014, temperature dependent mobility study of WS$_2$ based devices was performed by Ovchinnikov et al. [21]. In this study, the mobility of WS$_2$ in FET, at different temperatures, are measured, and the results are fitted to the theoretical equations to study the conduction mechanism.
Due to similarity between the studies on MoS\textsubscript{2} and WS\textsubscript{2}, a detailed mathematical method of WS\textsubscript{2} will not be discussed here.

![Image of WS\textsubscript{2} FET](image)

**Figure 2.5** Optical image of WS\textsubscript{2} FET [21].

Figure 2.5 shows the optical image of WS\textsubscript{2}. As can be seen in this figure, it is almost the same as the in the case of MoS\textsubscript{2} FET. Si is chosen as the back-gate material and a 270 nm thick SiO\textsubscript{2} is chosen as the oxide on Si.

In Figures 2.6 a and b, the variation of sheet conductivity with gate voltage, as function of temperature is shown. Sheet conductivity and conductance can be transformed to each other utilizing the equation:

\[ G = \sigma \times t \]  \hspace{1cm} (2.13)
It is observed that in Figure 2.6 a, when $V_g$ is below 70V, the sheet conductivity increases with increase in temperature. However, when $V_g$ is greater than 70V, the sheet conductivity decreases with increase in temperature. This indicates a transition from insulator regime to conductor regime.

Thus, Figures 2.4 and 2.6 show the same properties of MoS$_2$ and WS$_2$. In Figure 2.6 c, the mobility decreases with increasing temperature for WS$_2$, which is different from MoS$_2$. In MoS$_2$, there emerges a sharp peak, while in WS$_2$, no peak is formed.

This shows significant difference in the conduction mechanism of the two materials for low temperatures. At higher temperatures, WS$_2$ shows a power-law dependence; the experiment shows that $\mu$~$T^\gamma$, $\gamma \approx -0.73$. 
Figure 2.6 Temperature dependence of electrical properties of monolayer WS$_2$ (a) sheet resistivity versus back-gate voltage at different temperature. (b) Sheet resistivity versus temperature at different back-gate voltage. (c) Mobility dependence on temperature [21].
2.3 Conclusion and Future Study

In this chapter the electrical properties of monolayer MoS₂ and monolayer WS₂ measured by experiment have been presented. Unlike theoretical simulation results by first principle study, the experimental results show a much lower mobility for both MoS₂ and WS₂. This difference is due to many factors, and it is necessary to perform more study to solve the problem. As mentioned before in Section 2.2, the study of conducting mechanism is an important part in understanding and solving the difference. Since monolayer MoS₂ and WS₂ have ultra-thin thickness, the influence of substrate is also an essential part.

In future studies, experiments with different substrates should be carried out to study the influence of substrate on the monolayer MoS₂ and monolayer WS₂. Also, the interface geometry of these 2-D materials and substrate should be future investigated[22]. The above two study direction should help in understanding and solving the problem to enhance the future application.
CHAPTER 3

ELECTRONIC PROPERTIES OF MoS$_2$ and WS$_2$

In this chapter, a literature review of the electronic properties of MoS$_2$ and WS$_2$ with a focus on the band structure of these two materials, as function of number of layers, is presented. The temperature dependence of the band gap of MoS$_2$ and WS$_2$ monolayers are investigated by MATLAB simulations.

3.1 Band Structure of MoS$_2$ and WS$_2$

The band structure is very important in understanding the properties of materials and finding possible applications. The reduction in the number of layers causes significant changes to the band structure of both the materials and the special band structure of monolayer MoS$_2$ and WS$_2$ has drawn the attention of scientists.

3.1.1 Electronic Band Structure

The electronic band structure (or band structure) of a solid describes the range of energies that the electrons within the solid can possess, and the range of energy states that they may not possess. Due to quantum mechanical wave functions, the electrons in the solid can only possess certain range of energy in certain locations in the band structure.

The repetitiveness of the periodic lattice, which is described by the Brillouin zone, allows utilizing the band structure of a lattice to represent the band structure of the entire solid. The band theory has been utilized to explain many important physical properties and is essential in designing all the electrical devices.
3.1.2 Brillouin Zone

Brillouin zone is often used in mathematics and solid state physics to describe the primitive cell in reciprocal space. The reciprocal lattice is broken into Brillouin zones as the Bravais lattice is broken into cells. The solution to the Bloch wave in a periodic medium can be represented by their behavior in a single Brillouin zone.

MoS$_2$ and WS$_2$ are both comprised of hexagonal lattice, which is presented in Figure 3.1 to give a better understanding of the electronic band structure of MoS$_2$ and WS$_2$.

![Figure 3.1](image)

**Figure 3.1** The Brillouin zone and special point of the hexagonal lattice system for MoS$_2$ and WS$_2$. A is the center of a hexagonal face, H is the corner point, K is the middle of an edge joining two rectangular faces, L is the middle of an edge joining a hexagonal and a rectangular face, M is the center of a rectangular face, $\Gamma$ is the center of the Brillouin zone [23].
3.1.3 Band Structure of MoS$_2$ and WS$_2$

In 2012, A. Kumar and P.K. Ahluwalia have performed a thorough investigation of the electronic structure of all TMDCs utilizing first principle calculations, and their results are in good agreement with the experimental measurements[24].

Figure 3.2 shows the band structure of MoS$_2$, as function of the number of layers. As can be seen in the figure, bulk MoS$_2$ has an indirect band gap of 0.75eV. However, with the decreasing number of layers, the value of the indirect band gap increases. As the number of layers reaches one, the location of the band gap shifts and the monolayer MoS$_2$ becomes a direct band gap semiconductor with a band gap of 1.89eV. The corresponding blue shift is 1.14eV.

