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## **ABSTRACT**

### **INNOVATIVE LOCAL TEXTURE DESCRIPTORS WITH APPLICATION TO EYE DETECTION**

**by  
Jiayu Gu**

Local Binary Patterns (LBP), which is one of the well-known texture descriptors, has broad applications in pattern recognition and computer vision. The attractive properties of LBP are its tolerance to illumination variations and its computational simplicity. However, LBP only compares a pixel with those in its own neighborhood and encodes little information about the relationship of the local texture with the features. This dissertation introduces a new Feature Local Binary Patterns (FLBP) texture descriptor that can compare a pixel with those in its own neighborhood as well as in other neighborhoods and encodes the information of both local texture and features. The features encoded in FLBP are broadly defined, such as edges, Gabor wavelet features, and color features. Specifically, a binary image is first derived by extracting feature pixels from a given image, and then a distance vector field is obtained by computing the distance vector between each pixel and its nearest feature pixel defined in the binary image. Based on the distance vector field and the FLBP parameters, the FLBP representation of the given image is derived. The feasibility of the proposed FLBP is demonstrated on eye detection using the BioID and the FERET databases. Experimental results show that the FLBP method significantly improves upon the LBP method in terms of both the eye detection rate and the eye center localization accuracy.

As LBP is sensitive to noise especially in near-uniform image regions, Local Ternary Patterns (LTP) was proposed to address this problem by extending LBP to three-

valued codes. However, further research reveals that both LTP and LBP achieve similar results for face and facial expression recognition, while LTP has a higher computational cost than LBP. To improve upon LTP, this dissertation introduces another new local texture descriptor: Local Quaternary Patterns (LQP) and its extension, Feature Local Quaternary Patterns (FLQP). LQP encodes four relationships of local texture, and therefore, it includes more information of local texture than the LBP and the LTP. FLQP, which encodes both local and feature information, is expected to perform even better than LQP for texture description and pattern analysis. The LQP and FLQP are applied to eye detection on the BioID database. Experimental results show that both FLQP and LQP achieve better eye detection performance than FLTP, LTP, FLBP and LBP. The FLQP method achieves the highest eye detection rate.

**INNOVATIVE LOCAL TEXTURE DESCRIPTORS  
WITH APPLICATION TO EYE DETECTION**

**by  
Jiayu Gu**

**A Dissertation  
Submitted to the Faculty of  
New Jersey Institute of Technology  
in Partial Fulfillment of the Requirements for the Degree of  
Doctor of Philosophy in Computer Science**

**Department of Computer Science**

**January 2014**

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**APPROVAL PAGE**

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WITH APPLICATION TO EYE DETECTION**

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- Gu, J. & Liu, C. (2012). Local Quaternary Patterns and Feature Local Quaternary Patterns. in *Proceedings of 16th International Conference on Image Processing, Computer Vision, and Pattern Recognition*.
- Gu, J. & Liu, C. (2012). A New Feature Local Binary Patterns (FLBP) Method. in *Proceedings of 16th International Conference on Image Processing, Computer Vision, and Pattern Recognition*.



To my wife, Ying Li  
and  
my parents, Xiaoying Yang and Yu-dong Gu

## **ACKNOWLEDGMENT**

I would like to express the deepest gratitude to my advisor, Professor Chengjun Liu. His wisdom, knowledge and commitment to the highest standards inspired and motivated me. I am very fortunate to be his student. Without his support, patience and guidance this dissertation would not have been possible.

My committee members, Professor James McHugh, Professor Durgamadhab Misra, Professor Andrew Sohn and Professor Zhi Wei have generously given their precious time and valuable expertise to improve my work. I am full of appreciation to them for their contribution and support to this dissertation.

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# CHAPTER 1

## INTRODUCTION

### 1.1 Feature Local Binary Patterns

The Local Binary Patterns (LBP) method, which defines a gray-scale invariant texture description by comparing a center pixel with its neighbors, is a popular method for texture analysis (Ojala et al., 1994), (Ojala et al., 1996), (Ojala et al., 2002). At an earlier stage for texture analysis, the concept of texture unit and texture spectrum was introduced (L. Wang and He, 1990). A texture unit of a pixel is represented by eight elements, which correspond to the eight neighbors in a  $3 \times 3$  neighborhood with three possible values: 0, 1, 2. The three values represent three possible relationships between the center pixel and its neighbors: “less than”, “equal to”, or “greater than”. As a result, there are 6561 ( $3^8$ ) possible texture units in total. A texture spectrum of a region is defined by the histogram of the texture units over the region. However, the large number of possible texture units poses a computational challenge. To reduce the computational burden, a method that applies two relationships: “less than” or “greater than or equal to” that are represented by two possible values: 0 or 1 is proposed (Gong et al., 1992), (Ojala et al., 1994). The two relationships method thus reduces the total number of texture units from 6561 to 256 ( $2^8$ ), which can be represented by eight binary numbers. The two relationship version of texture units is named as local binary patterns or LBP (Ojala et al., 1994).

The LBP method has been applied in many pattern recognition tasks. However, LBP has two problems. First, LBP only compares a pixel with the pixels in its own neighborhood. More information could be revealed if a pixel compares with the pixels in other neighborhoods. Second, LBP encodes a little information about the relationship

of local texture with the features, such as edges, peaks and valleys. To solve these two problems, this dissertation proposes a new Feature Local Binary Patterns (FLBP) texture descriptor that compares a pixel with the pixels in its own neighborhood as well as in other neighborhoods, and encodes the information of both local texture and features. The features encoded in FLBP are broadly defined by any features which meet the requirements of specific applications, such as the edges, the intensity peaks or valleys, the Gabor wavelet features (Liu and Wechsler, 2002), (Liu, 2004) and the color features (Z. Liu and C. Liu, 2008a), (Z. Liu and C. Liu, 2008b), (Yang and Liu, 2007), (Yang and Liu, 2008). As the FLBP method encodes both local and feature information, the performance of FLBP depends on the extraction of the feature pixels. To improve FLBP performance, a new feature pixel extraction method, the LBP with Relative Biased Threshold (LRBT) method is present in this dissertation.

The FLBP is applied to eye detection using the BioID and FERET databases. The experimental results show that:

1. The FLBP method significantly improves upon the LBP method in terms of both eye detection rate and eye center localization accuracy.
2. The new LRBT feature pixel extraction method helps improve the FLBP eye detection performance when compared with other feature pixel extraction methods.
3. The FLBP method displays superior representational power and flexibility to the LBP method due to the introduction of feature pixels as well as its parameters.
4. In comparison with the state of the art methods, the FLBP method achieves the highest accuracy of eye center localization.

## **1.2 Local Quaternary Patterns and Feature Local Quaternary Patterns**

Tan and Triggs (2007, 2010) argued that LBP tends to be sensitive to noise, especially in near-uniform image regions, because it thresholds exactly at the value of the central

pixel. To solve the problem, they proposed three-valued codes, called Local Ternary Patterns (LTP). In LTP, neighbor pixels are compared with an interval  $[-r, +r]$  around the value of the center pixel. A neighbor pixel is assigned 1, 0 or -1, if its value is above  $+r$ , in the interval  $[-r, +r]$  or below  $-r$ , respectively. Because the radius  $r$  is not changed with the gray scale, the LTP is no longer a strictly gray-scale invariant texture description, and is less tolerance against illumination than LBP. Similar to the text unit method, LTP has 6561 possible values as well, which not only poses a computational challenge but also leads to sparse histograms. To solve these problems, a coding scheme is introduced to split a LTP code into two binary codes, the positive one (PLTP) and the negative one (NLTP). Therefore, the total number of possible values of two split binary codes is reduced to 512. LTP doubles the size of feature dimensions and histograms. Some of experiments show that LTP and LBP achieved similar results for face and facial expression recognition, although LTP has a higher computational cost than LBP (Tan & Triggs, 2007, 2010), (Gritti, 2008).

To improve the performance of LTP, this dissertation proposes another new local texture descriptor, Local Quaternary Patterns (LQP) and its extension, Feature Local Quaternary Patterns (FLQP). LQP encodes four relationships of local texture, and therefore, it includes more information of local texture than the LBP and LTP which encodes two, and three relationships, respectively. LQP has 65535 ( $4^8$ ) possible values. To reduce the size of feature dimensions and histograms of LQP, a coding scheme is introduced to split each LQP code into two binary codes, the upper LQP (ULQP) and the lower LQP (LLQP). After splitting, the possible LQP values are reduced to 512. FLQP is the extension of LQP using the same approach of FLBP. FLQP can compare a pixel with

the pixels in its own neighborhood as well as in other neighborhoods, and encodes the information of both local texture and features. FLQP also has 65535 possible values. To reduce the size of feature dimensions and histograms, an FLQP code can be split into two binary codes as well, the upper FLQP (UFLQP) and the lower FLQP (LFLQP).

To demonstrate their feasibility, the proposed LQP and FLQP methods are applied to eye detection on the BioID database. Experimental results show that both FLQP and LQP achieve better eye detection performance than Feature Local Ternary Patterns (FLTP), LTP, FLBP and LBP. The FLQP method achieves the highest eye detection rates.

### **1.3 Overview of Dissertation**

The remaining part of the dissertation is organized as follows. Chapter 2 first reviews the origin, applications and extensions of LBP, and then discusses the objective, performance and extensions of LTP. Last the chapter reviews the recent works and techniques for eye detection. Chapter 3 reviews the definitions of LBP, distance transform and distance vector, and then introduces FLBP. The three special cases of FLBP which are LBP, FLBP1 and FLBP2 are also described in the chapter. Chapter 4 first reviews the LTP and its code schema, and then introduces the LQP and its extension, FLQP. The new code schemas to reduce the dimension of LQP and FLQP are presented in the chapter. Chapter 5 describes the application of FLBP and FLQP to eye detection. A new feature pixel extraction method, LBP with Relative Biased Threshold (LRBT) is introduced. The system architecture of the FLBP-based and FLQP-based eye detection method is described. A fast algorithm that is used to compute FLBP histogram and similarity is explained. The FLBP application on gradient images is discussed. Chapter 6 discusses the



experimental results of FLBP-based eye detection method. In chapter 6 the performance of the FLBP method is compared with the LBP method, and the methods using other local texture descriptors. The performance of the FLBP method in terms of feature pixels and parameters is assessed. An enhanced eye detection method is introduced and its performance is compared with other state of the art eye detection methods. Chapter 7 discusses the experimental results of LQP-based and FLQP-based eye detection methods. First the performance of LQP-based and FLQP-based methods is assessed, and then the performance of LTP-Based and FLTP-based method is assessed. Last the performance of the LBP, FLBP, LTP, FLTP, LQP and FLQP eye detection methods is comparatively assessed. Chapter 8 summarizes the dissertation and discusses the future work.

## **CHAPTER 2**

### **BACKGROUND**

#### **2.1 Local Binary Patterns**

The local binary pattern (LBP) was originally designed for texture description (Ojala et al., 1994), (Ojala et al., 1996), (Ojala et al., 2002). LBP assigns a label to every pixel of an image by compared with its eight neighbors in a 3 x 3 neighborhood. Two possible values: 0 or 1 is assigned to each neighbor whose value is “less than” or “greater than or equal to” the value of the center pixel, respectively. For each given pixel, a binary LBP code is obtained by concatenating the binary values of its eight neighbors in the 3 x 3 neighborhood. The corresponding decimal value of the binary code is used to label the given pixel. As a result, there are 256 ( $2^8$ ) possible value in total. The most important properties of the LBP operator are its tolerance against illumination and computational simplicity, which makes it possible to analyze images in real-world in real-time.

The LBP has been widely applied in many applications. Face recognition is one of the most popular and successful applications in recent years. Ahonen et al. (2004, 2006) presented a facial image representation based on local binary pattern (LBP). The face image is divided into several local regions from which the local LBP histograms are extracted, and then concatenated them into a feature vector to be used as a face descriptor. The performance of the proposed method was assessed in the face recognition problem. The weighted Chi square distance and nearest neighbor (NN) classifier are used as similarity measure between corresponding LBP histograms of two face images. Their

method was tested on the FERET database and yields the recognition rates of 97%, 79%, 66% and 64% on the fb, fc, dup I and dup II sets, respectively. The experimental results showed that their approach outperforms the PCA, the elastic bunch graph matching (EBGM), and the Bayesian intra- and extra-personal classifier. G. Zhang et al. (2004) presented an approach for face recognition by boosting statistical local features based classifiers. The face image is scanned with a scalable sub-window from which the LBP histograms are obtained to describe the local features of a face image. The AdaBoost algorithm is used to learn a similarity of every face image pairs. The proposed method was tested on the fb set of FERET database. W. Zhang et al. (2005a) proposed a local Gabor binary pattern histogram sequence (LGBPHS) for face recognition. In their approach, a face image is modeled by concatenating the histograms of all the local regions of all the local Gabor magnitude binary pattern maps. For recognition, histogram intersection is used to measure the similarity of different LGBPHSs and the nearest neighborhood is exploited for final classification. They further proposed to assign different weights for each histogram piece when measuring two LGBPHSs. The proposed method was tested on the AR and FERET face databases. W. Zhang et al. (2005b) proposed Multi-resolution Histograms of Local Variation Patterns (MHLVP) to recognize faces. For a face image, multiple Gabor feature maps (GFM) are computed by convolving the image with the multi-scale and multi-orientation Gabor filters. Each GFM is then divided into small non-overlapped regions from which LBP histograms are extracted and concatenated into a feature histogram for GFM. Moreover, the feature histograms extracted from all GFM are concatenated into a single feature histogram as the final facial representation of the given face image. Histogram intersection is used as

the similarity matching between the histograms of two face images. Their method is tested on the fb, fc, dup I and dup II sets of FERET database. J. Zhao et al. (2005) presented a LBP based Kernel Fisher Discriminant Analysis (KFDA) approach by integrating the LBP descriptor of face images and the KFDA method for face classifier. They introduced the kernel function by using Chi square statistic distance and RBF as inner product for KFDA classifier. They tested their method on the FRGC database. Hadid et al. (2006a, 2007) introduced VLBP to extract and use the local facial dynamics for a spatio-temporal face recognition from video. AdaBoost was applied to learn the specific facial dynamics of each person, while ignoring intrapersonal temporal information, such as facial expressions. Yao et al. (2007) used Local Gabor Binary Pattern Histogram (LGBPH) features for face representation, and adopts RankBoost to select the most discriminative features for face recognition. Their approach was tested on the FERET databases. Chan et al. (2007) proposed a discriminative face representation derived by the Linear Discriminant Analysis (LDA) of multi-scale local binary pattern histograms for face recognition. The face image is first partitioned into several non-overlapping regions. In each region, multi-scale local binary uniform pattern histograms are extracted and concatenated into a regional feature. The features are then projected on the LDA space to be used as a discriminative facial descriptor. The method is tested on the FERET and XM2VTS databases. Li et al. (2006, 2007) and D. Huang (2007) applied LBP to near-IR (NIR) facial images for face recognition. LBP feature has also be applied to 3-D face recognition (Li et al, 2005), (X. Huang et al, 2006), (Nanni and Lumini, 2007). Yang and Wang (2007) presented a LBP-based face recognition method with Hamming distance constraint. By assuming that the illumination, pose or expression

changes of a face image are some kinds of "noise", they introduced the Hamming distance in channel coding to LBP so as to decrease the error rate caused by these noise disturbances. Experimental results on FRGC show that their method improves the recognition performance than the traditional LBP-based face recognition methods when face images are under uncontrolled circumstances. W. Zhang et al. (2008) argued that Gabor phases are also useful for face recognition by applying LBP on Gabor phases face image. Their experimental results show that the Gabor phases are quite compensatory to the magnitude information, since higher classification accuracy is achieved by combining Gabor phases and magnitudes. Lei et al. (2008) presented a face representation and recognition approach. The face image is first decomposed by multi-scale and multi-orientation Gabor filters. The Gabor magnitude responses are reformulated as a 3rd-order volume and then apply LBP analysis on three orthogonal planes of the Gabor volume, named GV-LBPTOP. Further, a computationally effective version, E-GV-LBP, is proposed to depict the neighboring changes in spatial, frequency and orientation domains simultaneously. Z. Liu and C. Liu (2010) presented a novel face recognition method by means of fusing color, local spatial and global frequency information. Specifically, the proposed method fuses the multiple features derived from a hybrid color space, the Gabor image representation, the local binary patterns (LBP), and the discrete cosine transform (DCT) of the input image. First, a hybrid color space, the RCrQ color space, is constructed by combining the R component image of the RGB color space and the chromatic component images, Cr and Q, of the YCbCr and YIQ color spaces, respectively. Second, three effective image encoding methods are proposed for the component images in the RCrQ hybrid color space to extract features: (i) a patch-based