![Figure 3.2 Band structure of MoS$_2$ as function of number of layers. The arrow represents the approximate location of the band gap. The symbols in the Figure are illustrated in Figure 3.1 [24].](image-url)
The value of blue shift is even larger than the value of the indirect band gap of the bulk MoS$_2$. This indicates that there will be a huge change in the properties of the monolayer MoS$_2$. The location of the band gap also changes; both the maximum valence band and the minimum conduction band are located at the K point in the Brillouin zone (the middle of an edge joining two rectangular faces) in monolayer MoS$_2$. The reduced number of layers cause the band gap of MoS$_2$ to shift from infrared region towards visible region and switch the type of the band gap from indirect to direct. These changes lead to potential applications of monolayer MoS$_2$ for optoelectronic devices.

![Figure 3.3 Band structure of WS$_2$ as function of the number of layers. The arrow represents the transition from the highest valence band to the lowest conduction band. The symbols in this figure are illustrated in Figure 3.1 [24].](image-url)
Figure 3.3 shows the band structure of WS\(_2\) as function of the number of layers. Generally, the change in band structure of WS\(_2\) is similar to that of MoS\(_2\). Different from MoS\(_2\), the value of the indirect band gap in bulk WS\(_2\) is 0.89eV, while the value of the direct band gap in monolayer WS\(_2\) is 2.05. The value of the blue shift is 1.16eV.

The variation in the value of band gaps is concluded in Figure 3.4. It should be noted that the value of the direct band gap at K point hardly varies with the number of layers, while the indirect band gap varies drastically with the number of layers from one layer to four layer.

![Figure 3.4](image)

**Figure 3.4** The value of direct and indirect band gap in MoS\(_2\) and WS\(_2\). The solid lines with triangles are for indirect band gap, while the solid lines with circles are for direct band gap at K point [24].

### 3.2 Temperature Dependence of Band Gap in Monolayer MoS\(_2\) and WS\(_2\)

The properties of semiconductor devices are very sensitive to temperature, and thus, the knowledge of the influence of temperature change on the band gap is essential. Generally, the energy gap of a semiconductor tends to decrease with increase in temperature. As the thermal energy increases, the amplitude of the atomic vibrations increases leading to increase in the atomic spacing[25]. Therefore, an increased interatomic spacing decreases
the potential seen by the electrons in the material, which in turn reduces the size of the energy gap[25]. The relationship between temperature and band gap can be expressed by Equation 3.1 which is formulated by O’Donnell; the equation serves to be a direct replacement of Varshini’s equation[26].

\[ E_{g}(T) = E_{g}(0) - S \langle \hbar \omega \rangle \left[ \coth \left( \frac{\hbar \omega}{2kT} \right) - 1 \right] \]  

(3.1)

\( E_{g}(0) \) is the band gap of the semiconductor at 0 K, \( S \) is a dimensionless coupling constant and \( \langle \hbar \omega \rangle \) is an average phonon energy. This equation was explicitly used due to poor fitting results obtained from using full theoretical treatments[25].

**Table 3.1** Fitting Parameters of \( E_{g} \) for Monolayer MoS\(_{2}\) and WS\(_{2}\)

<table>
<thead>
<tr>
<th>Material</th>
<th>( E_{g}(0) ) (eV)</th>
<th>S</th>
<th>( \langle \hbar \omega \rangle ) (meV)</th>
<th>References</th>
</tr>
</thead>
<tbody>
<tr>
<td>MoS(_{2})</td>
<td>1.86</td>
<td>1.82</td>
<td>2.25</td>
<td>[27]</td>
</tr>
<tr>
<td>WS(_{2})</td>
<td>2.08</td>
<td>2.47</td>
<td>1.30</td>
<td>[28]</td>
</tr>
</tbody>
</table>

Table 3.1 shows the fitting parameters of monolayer MoS\(_{2}\) and monolayer WS\(_{2}\) based on Equation 3.1. By introducing these parameters into Equation 3.1, the variation of the band gap with temperature are plotted in Figure 3.5.
As shown in Figure 3.5, the variation in band gap versus temperature is generally a straight line except for the initial low temperature part. The band gap decreases significantly with the change in temperature. In order to have a better understanding of the
relationship between band gap and temperature, $\frac{dE_g}{dT}$ versus $T$ is also plotted as Figure 3.6.

**Figure 3.6** $\frac{dE_g}{dT}$ of monolayer MoS$_2$ and WS$_2$
CHAPTER 4

OPTICAL PROPERTIES OF MoS\textsubscript{2} and WS\textsubscript{2}

In recent years, there has been a large volume of research conducted on the optical properties of TMDCs; however, much of the research revolves around the spectral reflectance, differential reflectance, differential transmittance, spectral absorptance and absorbance.

These studies are usually based on experimental research and very less or, in some cases, no simulations are carried out. Despite the intense research carried out on the optical properties of TMDCs, none of the studies give definite values of refractive indices and extinction coefficients. Furthermore, the results of most of the studies involving reflectance, absorptance and transmittance calculations are not in accord with each other and there is a large spread in the obtained data of the optical properties.

In this Chapter, the optical properties such as refractive index, extinction coefficient, absorptance, reflectance and transmittance of MoS\textsubscript{2} and WS\textsubscript{2}, in the energy range of 1.50 eV to 3.00 eV, are studied by MATLAB modeling method. The optical band gap is also modeled utilizing MATLAB modeling method. To give a better perspective of future applications, the optical properties of these materials on selected substrates (gold, silicon and fused silica) are also studied utilizing MATLAB modeling method.
4.1 Optical Properties of Suspended MoS$_2$ and WS$_2$

In this work, we have determined the values of optical constants (n and k) and optical properties (R, T and A) of MoS$_2$ and WS$_2$ by means of MATLAB simulations under conditions of normal incidence. The optical band gaps of the monolayers are also determined to compare with the electronic band gap from Chapter 3.