Gabor image representation for the R component image, (ii) a multi-resolution LBP feature fusion scheme for the Cr component image, and (iii) a component-based DCT multiple face encoding for the Q component image. Experiments on the FRGC database show that the proposed method improves face recognition performance significantly.

LBP has also been used for face detection. Hadid *et al.* (2004) proposed a face detection method that is based on LBP and consists of dividing the facial image into a set of regions from which LBP feature histograms are computed and concatenated into a single histogram. The approach uses a second degree polynomial kernel SVM for classification. They tested their method on the MIT-CMU database. H. Zhang and D. Zhao (2004) presented a face detection approach in color images using LBP. First, five measurements,  $Y$ ,  $R$ ,  $G$ ,  $B$ , and  $\theta$ , in the RGB and YUV color space are extracted from the original images. LBP spatial histograms are calculated on the five color measurements. Based on the spatial histogram representation, discriminating features are extracted for face detection. A hierarchical classifier combining histogram matching algorithm and support vector machine is utilized to identify face and non-face. Hadid *et al.* (2006b) proposed an approach which combines the advantages of both color and gray scale based methods to detect faces in natural and unconstrained environments. Their method first preprocesses the images using skin modeling in order to determine the potential skin regions. Thus, a scanning of the whole image when searching for faces is avoided. Then, they apply an exhaustive search in and around the detected skin regions using a gray scale based approach. The experimental results show that the proposed approach inherits the speed from the color based methods and the efficiency from the gray scale based ones. Jin *et al.* (2006) presented a face detection approach using improved local binary

patterns (ILBP) as facial representation. ILBP feature is an improvement of LBP feature that considers both local shape and texture information instead of raw grayscale information. They model the face and non-face class using multivariable Gaussian model and classify them under Bayesian framework. They tested their method on The Yale B and MIT-CMU database. L. Zhang et al. (2007) presented Multi-block Local Binary Patterns (MB-LBP) for face detection. Based on the MB-LBP features, a boosting-based learning method is developed to achieve the goal of face detection. Their experiments show the weak classifiers based on MB-LBP are more discriminative than Haar-like features and original LBP features. Pan et al. (2013) proposed heterogeneous feature descriptors for face detection. A face is represented by the Generalized Haar-like (GH) descriptor, Multi-Block Local Binary Patterns (MB-LBP) descriptor and Speeded-Up Robust Features (SURF) descriptor. The approach uses Adaboost learning algorithm for classification.

Another application of LBP is Facial expression analysis. Feng et al. (2004a, 2004b) introduced a coarse-to-fine classification scheme to recognize facial expressions with the LBP histogram as face representation. In the coarse stage, the seven class problem is reduced to a two-class one. In the fine classification stage, a K-nearest neighbor classifier fulfills final classification. The method is tested on the JAFFE database. Feng et al. (2005) proposed an approach to recognize facial expression. The LBP are used to represent the facial images. The linear programming (LP) technique is adopted to classify seven facial expressions. He et al. (2005) applied LBP on four kinds of frequency images decomposed by Gabor wavelets for facial expression recognition. Their experiments show that their approach improves the performance of facial

expression recognition. Liao et al. (2006) proposed facial expression recognition approach based on texture features and global appearance features. The first feature set is obtained by using the extended local binary patterns in both intensity and gradient maps and computing the Tsallis entropy of the Gabor filtered responses. The second set of features is obtained by performing null-space based linear discriminant analysis on the training face images. The proposed method is evaluated on the JAFFE database. G. Zhao et al. (2007a) proposed an approach for recognizing dynamic textures and its simplifications and extensions to facial image analysis. The textures are modeled with volume local binary patterns (VLBP), which are an extension of the LBP operator. To make the approach computationally simple, LBP-TOP is then considered. A block-based method is also proposed to deal with specific dynamic events, such as facial expressions. A recognition rate of 96.26% was achieved on the Cohn–Kanade database. Cao and Tong (2008) proposed a method to combine LBP and embedded hidden markov model (EHMM) for facial expression recognition. Shan et al. (2005a, 2005b, 2005c, 2008 and 2009) empirically evaluated facial representation using LBP for facial expression recognition. Different machine learning methods are systematically examined on several databases. The results show that LBP features are efficient for facial expression recognition. They further formulated Boosted-LBP to extract the most discriminant LBP features, and the best recognition performance is obtained by using SVM classifiers with Boosted-LBP features. They investigated LBP features for low-resolution facial expression recognition. Their experiments show that LBP features perform stably and robustly over a useful range of low resolutions of face images, and yield promising performance in compressed low-resolution video sequences captured in real-world



environments. Moore and Bowden (2011) investigated the effects of pose on facial expression recognition using LBP and some extensions including multi-scale LBP (LBP<sup>ms</sup>) and local gabor binary patterns (LGBP) on BU3DFE and multi-pie database. Results in their paper show that LGBPs outperform other features.

In addition to facial image analysis, LBP has been exploited in other applications. Banerji et al. (2011, 2013) proposed a new color multi-mask LBP for texture and scene classification. They further proposed a novel Three Dimensional Local Binary Patterns (3D-LBP) feature for color image. The proposed new LBP features combining with other features achieve better classification performance than other popular image descriptors. Sinha et al. (2012) proposed novel color Gabor-LBP-PHOG (GLP) descriptors for object and scene image classification. X. Nanni and Lumini (2008) presented an approach for pedestrian detection. They designed an ensemble of classifiers that employ LBP, Laplacian EigenMaps, and Gabor filters feature representation schemes of the pedestrian images. X. Wang et al. (2009) propose a human detection approach capable of handling partial occlusion by combining Histograms of Oriented Gradients (HOG) and LBP as the features. Liao et al. (2009) proposes an approach for texture classification using dominant local binary patterns (DLBP). Heikkilä and Pietikäinen (2006) presented a texture-based method for modeling the background and detecting moving objects from a video sequence. Each pixel is modeled as a group of LBP histograms that are calculated over a circular region around the pixel. Turtinen et al. (2006) studied the combined use of LBP texture features and the Isomap dimensionality reduction method for analyzing trans-illuminated paper textures. Oliver (2007) et al. (2007) proposed an approach for false positive reduction in the field of mammographic mass detection using LBP. Yuan et al.

(2011) proposed an image retrieval system based on bag-of-features (BoF) model by integrating scale invariant feature transform (SIFT) and LBP.