4.1.1 Dielectric Constants of Suspended MoS$_2$ and WS$_2$

In electromagnetism, dielectric constants are also known as relative permittivity. Suppose an electric field is applied to the material, the charged particles in the material will move due to electrical force applied by the electrical field. However, the separation of different charged particles also generates an electric field which attenuates or resists the total electric field. Here, the permittivity is a measurement of the resistance of a material when an electric field is formed; it reflects how an electric field affects and is affected by a material. Vacuum space also has its permittivity, called vacuum permittivity. This is a constant, $\varepsilon_0 = 8.85419 \times 10^{-12}$ F/m. Relative permittivity or the dielectric constant, is the ratio of the permittivity of the material to the vacuum permittivity. It is a unitless quantity expressed by Equation 4.1.

$$\varepsilon_r = \frac{\varepsilon}{\varepsilon_0} \quad (4.1)$$

In real situations, the applied field is always time-dependent with changing direction and strength in certain frequency. The permittivity becomes complex in these situations by Equation 4.2.
\[ \varepsilon_r = \varepsilon_1 - i\varepsilon_2 \]  

(4.2)

\( \varepsilon_1 \) is the real part of the dielectric constant, while \( \varepsilon_2 \) is the imaginary part of the dielectric constant.

Yilei et al. [29] measured the dielectric constants for monolayer MoS\(_2\) and WS\(_2\) at room temperature from experimental reflectance spectra by a constrained Kramers-Kronig analysis; we have utilized these values to calculate the photon energy-dependent refractive index and extinction coefficient. As reported by Bablu et al. [30], the values determined by Yilei et al. were found to be better than the set of values determined by other authors and were hence chosen to determine the optical properties in this work.

**Figure 4.1** The dielectric constants of monolayer and bulk MoS\(_2\) and WS\(_2\), A B C are the labels of the peaks in \( \varepsilon_2 \) figures [29].
Figure 4.1 presents the results of dielectric constants of MoS$_2$ and WS$_2$ in both monolayer and bulk. It is notable that the A B points in the $\varepsilon_2$ – E spectra actually represent the A-B splitting of monolayer MoS$_2$ and monolayer WS$_2$. The values of the A-B splitting, determined in this method, is in agreement with the modeling results that are obtained utilizing the three-band tight-binding model[31].

4.1.2 Complex Refractive Index of Suspended MoS$_2$ and WS$_2$

When light propagates through a media, refraction and absorption will occur. The complex refractive index describes both the refraction and absorption. The complex refractive index can be expressed by the following equation:

$$n = n - ik$$

(4.2)

$n$ on the left side of the equation is the complex refractive index; $n$ on the right side of the equation is the real part of the complex refractive index and $k$ on the right side of the equation is the imaginary part of the refractive index, also called the extinction coefficient or attenuation index.

The real and imaginary parts of the complex dielectric constant, $\varepsilon_1$ and $\varepsilon_2$, are related to the refractive index and extinction coefficient by the following two equations:

$$\varepsilon_1 = n^2 - k^2$$

(4.3)

$$\varepsilon_2 = 2nk$$

(4.4)
Here, n and k are the refractive index and extinction coefficient of the material, respectively.

From Equations 4.3 and 4.4, we get:

\[4n^4 - 4n^2\varepsilon_1 - \varepsilon_2^2 = 0\]  
\[(4.5)\]

\[k = \frac{\varepsilon_2}{2n}\]  
\[(4.6)\]

By solving Equation 4.5, we obtain multiple values of n; it is notable that only the real and positive value is meaningful. After n is calculated, the value of extinction coefficient k is derived from Equation 4.6.

Figure 4.2 shows the results of n and k for MoS\(_2\) and WS\(_2\) in both monolayer and bulk.
Figure 4.2 The real refractive index and extinction coefficient of suspended monolayer and bulk MoS$_2$ and WS$_2$.

Table 4.1 Energies Corresponding to Features of n(E) and k(E) for Suspended MoS$_2$ and WS$_2$

<table>
<thead>
<tr>
<th>Material</th>
<th>No.</th>
<th>E(eV)</th>
<th>n</th>
<th>No.</th>
<th>E(eV)</th>
<th>k</th>
</tr>
</thead>
<tbody>
<tr>
<td>MoS$_2$ monolayer</td>
<td>1</td>
<td>1.83</td>
<td>5.563</td>
<td>7</td>
<td>1.87</td>
<td>1.341</td>
</tr>
<tr>
<td></td>
<td>2</td>
<td>1.97</td>
<td>5.089</td>
<td>8</td>
<td>2.02</td>
<td>1.607</td>
</tr>
<tr>
<td></td>
<td>3</td>
<td>2.74</td>
<td>5.781</td>
<td>9</td>
<td>2.93</td>
<td>3.879</td>
</tr>
<tr>
<td>MoS$_2$ bulk</td>
<td>4</td>
<td>1.8</td>
<td>5.868</td>
<td>10</td>
<td>1.85</td>
<td>1.3411</td>
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<tr>
<td></td>
<td>5</td>
<td>1.99</td>
<td>5.294</td>
<td>11</td>
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<td>1.524</td>
</tr>
<tr>
<td></td>
<td>6</td>
<td>2.52</td>
<td>5.714</td>
<td>12</td>
<td>2.77</td>
<td>3.237</td>
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<tr>
<td>WS$_2$ monolayer</td>
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<td>1.99</td>
<td>5.648</td>
<td>19</td>
<td>2.02</td>
<td>3.237</td>
</tr>
<tr>
<td></td>
<td>14</td>
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<td>15</td>
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<td>5.176</td>
<td>21</td>
<td>2.88</td>
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</tr>
<tr>
<td>WS$_2$ bulk</td>
<td>16</td>
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<td>1.056</td>
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<tr>
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<td></td>
<td>18</td>
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<td>5.133</td>
<td>24</td>
<td>2.4</td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td>25</td>
<td>2.7</td>
<td>2.154</td>
</tr>
</tbody>
</table>
In order to give a better understanding of Figure 4.2, the significant features are presented in Table 4.1.

**4.1.3 Reflectance Transmittance and Absorptance of Suspended MoS\(_2\) and WS\(_2\)**

When a beam of light penetrates a medium, the energy of the beam will be reflected by the surface of the medium, transmitted through the medium and absorbed by the medium. The reflectance, transmittance and absorptance are respectively corresponding to the above three phenomena. They represent the fraction of the incident electromagnetic power that is reflected, transmitted and absorbed by the medium. This also means that their sum total will be 100%.

These fractions are decided by the incident energy, the incident angle and the properties (or the complex refraction index and thickness) of the medium. It should be noted that, in this study, all the simulations are carried out in vertical incidence and room temperature. The range of the incident energy is 1.50eV to 3.00eV (wavelength 826.7nm to 413.3nm).

The reflectance, transmittance and absorptance are related to the complex refractive index and thickness of the medium by the following equations.

\[
R = \frac{(n - 1)^2 + k^2}{(n + 1)^2 + k^2} \quad (4.7)
\]

\[
\lambda = \frac{hc}{E} \quad (4.8)
\]

\[
\alpha = \frac{4\pi k}{\lambda} \quad (4.9)
\]
\[ T = (1 - R)(e^{-\alpha t}) \]  \hspace{1cm} (4.10)

\[ A = 1 - R - T \]  \hspace{1cm} (4.11)

\( \alpha \) in Equations 4.7 and 4.9 is called the absorption coefficient, which describes the absorbing ability of a material in certain energy. \( \lambda \), in Equations 4.8 and 4.9 is the wavelength of the incident light. \( t \) in Equation 4.10 is the thickness of the material. \( R \) is reflectance, \( T \) is transmittance and \( A \) is absorptance. The reflectance is independent of the thickness of the medium; it is only a surface property. However, transmittance and absorptance are closely related to the thickness of the medium. When light penetrates longer distance through the medium, more energy is absorbed by the medium.

The thickness of monolayer MoS\textsubscript{2} and WS\textsubscript{2} were considered as 0.65nm\cite{4}. The thickness of bulk MoS\textsubscript{2} and WS\textsubscript{2} were taken as 20nm, which seemed to be the optimal value\cite{32}. Based on the above equations, the reflectance, transmittance and absorptance of monolayer and bulk MoS\textsubscript{2} and WS\textsubscript{2} are simulated and presented in Figure 4.3.
Figure 4.3 Simulated reflectance and transmittance of monolayer and bulk MoS\(_2\) and WS\(_2\).

The simulated reflectance and transmittance spectra, under conditions of normal incidence and room temperature, are presented in Figure 4.3. For all four monolayer and bulk TMDCs, the two lowest energy peaks in the reflectance spectra correspond to the excitonic features associated with inter-band transitions in the K (K') point in the Brillouin zone[10]. The two significant peaks in Figure 4.3 can be attributed to the splitting of the valence band by spin-orbit coupling, including the transitions near the Γ point[33, 34].

The maximum value of Reflectance (R) and the corresponding energy (E) for monolayer MoS\(_2\) and WS\(_2\) are as follows: 60.5% (2.91 eV) and 56.5% (2.01 eV),
respectively. Similarly, the maximum value of R for bulk MoS$_2$ and WS$_2$ are as follows: 50.7% (1.8 eV) and 50.6% (2.69 eV), respectively.

For the simulated transmittance spectra, the maximum values of Transmittance (T) and the corresponding energy (E) for monolayer MoS$_2$ and WS$_2$ are as follows: 57% (2.11 eV) and 66.5% (2.06 eV) respectively. Similarly, the maximum value of T for bulk MoS$_2$ and WS$_2$ are 37.6% (1.92 eV) and 51.9% (1.79 eV), respectively.

Simulated absorptance spectra are shown in Figure 4.4. The spectra of monolayer and bulk are separated due to the large change in the magnitude of absorptance value of monolayer and bulk. This change is reasonable due to the increase in the number of layers which leads to increase in absorptance of light by the material.

Within the range of photon energy considered in this study, as observed from Figure 4.4, the maximum values of Absorptance (A) in the energy range for monolayer MoS$_2$ and WS$_2$ are as follows: 2.93% and 2.55%, respectively. Similarly, the maximum value of A for bulk MoS$_2$ and WS$_2$ are as follows: 39.1% and 39.7%, respectively. The location of the peaks in either case remains relatively similar; these peaks are the A and B exciton absorption peaks which originate from the spin-split direct gap transitions at the K point of the Brillouin zone.
Figure 4.4 The simulated absorptance of monolayer and bulk MoS$_2$ and WS$_2$.