Many extensions of the original LBP have been proposed to improve the performance. Jin et al. (2006) argued that the original LBP might not include all the local structure information as the central pixel is not considered in the LBP coding. They presented an improved LBP (ILBP) for face detection, which compares all the pixels including the center pixel in a  $3 \times 3$  neighborhood with the mean of the pixels in the same neighborhood. As a result, the ILBP can represent 511 patterns (29-1, as all zeros and all ones are the same). The extended local binary pattern (ELBP) (X. Huang, 2006), (D. Huang, 2007) is presented to not only compare the central pixel with its neighbors, but also encode their gray-value differences (GDs). The ELBP consists of several LBP codes at multiple layers. The first layer of ELBP is actually the original LBP code that encodes the sign of GD. The following layers of ELBP encode the absolute value of GD. Each absolute GD value is first encoded in its binary representation, and then all the binary values at a given layer form an additional LBP. Modify Local Binary Pattern (MLBP) (Pham-Ngo and Jo, 2006) is introduced for face detection by adding a set of spatial templates. Instead of comparing with each pixel of its neighborhood, the central pixel compares with two pixels in the neighborhood which are paired according to the spatial templates. Eight main spatial templates are defined in MLBP. Each of the spatial templates corresponds to one binary digit. If the value of center pixel is greater than the values of both pixels in a pair, their corresponding binary digit is assigned to 1, otherwise 0. A Multi-Block LBP (MB-LBP) is introduced for face recognition (Liao and Li, 2007) and face detection (L. Zhang et al, 2007). MB-LBP compares the average intensity of the

central sub-region with its neighboring sub-regions. The original LBP can be regarded as a special case of the MB-LBP, where the central and neighboring sub-regions contain only one pixel. The neighboring pixels in the original LBP are defined on a circle. An Elongated LBP (Liao and Chung, 2007) with neighboring pixels lying on an ellipse is introduced for face recognition. The experimental results demonstrate that the Elongated LBP outperforms the original LBP for face recognition. Fu and Wei (2008) introduced the Centralized Binary Patterns (CBP). CBP compares two neighbor pixels at the same diameter of the circle, and also compares the central pixel with the mean of all the pixels (including the central pixel) in the neighborhood. CBP needs only five binary digits which represent the relationships of four pairs of the neighbor pixels on the same diameter and the central pixel with the mean of all the pixels. The experiments for facial expression recognition show that CBP has better performance than LBP although it includes less relationships of local texture. Guo et al. (2010) proposed a complete LBP (CLBP) which is similar to ELBP. Unlike the binary bit coding schema used by ELBP, CLBP compares the absolute value of GD with the given central pixel again to generate an LBP code. B. Zhang and Gao (2010) introduced Local Derivative Pattern (LDP). LDP is a general framework to encode directional pattern features based on local derivative variations. Murala and Maheshwari (2012) introduced local tetra patterns (LTrP). LTrP encodes the relationship between the center pixel and its neighbors, based on the directions that are calculated using the first-order derivatives in vertical and horizontal directions.

## 2.2 Local Ternary Patterns

Tan and Triggs (2007, 2010) argued that LBP tends to be sensitive to noise, especially in near-uniform image regions. They introduced the three-valued code, LTP to solve the problem. In LTP, neighbor pixels are compared with an interval  $[-r, +r]$  around the value of the center pixel. A neighbor pixel is assigned 1, 0 or -1, if its value is above  $+r$ , in the interval  $[-r, +r]$  or below  $-r$ , respectively. LTP has 6561 ( $3^8$ ) possible values which not only poses a computational challenge but also leads to sparse histograms. To solve these problems, a coding scheme is introduced to split a LTP code into two binary codes, the positive one (PLTP) and the negative one (NLTP). Therefore, the total number of possible values of two split binary codes is reduced to 512.

They compared the performance of LBP and LTP on face recognition using different image preprocessing methods. Their results show that LTP yields best results using their proposed preprocessing method. However, their experiments also shows that the LBP archives better results than LTP using some other competing preprocessing methods. Gritti et al. (2008) compared the performance of different local texture features, including LBP and LTP for facial expression recognition. Their results show that LBP archived the best overall performance.

The performance of LTP depends on  $r$ , the radius of the interval around the value of the central pixel. It is a challenging task to find a best  $r$ . Akhloufi and Bendada (2010) proposed the Local Adaptive Ternary Pattern (LATP). LATP computes  $r$  using the mean and the standard deviation of the local region. The results show LATP performs better than LTP in face recognition. Liao et al. (2010) proposed the Scale Invariant Local Ternary Pattern (SILTP). In SILTP  $r$  is determined by the value of center pixel and is

grey scale invariant. The results show that SILTP is effective for handling illumination variations.

### **2.3 Eye Detection**

Eye detection, an example of facial landmark detection, plays an important role in designing an automatic face recognition system. Eyes have some unique geometric and photometric characteristics, which provide important and reliable information for their localization. Even though a lot of research has been carried out and some progress has been reported, eye detection remains a challenging research topic due to the difficult factors caused by occlusion, closed eye, illumination variation, eye size and orientations, etc. Three major types of approaches for eye detection are template-based, distinctive feature-based and photometric appearance-based approaches.

The template-based method usually constitutes two components: a geometric eye model and a similarity measure. The geometric eye models are constructed from either the local point features of the eye and face region or from their contours. In template-based method, different segments of an input image are compared with those in the template, usually using a similarity measure to evaluate the similarity of the counterpart. Yuille et al. (1992) proposed a deformable template for face features, where an eye is described by a parameterized template. Specifically, an energy function is first defined to link the edges, peaks, and valleys in an image to the properties of the template. The template then interacts dynamically with the image by altering its parameter values to minimize the energy function, and by doing so deforms itself for the best fit. However, this method is not only time consuming, but critically relies on the initial position of the template. If the initial position of the template is above the eyebrow, for example, the method fails to

detect the eye. Lam and Yan (1996) extend Yuille's method for extracting eye features by using corner locations inside the eye windows as initialization points. The detected eye corners are used to reduce the number of iterations in the optimization of the deformable template. Further improvement of the method by applying some eye features in the initialization stage was also reported by Xie et al. (1994), L. Zhang (1996), Kampmann and Zhang (1998).

The common features in the distinctive feature-based approaches include edge, intensity of iris, as well as color distribution. Feng and Yuen (1998, 2001) described an eye model that consists of six landmarks corresponding to the eye corner points, which are located based on a variance projection function or VPF. Zhou and Geng (2004) extended the VPF to a generalized projection function (GPF). Their experiments show that the hybrid projection function, which is a special case of GPF, is better than VPF, while VPF is better than the integral projection function. Kawato et al. (2000, 2002) proposed a method that extracts the center point between the two eyes. Based on the observation that the between-eye area is dark on its left and right (eyes and eyebrows) and bright on the upper side (forehead) and the lower side (nose bridge), they proposed a circle-frequency filter to locate the candidate points. Sirohey et al. (2001, 2002) presented methods for eye detection using linear and non-linear filters. The linear filter contains the Gabor wavelets with four orientations for detecting the edges of an eye's sclera, and a Gaussian filter for detecting the dark circle of the iris. The nonlinear filter is used to detect the left and right corners of an eye in a color image. Kawaguchi and Rizon (2003) proposed a method for locating the iris of an eye using both intensity and edge information. Other methods (Wu and Zhou, 2003), (Han et al. 2002) first extract the

intensity valleys as the potential eye-analogue segments. A pair of eye-analogue segments is then detected as eyes if its placement is most consistent with the anthropological characteristic of human eyes. Khosravi and Safabakhsh (2008) proposed an approach that uses a morphological method for extracting an eye strip, where the iris is located through template matching by means of an adaptive half circle template.

The photometric appearance-based approaches usually collect a large amount of training data representing the eyes of different subjects, with different face orientations and under different illumination conditions. A classifier or regression model is then constructed for eye detection. The Eigen analysis has been applied in eye detection (Pentland et al., 1994), (Ryu and Oh, 2001), (W. Huang and Mariani, 2000), (Hillman et al., 2003). Pentland et al. (1994) extended the eigenfaces technique to the description and coding of facial features, yielding eigeneyes, eigennoses, and eigenmouths. Asteriadis et al. (2009) proposed a method for detecting eye and mouth using distance vector field. Recently, Chen and Liu (2010) presented an eye detection method using color information and wavelet features together with a new efficient Support Vector Machine (eSVM)

## CHAPTER 3

### FEATURE LOCAL BINARY PATTERNS

The proposed Feature Local Binary Patterns (FLBP) encodes the information of both local texture and features. The features are broadly defined by any features which meet the requirements of specific applications, such as the edges, the intensity peaks or valleys, the Gabor wavelet features, the color features. This chapter first reviews LBP, the concepts of the distance transform and distance vector, and then presents FLBP.

#### 3.1 Local Binary Patterns

Local binary patterns, or LBP, define a gray-scale invariant texture description by comparing a center pixel which is used as a threshold with those pixels in its local neighborhood (Ojala et al. 1994, 1996, 2002). Specifically, for a  $3 \times 3$  neighborhood of a pixel  $\mathbf{p} = [x, y]^t$ , each neighbor is labeled by a number from 0 to 7 as shown in Figure 3.1. The neighbors of the pixel  $\mathbf{p}$  thus may be defined as follows:

$$\mathbf{N}(\mathbf{p}, i) = [x_i, y_i]^t, \quad i = 0, 1, 2, \dots, 7 \quad (3.1)$$

where  $i$  is the number used to label the neighbor. The value of the LBP code of the pixel  $\mathbf{p}$  is calculated as follows:

$$LBP(\mathbf{p}) = \sum_{i=0}^7 2^i S\{G[\mathbf{N}(\mathbf{p}, i)] - G(\mathbf{p})\} \quad (3.2)$$



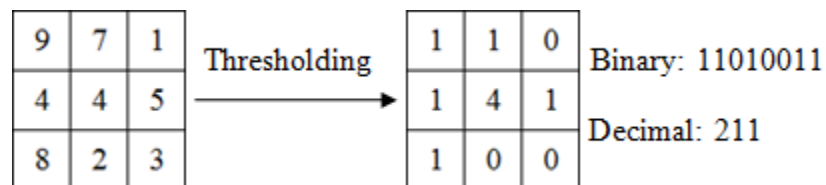
where  $G(\mathbf{p})$  and  $G[\mathbf{N}(\mathbf{p}, i)]$  are the gray level of the pixel  $\mathbf{p}$  and its neighbor  $\mathbf{N}(\mathbf{p}, i)$ , respectively.  $S$  is a threshold function that is defined as follows:

$$S(x_i - x_c) = \begin{cases} 1, & \text{if } x_i \geq x_c; \\ 0, & \text{otherwise.} \end{cases} \quad (3.3)$$

7	6	5
0	<b>p</b>	4
1	2	3

**Figure 3.1** The  $3 \times 3$  neighborhood of a pixel  $\mathbf{p}$  and the label of its neighbors.

LBP can achieve gray-scale invariance because only the signs of the differences between the center pixel and its neighbors rather than their exact values are used to define the LBP code. Figure 3.2 shows an example of computing the LBP code. The left  $3 \times 3$  matrix displays a neighborhood of a center pixel whose gray level is 4. After thresholding, the right  $3 \times 3$  matrix reveals the signs of the differences between the center pixel and its neighbors. The binary LBP code is 11010011, which corresponds to 211 in decimal.



**Figure 3.2** An example of the LBP computation.

### 3.2 Distance Transform and Distance Vector

The features in FLBP are broadly defined. Different features could be used by different pattern recognition tasks. For a certain type of features, many feature pixels can be extracted from an image. FLBP uses distance transform and distance vector to locate a feature pixel for an image pixel.

A Feature extracted from an image can be represented by a binary image. In a binary image, each pixel assumes one of two discrete values: 0 or 1. While pixels of value 0 are called the background pixels, pixels of 1 are called feature pixels. For a given metric  $\delta$ , the distance transform of an image is an assignment to each pixel  $\mathbf{p}$  of the distance between  $\mathbf{p}$  and the nearest feature pixel  $\mathbf{q}$ :

$$D(\mathbf{p}) = \delta(\mathbf{p}, \mathbf{q}) \quad (3.4)$$

$$\mathbf{q} = \arg \min_{\mathbf{r} \in F} \delta(\mathbf{p}, \mathbf{r}) \quad (3.5)$$

where  $F$  is the set of all feature pixels of the binary image, and the distance map  $D$  is called the distance transform. Since the Euclidean distance is widely used in many image applications, several algorithms with linear time complexity have been developed for the fast computation of the Euclidean distance transform (Maurer et al., 2011), (Costa, 2008). One shortcoming of the distance transform is that it does not contain the exact location of the nearest feature pixels. To overcome this shortcoming, a new concept of Distance Vector Field (DVF) is presented by assigning to each pixel  $\mathbf{p}$  of a vector  $\mathbf{dv}$



*Definition 2:* Virtual Center (VC) is a pixel used to replace the center pixel of a given neighborhood.

Let  $\mathbf{p}$  and  $\mathbf{q}$  represent a pixel and its nearest feature pixel, respectively. Let  $\mathbf{dv}$  be the distance vector pointing from  $\mathbf{p}$  to  $\mathbf{q}$  as defined by Equation 3.6. Note that  $\mathbf{dv}$  is used to replace  $\mathbf{dv}(\mathbf{p})$  for simplicity. Let  $\mathbf{C}_t(\mathbf{p})$  and  $\mathbf{C}_v(\mathbf{p})$  be the TC and VC of  $\mathbf{p}$ , respectively. The TC, which may be any pixel on the path from  $\mathbf{p}$  to  $\mathbf{q}$ , is defined as follows:

$$\mathbf{C}_t(\mathbf{p}) = \mathbf{p} + \alpha_t \mathbf{dv} \quad (3.7)$$

where  $\alpha_t \in [0, 1]$  is a parameter that controls the location of the TC. When  $\alpha_t = 0$ , the TC is  $\mathbf{p}$ ; when  $\alpha_t = 1$ , the TC is  $\mathbf{q}$ ; and when  $0 < \alpha_t < 1$ , the TC is a pixel on the path between  $\mathbf{p}$  and  $\mathbf{q}$ . Similarly, the VC, which may be any pixel on the path from  $\mathbf{p}$  to  $\mathbf{q}$  as well, is defined as follows:

$$\mathbf{C}_v(\mathbf{p}) = \mathbf{p} + \alpha_v \mathbf{dv} \quad (3.8)$$

where  $\alpha_v \in [0, 1]$  is a parameter that controls the location of the VC. When  $\alpha_v = 0$ , the VC is  $\mathbf{p}$ ; when  $\alpha_v = 1$ , the VC is  $\mathbf{q}$ ; and when  $0 < \alpha_v < 1$ , the VC is a pixel on the path between  $\mathbf{p}$  and  $\mathbf{q}$ .

The general form of FLBP is defined as follows:

$$FLBP(\mathbf{p}) = \sum_{i=0}^7 2^i S\{ G[\mathbf{N}(\mathbf{C}_t(\mathbf{p}), i)] - G[\mathbf{C}_v(\mathbf{p})] \} \quad (3.9)$$

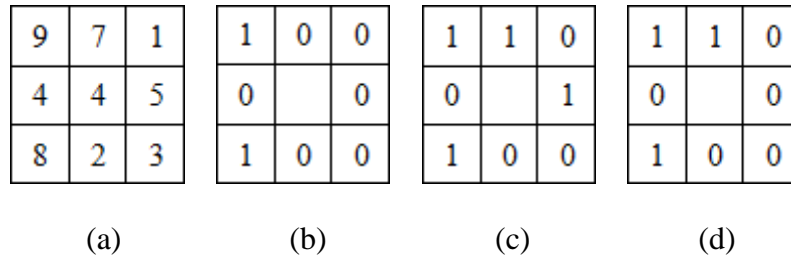
where  $\mathbf{N}(\mathbf{C}_t(\mathbf{p}), i)$  represents the neighbors of the TC.  $G[\mathbf{C}_v(\mathbf{p})]$  and  $G[\mathbf{N}(\mathbf{C}_t(\mathbf{p}), i)]$  are the gray levels of the VC and the neighbors of the TC, respectively.  $S$  is a threshold function. Equation 3.3 provides one definition of the function. Another definition of the threshold function introduces a fixed bias  $b$  (Kumar, 2009):

$$S(x_i - x_c) = \begin{cases} 1, & \text{if } x_i \geq x_c + b; \\ 0, & \text{otherwise.} \end{cases} \quad (3.10)$$

To increase flexibility, this dissertation introduces a threshold function using a relative bias:

$$S(x_i - x_c) = \begin{cases} 1, & \text{if } x_i \geq (1 + \beta)x_c; \\ 0, & \text{otherwise.} \end{cases} \quad (3.11)$$

where  $\beta$  is a parameter that controls the contribution of  $x_c$  to the bias.