All the values corresponding to features, present in Figures 4.3 and 4.4, are listed in Table 4.2.
Table 4.2. Energies Corresponding to Features of R, T and A for Monolayer and Bulk MoS₂ and WS₂

<table>
<thead>
<tr>
<th>Material</th>
<th>No.</th>
<th>E(eV)</th>
<th>R</th>
<th>No.</th>
<th>E(eV)</th>
<th>T</th>
<th>No.</th>
<th>E(eV)</th>
<th>A</th>
</tr>
</thead>
<tbody>
<tr>
<td>MoS₂ monolayer</td>
<td>1</td>
<td>1.85</td>
<td>0.491</td>
<td>7</td>
<td>1.57</td>
<td>0.585</td>
<td>24</td>
<td>1.87</td>
<td>0.00848</td>
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<td></td>
<td>2</td>
<td>1.99</td>
<td>0.474</td>
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<td>0.537</td>
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<td>10</td>
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<td>18</td>
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4.1.4 Optical Band Gap of Monolayer and Bulk MoS₂ and WS₂

As mentioned in Chapter 3, the band gap is an essential property of a material. For monolayer MoS₂ and WS₂, their direct band gaps in the visible range make them promising in a variety of applications in optoelectronics. The band gap generally refers to the energy difference between the bottom of the conduction band and the top of the valence band.

There are generally two methods of measuring the band gap of a material. One can measure the band gap optically by excitation spectroscopy in which the charge state of the material is not changed. The band gap, measured in this method, is called optical band gap. One can also measure the band gap through electron spectroscopy like photoemission for the valence band and inverse photoemission for the conduction band. In this method, an electron is either injected into the solid or removed from the solid. This is called electrical band gap.
Utilizing the absorptance versus energy measured in Section 4.1.3, the optical band gap of a material can be determined. By plotting certain powers of the absorption coefficient against photon energy, one can normally confirm the value of the band gap, and whether or not it is direct. If a plot of $\alpha^2$ versus photon energy forms a straight line, it can normally be inferred that the band gap is direct, measurable by extrapolating the straight line to $\alpha=0$ axis. On the other hand, if a plot of $\alpha^{1/2}$ versus photon energy forms a straight line, it can normally be inferred that the band gap is indirect, measurable by extrapolating the straight line to $\alpha=0$ axis.

The monolayer MoS$_2$ and WS$_2$ are examined for the direct semiconductor case. Figure 4.5 presents the square of the absorption versus energy.

As seen from Figure 4.5, we observe a straight line behavior near the first peaks of the two monolayers; this shows that the monolayer MoS$_2$ and WS$_2$ have a direct band gap. The values of the optical band gap of monolayer MoS$_2$ and WS$_2$ were obtained by solving the equations to straight lines. The optical band gaps of monolayer MoS$_2$, WS$_2$ are 1.82 eV and 1.98 eV, respectively. The calculated optical band gaps are smaller than the electronic band gaps in Chapter 3 within a reasonable range; this is due to the additional energy absorbed by an electron while making a transition from the valence band to the conduction band; there is a difference in the coulomb energies of the two systems (excitation spectroscopy and tunneling spectroscopy) which, therefore, causes a change in the optical band gaps and electrical band gaps.
Figure 4.5 Square of the absorption versus energy for monolayer MoS$_2$ and WS$_2$; the red line is the extrapolating straight line.

The analysis for bulk MoS$_2$ and WS$_2$ is not available due to the limited range of photon energy considered in this study; the band gap energy for bulk TMDCs, shown in the previous part of the paper, is obviously much smaller than 1.50 eV, which is the minimum of the photon energy range considered in this study.
4.2 Optical Properties of MoS$_2$ and WS$_2$ on Selected Substrates

Optical properties of monolayer and bulk TMDCs on gold, silicon and fused silica wafer, as substrates, are simulated in this study. These simulations were performed in order to study the variation in the optical properties of TMDCs on a representative semiconductor, metal and insulator substrates which could contribute to understand and promote the applications of this multilayer system in areas such as coatings, electronics, optoelectronics, sensors, circuits and systems.

4.2.1 Simulation Method

In order to simulate the optical properties of the double layer, the complex dielectric constants of the substrates are needed.

Once the optical properties of the substrates are obtained, the reflectance, transmittance and absorptance can be performed by the following equations:

\[ R = \frac{(n1 - n2)^2 + k1^2}{(n1 + n2)^2 + k2^2} \]  
\[ (4.12) \]

\[ \alpha_1 = \frac{4\pi k_1}{\lambda} \]  
\[ (4.13) \]

\[ \alpha_2 = \frac{4\pi k_2}{\lambda} \]  
\[ (4.14) \]

\[ T = (1 - R) \times e^{-\alpha_1 t_1} \times e^{-\alpha_2 t_2} \]  
\[ (4.15) \]

\[ A = 1 - R - T \]  
\[ (4.16) \]
The n1 and k1 are the optical constants of the upper layer, n2 and k2 are the optical constants of the substrate. α1 and α2 are the absorption coefficients of the upper layer and substrate, respectively. t1 and t2 are the thickness of the upper layer and substrate, respectively. R, T and A are the reflectance, transmittance and absorptance of the double layer structure.

4.2.2 MoS2 and WS2 on Gold Substrate

The thickness of the gold layer is chosen as 10µm. As mentioned in Section 4.1.3, the thicknesses of the monolayers are chosen as 0.65nm and the thicknesses of the bulk are chosen as 20nm.

Figure 4.6 represents the simulated reflectance and absorptance spectra of monolayer and bulk MoS2 and WS2 on gold substrate. The transmittance in the selected energy range is almost zero and thus is not presented. This is due to the opaqueness of the gold substrate.