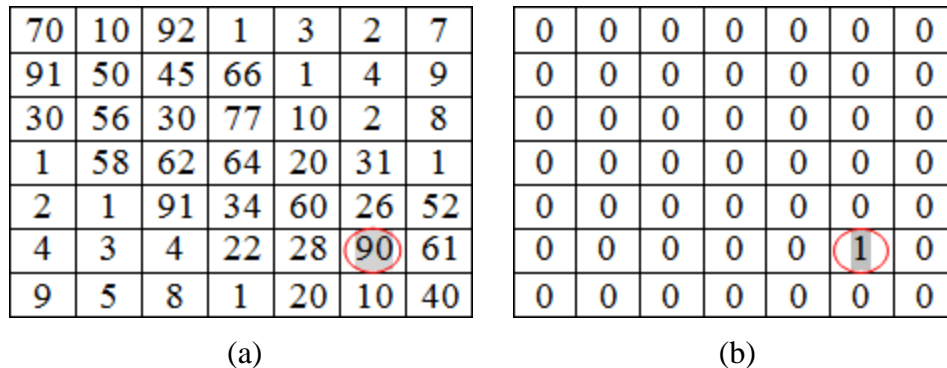


**Figure 3.4** (a) A  $3 \times 3$  image. (b) The LBP thresholding result using a fixed bias ( $b = 4$ ) threshold function. (c) The LBP thresholding result using a relative bias ( $\beta = 0.1$ ) threshold function. (d) The LBP thresholding result using a relative bias ( $\beta = 0.3$ ) threshold function.

Figure 3.4 shows the different LBP thresholding results when different threshold functions are applied. In particular, Figure 3.4(a) displays a  $3 \times 3$  image, and Figure

3.4(b), (c), and (d) exhibit the LBP thresholding results using a fixed bias ( $b = 4$ ) threshold function (Equation 3.10), a relative bias ( $\beta = 0.1$ ) threshold function (Equation 3.11), and a relative bias ( $\beta = 0.3$ ) threshold function (Equation 3.11), respectively.

Next is an example of the computation of FLBP code. Figure 3.5(a) shows a grayscale image. The upper left pixel is assumed at location (1, 1) in a Cartesian coordinate system with a horizontal axis pointing to the right and a vertical axis pointing downwards. As discussed before, the feature pixels in FLBP are broadly defined. In this example, for simplicity, the pixel at the coordinates (6, 6) is randomly picked as the only feature pixel. The corresponding binary feature image of Figure 3.5(a) is shown in Figure 3.5(b). Because the pixel at the coordinates (6, 6) in Figure 3.5(a) is the only pixel, the pixel becomes the only feature pixel in the binary image shown in Figure 3.5(b). Not surprisingly this feature pixel becomes the nearest one for all the pixels in Figure 3.5(a).



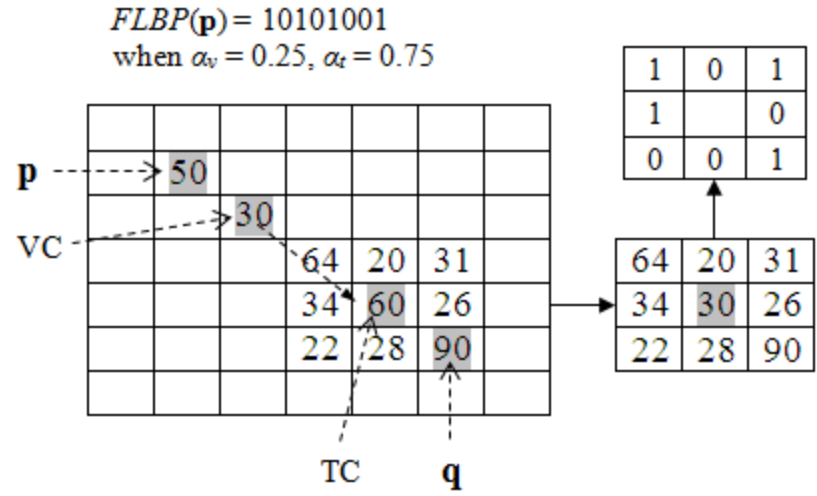
**Figure 3.5** (a) A grayscale image used in the examples of FLBP computation. (b) The binary feature image derived by extracting feature pixel from Figure 5(a).

Figure 3.5(a) shows the computation of the FLBP code of the pixel  $\mathbf{p}$  at coordinates (2, 2). First, the  $\mathbf{dv}$  pointing from  $\mathbf{p}$  to its nearest feature pixel  $\mathbf{q}$  is computed. Given  $\mathbf{p} = [2, 2]^t$ , and  $\mathbf{q} = [6, 6]^t$ ,  $\mathbf{dv} = \mathbf{q} - \mathbf{p}$  is equal to  $[4, 4]^t$ . On the path pointed by the  $\mathbf{dv}$ , the locations of TC and VC which are controlled by the parameters  $\alpha_t$  and  $\alpha_v$ , can be

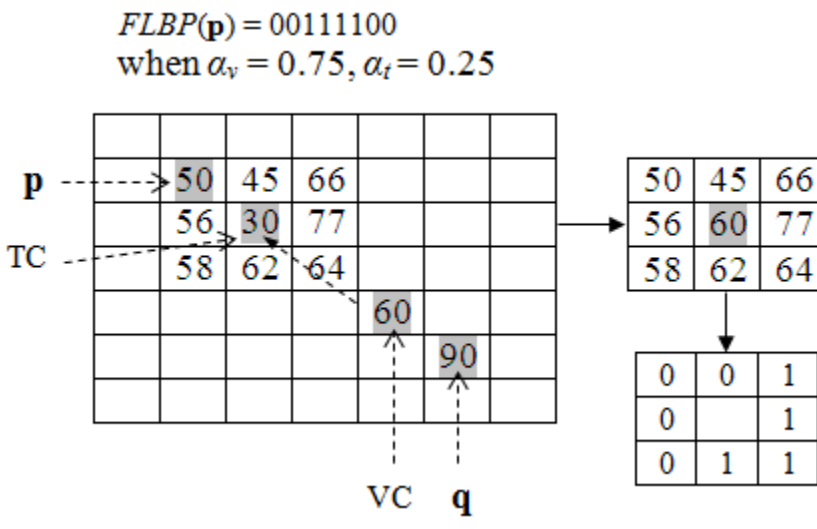
determined. After TC and VC are located, the FLBP code can be computed. Figure 3.6 shows two examples of the computation of FLBP with different locations of TC and VC. In Figure 3.6(a) given  $\alpha_t = 0.75$  and  $\alpha_v = 0.25$ ,  $\mathbf{C}_t(\mathbf{p}) = \mathbf{p} + \alpha_t \mathbf{d}\mathbf{v}$  is equal to  $[5, 5]^t$ , and  $\mathbf{C}_v(\mathbf{p}) = \mathbf{p} + \alpha_v \mathbf{d}\mathbf{v}$  is equal to  $[3, 3]^t$ . Therefore, the TC is the pixel at location (5, 5) and the VC is the pixel at location (3, 3). According to Equation 3.9, the binary FLBP code:  $FLBP(2, 2) = 10101001$  is obtained by replacing the gray level 60 of the TC by the gray level 30 of the VC as the new threshold of the neighbors of the TC. Figure 3.6(b) shows another example of the  $FLBP(2, 2)$  computation when  $\alpha_t = 0.25$ , and  $\alpha_v = 0.75$ . Similarly, the TC is the pixel at location (3, 3) and the VC is the pixel at location (5, 5). The binary FLBP code becomes:  $FLBP(2, 2) = 00111100$ .