It is observed that the reflectance tends to decrease with increase in photon energy, while the absorptance of MoS2 and WS2 on gold substrate increases with the increase in photon energy. The reflectance and absorptance spectra are complementary to each other due to the zero absorptance. We also observe that the change in the number of layers of MoS2 and WS2 does not necessarily cause a significant change in the reflectance and absorptance spectra. No sharp peaks were observed in both the spectra. Maximum values of reflectance and transmittance for monolayer and bulk MoS2 and WS2 on gold substrate
remained approximately the same, with an average maximum reflectance of ~90% and average maximum absorptance of ~70%.

![Simulated reflectance and absorptance spectra of monolayer and bulk MoS\textsubscript{2} and WS\textsubscript{2} on gold substrate.](image)

**Figure 4.6** Simulated reflectance and absorptance spectra of monolayer and bulk MoS\textsubscript{2} and WS\textsubscript{2} on gold substrate.

### 4.2.3 MoS\textsubscript{2} and WS\textsubscript{2} on Silicon Substrate

The thickness of the silicon layer is chosen as 650\textmu{}m. The thicknesses of the monolayers are chosen as 0.65nm and the thicknesses of the bulk are chosen as 20nm.
**Figure 4.7** Simulated reflectance and absorptance spectra of monolayer and bulk MoS$_2$ and WS$_2$ on silicon substrate.

The transmittance in the selected energy range is almost zero due to the opaqueness of the silicon substrate. The reflectance has a relatively low value as compared to that of the gold substrate (average maximum value of ~90%). However, it is observed that there is a sharp peak in the reflectance spectra of monolayer WS$_2$ on silicon substrate. The absorptance of the monolayer and bulk MoS$_2$ and WS$_2$ on silicon substrate is relatively high compared to that of gold substrate.
4.2.3 MoS$_2$ and WS$_2$ on Fused Silica Substrate

The thickness of the fused silica layer is chosen as 650 nm. The thicknesses of the monolayers are chosen as 0.65 nm and the thicknesses of the bulk are chosen as 20 nm.

Figure 4.8 Simulated reflectance and transmittance spectra of monolayer and bulk MoS$_2$ and WS$_2$ on fused silica substrate.

Figure 4.8 presents the simulated reflectance and transmittance spectra of monolayer and bulk MoS$_2$ and WS$_2$ on fused silica substrate. It is observed that the transmittance of TMDC/fused silica is considerably high which is due to the transparent nature of fused silica and TMDCs.
As observed in Figure 4.8, the maximum value of Reflectance (R) for monolayer MoS$_2$ and WS$_2$ are 48.06% and 43.59%, respectively. Similarly, the maximum value of R for bulk MoS$_2$ and WS$_2$ are 43.48% and 34.70%, respectively.

The maximum value of Transmittance (T) for monolayer MoS$_2$ and WS$_2$ are 72.56% and 80.26%, respectively. Similarly, the maximum value of T for bulk MoS$_2$ and WS$_2$ are 72.48% and 78.64%, respectively.

Figure 4.9 presents the simulated absorptance spectra of monolayer and bulk MoS$_2$ and WS$_2$ on fused silica substrate. The maximum value of Absorptance (A) for monolayer MoS$_2$ and WS$_2$ are as follows: 3.83% and 3.26%, respectively. Similarly, the maximum value of A for bulk MoS$_2$ and WS$_2$ are 50.33% and 50.40%, respectively.

The absorptance spectra, shown in Figure 4.9, are very similar to that in Figure 4.4; however, the values differ from each other by a small factor. The trend in the change in the value of the monolayer and bulk TMDCs are the same.
Figure 4.9 Simulated absorptance spectra of monolayer and bulk MoS$_2$ and WS$_2$ on fused silica substrate.
The advances in fabrication methods such as exfoliation and synthetic techniques have made it possible to fabricate the ultra-thin monolayer TMDCs, like MoS$_2$ and WS$_2$. These two materials can be classified as semiconductors with stability in air. Their direct band gap in the visible energy range makes these materials promising in digital electronics and optoelectronics. There have been already many reports about the applications of these materials, such as Field Effect Transistors (FETs), solar cells, photodetectors etc. In this chapter, a brief overview of these applications, the architecture, operating principle and physics of electronic and optoelectronic devices will be presented.

5.1 Digital Electronics

MoS$_2$ and WS$_2$ are promising for applications in future digital electronics such as FETs, inverters, logic gates, junctions and heterostructures.

5.1.1 FET

The structures and functions of FET have already been discussed in Chapter 2. These materials have first been used in FETs only over the past decade[35]. Initially, the field-effect mobility of monolayer MoS$_2$ was found to be a lot lower than that in graphene[15]. However, in 2011, B. Radisavljevic et. al. have reported a monolayer MoS$_2$ top-gated with a relatively high mobility, large on/off ratios and low sub-threshold swings at room
temperature[11]. These reports have inspired interest for further studies. The large band gap (>1eV) and ultra-thin top gate makes it possible to achieve small cut-off currents and large switching ratios.

The recent studies focus on the difference in the mobility measured by experimental studies and the mobility by theoretically predictions. Theoretical studies now predict that the intrinsic mobility at room temperature in monolayer MoS\(_2\) is 300-400 cm\(^2/V\)s at high carrier densities of \(10^{13}/\text{cm}\(^2\)[18]. This value is five times greater than the largest experimentally reported values at 300K[36].

The sensitivity of carrier mobility to the local dielectric environment has inspired the studies on the electron transition mechanism. The studies in this field were carried out by temperature dependent mobility measurements. With the improvement in the sample quality and device processing, it is observed that phonon scattering is dominant for T > 100K and charged impurity scattering is dominant for T <100 K[17, 36, 37]. As for theoretical predictions, the influence of remote interfacial phonons from the oxide dielectric are considered recently, and the results show a better agreement with experimental studies[38]. The lack of considering defects and impurities also lead to overestimating the mobility values.