FLBP has the following special cases:

- LBP is a special case of FLBP.  
When  $\alpha_v = \alpha_t = 0$ , the VC and TC coincide with the center pixel  $\mathbf{p}$ , and FLBP becomes LBP, where no feature pixels are involved. LBP compares the center pixel  $\mathbf{p}$ , with its own neighbors.
- FLBP Form 1 — FLBP1.  
When  $\alpha_v = 0$ , pixel  $\mathbf{p}$  becomes the VC, and the TC may be any pixel on the distance vector  $\mathbf{d}\mathbf{v}$ . FLBP1 compares the center pixel  $\mathbf{p}$  which is used as the threshold, with the neighbors of the TC.
- FLBP Form 2 — FLBP2.  
When  $\alpha_t = 0$ , pixel  $\mathbf{p}$  becomes the TC, and the VC may be any pixel on the distance vector  $\mathbf{d}\mathbf{v}$ . FLBP2 compares the VC which is used as the threshold, with the neighbors of the center pixel  $\mathbf{p}$ .



(a)



(b)

**Figure 3.6** The computation of FLBP for the pixel at (2, 2). (a) An example when TC ( $\alpha_t = 0.75$ ) is at (5, 5) and VC ( $\alpha_v = 0.25$ ) is at (3, 3) (b) An example when TC ( $\alpha_t = 0.25$ ) is at (3, 3) and VC ( $\alpha_v = 0.75$ ) is at (5, 5).

**3.4 Feature Local Binary Patterns—Form 1 (FLBP1)**

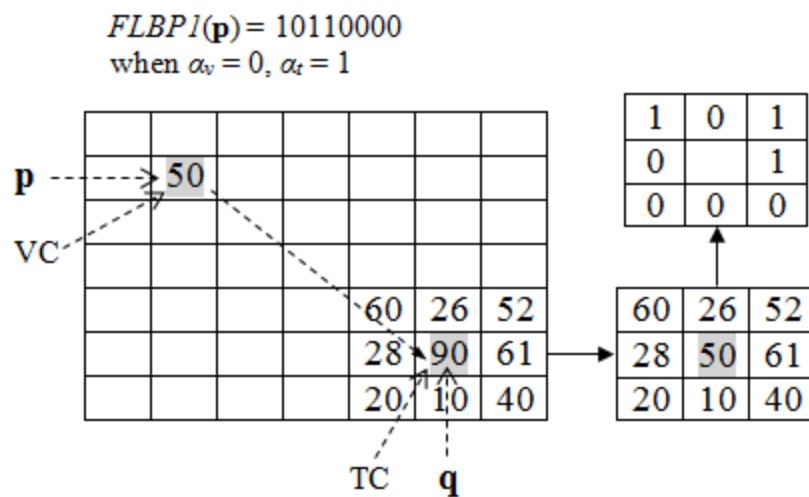
FLBP1, which is a special case of FLBP, when  $\alpha_v = 0$ , may be defined as follows:



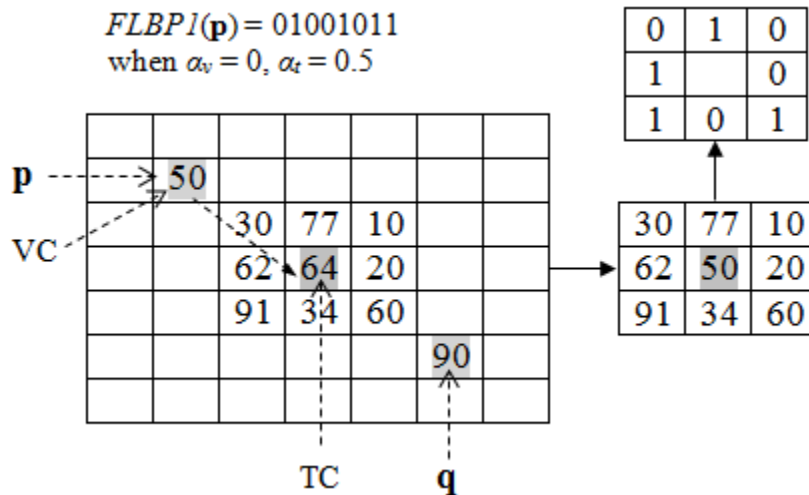
$$FLBP1(\mathbf{p}) = \sum_{i=0}^7 2^i S\{ G[\mathbf{N}(\mathbf{C}_t(\mathbf{p}), i)] - G(\mathbf{p}) \} \quad (3.12)$$

where  $G(\mathbf{p})$  and  $G[\mathbf{N}(\mathbf{C}_t(\mathbf{p}), i)]$  are the gray levels of the center pixel  $\mathbf{p}$  and the neighbors of the TC, respectively. Equation 3.12 shows that FLBP1 compares the center pixel  $\mathbf{p}$  with the neighbors of the TC, which may be any pixel on the distance vector  $\mathbf{d}\mathbf{v}$ .

Figure 3.7 illustrates the computation of FLBP1 using the same grayscale image and binary feature image shown in Figure 3.5. Figure 3.7(a) shows an example of FLBP1 at (2, 2) when  $\alpha_t = 1$ . The TC becomes the feature pixel  $\mathbf{q}$  at (6, 6) when  $\alpha_t = 1$ . The binary FLBP1 code becomes:  $FLBP1(2, 2) = 10110000$ . Figure 3.7(b) shows another example of FLBP1 at (2, 2) when  $\alpha_t = 0.5$ .  $\mathbf{C}_t(\mathbf{p}) = \mathbf{p} + \alpha_t \mathbf{d}\mathbf{v}$  is equal to  $[4, 4]^t$ . As a result, the TC is the pixel at location (4, 4). The binary FLBP1 code becomes:  $FLBP1(2, 2) = 01001011$ .



(a)



(b)

**Figure 3.7** The computation of FLBP1 for the pixel at (2, 2). (a) An example when TC ( $\alpha_t = 1$ ) is at (6, 6). (b) An example when TC ( $\alpha_t = 0.5$ ) is at (4, 4).

### 3.5 Feature Local Binary Patterns—Form 2 (FLBP2)

FLBP2, which is another special case of FLBP, when  $\alpha_t = 0$ , may be defined as follows:

$$FLBP2(\mathbf{p}) = \sum_{i=0}^7 2^i S\{ G[\mathbf{N}(\mathbf{p}, i)] - G[\mathbf{C}_v(\mathbf{p})] \} \quad (3.13)$$