The contact resistance also plays an important role in determining the conductance of the FET devices. Since MoS\(_2\) and WS\(_2\) are ultra-thin 2-D materials, the substrates significantly influence the performance of the corresponding devices. Theoretical prediction and experimental studies on contact resistance have also been carried out [39-42]. Generally, these studies found that low work function metals such as Ti and Sc form lower resistance contacts than high work function metals which leads to higher drain
Nevertheless, even with the optimal contacting scheme, the contact resistance still dominates the charge transport with reduced channel dimensions.

**Figure 5.1** Illustration of the fabrication of flexible FET [43].

It should also be noted that these flexible 2-D materials make it possible to fabricate flexible FET on flexible substrates[44]. The influence of strain on the properties of these
materials have also been carried out[45]. Figure 5.1 presents an illustration of the fabrication of flexible FET. These initial studies demonstrate that ultra-thin FETs on flexible substrates remain a desirable parameter under mechanical bending[43, 46].

The promise of MoS$_2$ and WS$_2$ based high performance FET has inspired researchers to perform further studies on their applications to more complex, functional digital circuitry inverters and logic gates[47].

5.1.2 Junctions and Heterostructures.

In addition to semiconducting TMDC based devices and circuits, these materials present possibilities for special device geometries based junctions and heterostructures [48, 49]. Such heterojunction devices have been widely developed for crystalline III-V semiconductors for high frequency applications.

![Figure 5.2 Illustration of Graphene–WS$_2$ heterotransistor. a) Optical image (scale bar, 10 mm). b) Cross-section high-resolution high-angle annular dark-field scanning transmission electron microscopy (HAADF STEM) image (scale bar, 5 nm). c) Bright-field STEM image (scale bar, 5 nm) [48].](image)

Epitaxy is the most common method for the growth of III-V semiconductor heterostructures. This limits the materials to possess relatively similar lattice constants. The
weak Van der Waals bonding between TMDCs can relax this limit and thus enhance the possibilities for different materials to form different heterostructures [50].

5.2 Optoelectronics

In Chapter 3, it was shown that monolayer MoS$_2$ and WS$_2$ have direct band gap in the visible region of the electromagnetic spectrum and large binding energies. These two materials also have strong photoluminescence. These properties make them promising candidates in applications in optoelectronics including photodetectors, photovoltaics and light-emitting devices.

5.2.1 Photodetectors

When the energy of the incident photon is larger than the band gap of a semiconductor, bound electron-hole pairs (or excitons) or free carriers, which depend on the exciton binding energy in the semiconductor, are created. Bound excitons can be separated by an applied or build-in electric field and thus generate a photocurrent. The most common two types of photodetectors are phototransistors and photodiodes.

Phototransistors are types of bipolar transistors with their base lead removed and replaced with a light-sensitive area. These devices have only two terminals. When the light-sensitive area is in dark, the device is turned off. When the light-sensitive region is exposed to light, a small base current is generated that controls a much larger collector-to-emitter current.

In the initial studies, MoS$_2$-based phototransistors were measured under global illumination with photons possessing energies greater than the 1.9 eV band gap[51].
Recently, few-layer WS$_2$ phototransistors, grown by CVD, have also been reported[52]. The wavelength-dependent studies show that the photocurrent roughly follows the absorption spectrum; this has led to the speculation of inter band absorption and carrier separation as the dominant mechanism for photocurrent generation[53, 54].

A photodiode is a semiconductor device that converts light into current. The current is generated when photons are absorbed in the photodiode. A small amount of current is also produced when no light is present. It is reported that vertical Si/Monolayer MoS$_2$ heterostructures exhibit photodiode-like behavior and excellent photon response[55]. Similar results have also been found on vertically stacked graphene-MoS$_2$-metal heterostructures[56].

5.2.2 Solar Cells and Light-emitting Devices

The photovoltaic cell is one of the most widespread application of p-n junctions. Semiconductors with high mobility and direct band gap near 1.3eV is desired for high efficiency single junction photovoltaics. The monolayer TMDCs are in good agreement with the frontier needs, which makes them promising in ultra-thin photovoltaic applications.

In 2013, a calculation on a Schottky junction solar cell, consisting of a graphene-MoS$_2$ stack, suggests a maximum power conversion efficiency of ~1% while that of a Type-II heterojunction between WS$_2$/MoS$_2$ is 1.5%[57]. Experimentally, an asymmetric M-S-M Schottky junction on a few-layer MoS$_2$ flake resulted in working photovoltaic devices with ~1 % PCE in the same year[58]. Although the above results are encouraging, the thickness limited absorption is still a significant barrier to increase the efficiency.
LED, the light-emitting diode, is another promising application for MoS$_2$ and WS$_2$ p-n junctions. Their ultra-thin thickness and direct band gap with desired energy makes them promising for ultra-thin, efficient and flexible LEDs. Excitonic electroluminescence has been observed from SL-MoS$_2$ heterojunctions so far[59]. However, the lack of controlled doping technologies make it only possible to fabricate purely monolayers and limit their applications.
CHAPTER 6

CONCLUSIONS

In Chapter 1, the basic knowledge of MoS$_2$ and WS$_2$ was introduced. Their lattice structure and constants, different stacking sequence, thickness and other basic properties were presented.

An introduction to the electrical properties of monolayer MoS$_2$ and WS$_2$ was carried out in Chapter 2. The difference observed between the mobility measured by experimental method and first principle calculation has drawn the attention for further studies. Experimental measurements, at different temperatures, provide researchers a useful method for studying the current conduction mechanisms. Considering defects and impurities in first principle simulations also decreases the difference. Other factors such as contact method and substrate material also influence the electrical properties.

In Chapter 3, the electrical structure and the temperature dependence of the band gap of MoS$_2$ and WS$_2$ were presented. For monolayer MoS$_2$ and WS$_2$, their direct band gap with energy value in the visible electromagnetic spectrum and flexibility make them promising in many fields. The temperature dependence studies of the energy gap of monolayer TMDCs, in the range of 50 to 450 K, were also presented.

In Chapter 4, the optical properties of the optical constants and optical properties of suspended monolayer and bulk MoS$_2$ and WS$_2$ were simulated by mathematical modelling utilizing MATLAB. The results are in accord with experimental data and show an appreciable convergence. The calculated optical properties of MoS$_2$ and WS$_2$ on
semiconductor, metal and fused silica substrates were presented. Absorptance is highest (~90%) for TMDC/Si while, the transmittance is highest (~90%) for TMDC/Au. TMDC/fused silica exhibits optical properties similar to that of suspended TMDCs. The optical band gaps of the monolayers were also investigated by considering the absorption coefficient versus incident energy. The results correspond to the electrical band gap investigated in Chapter 3 with a reasonable difference.

In Chapter 5, the promising applications of monolayer MoS$_2$ and WS$_2$ were discussed. Their unique properties make them promising candidates in many applications such as FETs, Schottky barriers, heterostructures, photodetectors, solar cells, LEDs etc. Although there are still many technology problems that need to be resolved, the bright future of these materials can already be predicted.

In conclusion, a detailed study on the properties and applications of MoS$_2$ and WS$_2$ has been presented. These recently emerged 2-D materials have drawn the attention of researchers by their unique properties. It is convincing that these materials will have essential part in promoting the development of materials science.
REFERENCES


The code for the calculation of the refractive index, extinction coefficient, reflectance, transmittance and absorptance of suspended materials is as shown below:

**MATLAB Code 1.**

```matlab
% The original data points are captured from figure. As for each material, the inputs of the program are
% (1) photonenergyev1, the vector of the x values of every point (Photon Energy (eV), ε1);
% (2) epsilon1, the vector of the y values of every point (Photon Energy (eV), ε1);
% (3) photonenergyev2, the vector of the x values of every point (Photon Energy (eV), ε2);
% (4) and epsilon2, the vector of the y values of every point (Photon Energy (eV), ε2);
% (5) thickness is the value of the thickness for corresponding material.
photonenergyev1=photonenergyev1(~isnan(photonenergyev1));
photonenergyev2=photonenergyev2(~isnan(photonenergyev2));
epsilon1=epsilon1(~isnan(epsilon1));
epsilon2=epsilon2(~isnan(epsilon2));
photonenergyevfit=1.50:0.01:3;
epsilon1fit=interp1(photonenergyev1,epsilon1,photonenergyevfit,'spline');
epsilon2fit=interp1(photonenergyev2,epsilon2,photonenergyevfit,'spline');
for i=1:1:151
    p=[4 0 -4*epsilon1fit(i) 0 -epsilon2fit(i)^2];
    x=roots(p);
    for ii=1:length(x)
        if x(ii)>0 & isreal(x(ii));
            n(i)=x(ii);
            k(i)=epsilon2fit(i)/(2*n(i));
        end
end
```
end
eend
wavelength=1240./photonenergyevfit;
asorptioncoefficient=4*pi*k./wavelength;
for i=1:1:151
    R(i)=((n(i)-1)^2+k(i)^2)/...
    ((n(i)+1)^2+k(i)^2);
    T(i)=(1-R(i))*exp(-absorptioncoefficient(i)*thickness);
    A(i)=1-R(i)-T(i);
end
output=[photonenergyevfit',wavelength',n',k',R',T',A'];

% The output matrix is a 7*151 matrix with the following parameters,
% (1) photonenergyevfit, the vector of photon energy from 1.50 to 3.00;
% (2) wavelength, the vector of wavelength;
% (3) n, the vector of n;
% (4) k, the vector of k;
% (5) R, the vector of reflectance;
% (6) T, the vector of transmittance;
% (7) A, the vector of absorptance;
The code for the calculation of the reflectance, transmittance and absorptance of double layer structure is as shown below:

**Matlab Code 2**

```matlab
% The original data points are captured from web-sources and above calculation. As for % each double layer structure, the inputs of the program are % (1) n1, the vector of refractive index for the upper material; % (2) n2, the vector of refractive index for the lower material; % (3) k1, the vector of extinction coefficient for the upper material; % (4) k2, the vector of extinction coefficient for the lower material; % (5) t1, the thickness of the upper material; % (6) t2, the thickness of the lower material; % (7)
for i=1:151;
    R(i)=((n1(i)-n2(i))^2+k1(i)^2)/...  
       ((n1(i)+n2(i))^2+k1(i)^2);
    T1(i)=exp(-4*t1*pi*k1(i)/wavelength(i));
    T2(i)=exp(-4*t2*pi*k2(i)/wavelength(i));
    T(i)=(1-R(i))*T1(i)*T2(i);
    A(i)=1-R(i)-T(i);
end
output=[photonenergyevfit,wavelength,R',T',A'];
```

% The output maxtrix is a 7*151 matrix with the following parameters, % (1) photonenergyevfit, the vector of photonenergy from 1.50 to 3.00; % (2) wavelength, the vector of wavelength; % (3) R, the vector of reflectance; % (4) T, the vector of transmittance; % (5) A, the vector of absorptance;