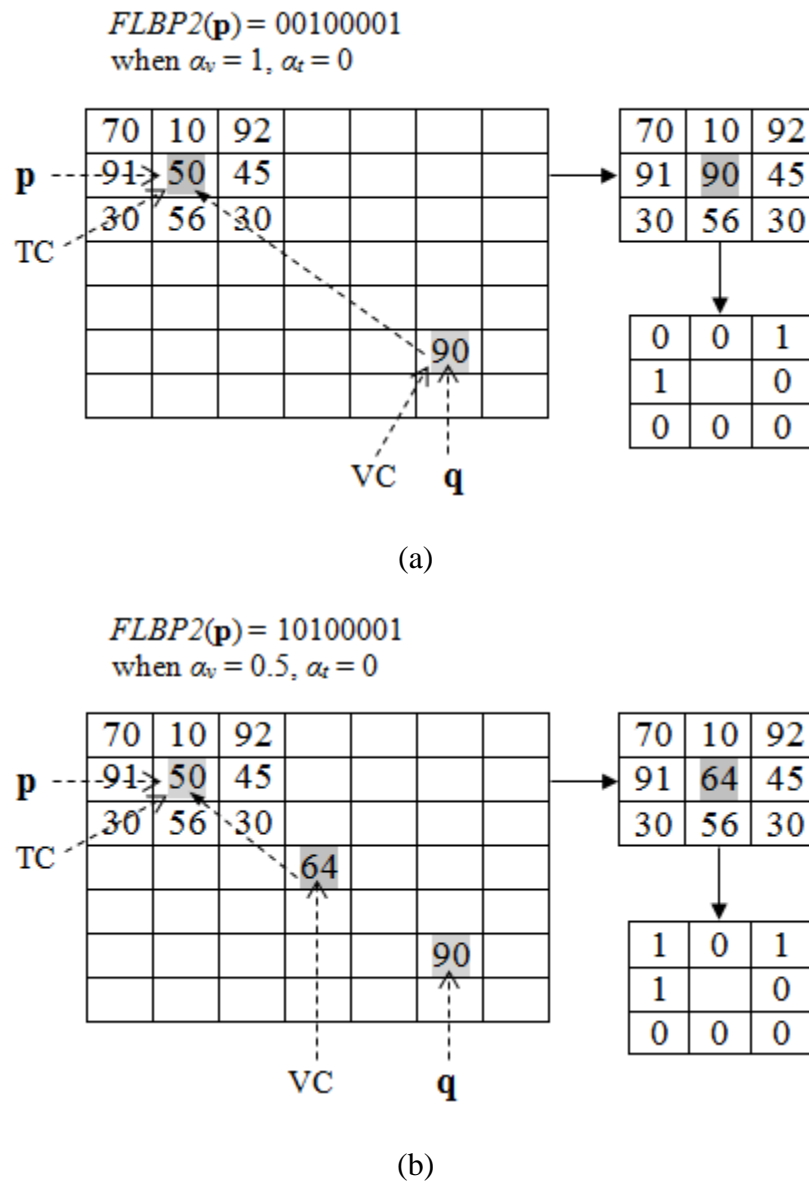
where  $G[\mathbf{C}_v(\mathbf{p})]$  and  $G[\mathbf{N}(\mathbf{p}, i)]$  are the gray levels of the VC and the neighbors of the center pixel  $\mathbf{p}$ , respectively. Equation 3.13 shows that FLBP2 compares the VC, which may be any pixel on the distance vector  $\mathbf{d}_v$ , with the neighbors of the center pixel  $\mathbf{p}$ .

Figure 3.8 illustrates the computation of FLBP2 using the same grayscale image and binary feature image shown in Figure 3.5. Figure 3.8(a) shows an example of FLBP2 at (2, 2) when  $\alpha_v = 1$ . The VC becomes the feature pixel  $\mathbf{q}$  when  $\alpha_v = 1$ . The binary FLBP2 code becomes:  $FLBP2(2, 2) = 00100001$ . Figure 3.8(b) shows another example of FLBP2 when  $\alpha_v = 0.5$ .  $\mathbf{C}_v(\mathbf{p}) = \mathbf{p} + \alpha_v \mathbf{d}_v$  is equal to  $[4, 4]^t$ . As a result, the VC is at location (4, 4). The binary FLBP2 code becomes:  $FLBP2(2, 2) = 10100001$ .

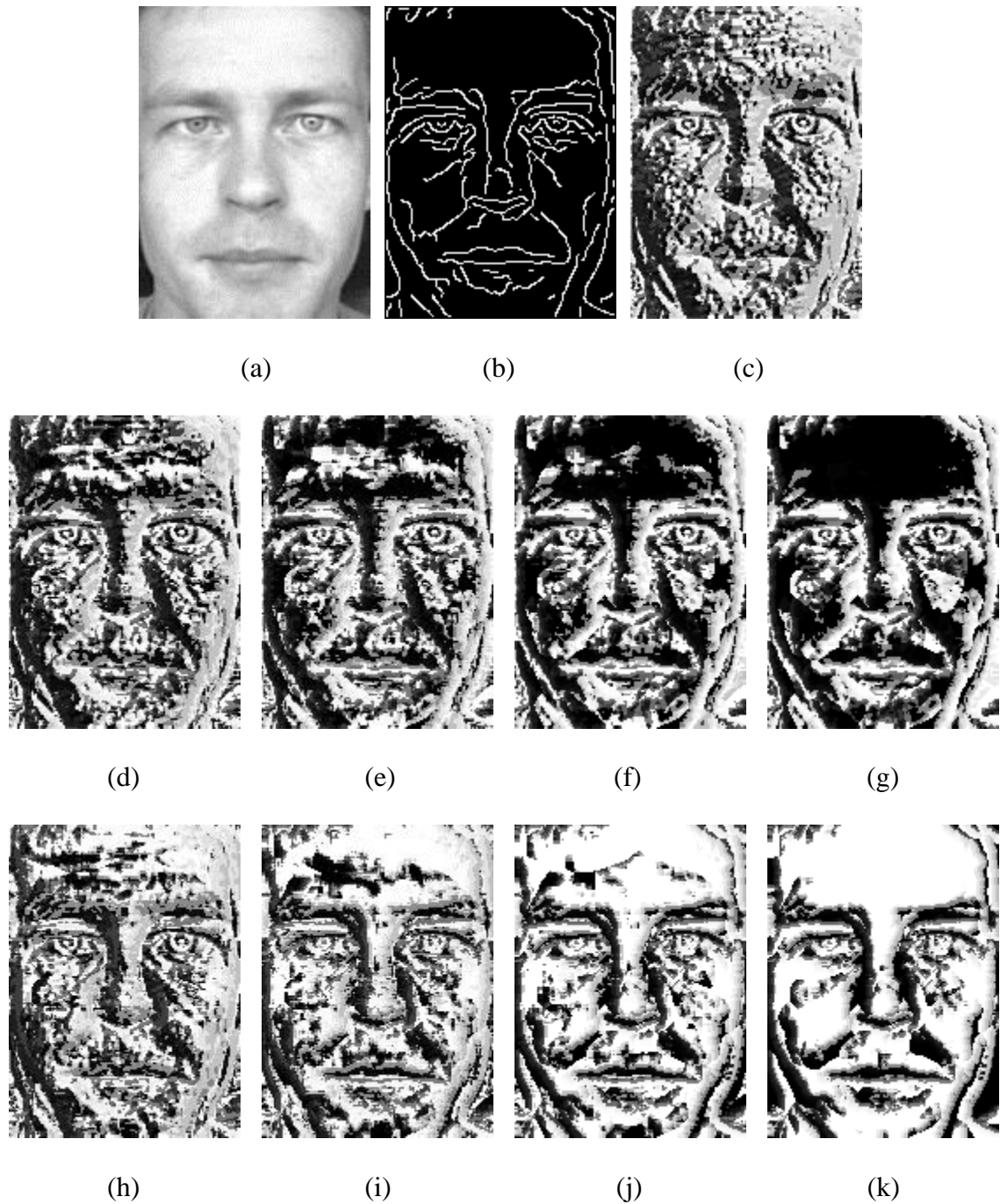
Figure 3.9 shows an example of the FLBP representation of a face image. The traditional LBP representation is also included for comparison. Specifically, Figure 3.9(a) and (b) display a face image and its binary feature image derived using the Canny edge detector. Figure 3.9(c) shows the LBP representation of the face image of Figure 3.9(a). Figure 3.9(d), (e), (f), and (g) exhibit the FLBP1 representations when  $\alpha_t = 0.25, 0.5, 0.75, 1$ , respectively. Figure 3.9(h), (i), (j), and (k) show the FLBP2 representations when  $\alpha_v = 0.25, 0.5, 0.75, 1$ , respectively.

Figure 3.9 shows that LBP has only one face representation, and FLBP can have many different face representations using different parameter values. The feature pixels used in the FLBP are broadly defined. Different feature pixels also lead to different FLBP representations. Different FLBP representations can serve different purposes for texture description and pattern recognition. FLBP encodes much richer information than LBP

does. Not only does FLBP encode both local and feature information, but it also enhances its representational power and flexibility by incorporating a number of parameters, such as the CT parameter  $\alpha_t$ , the VT parameter  $\alpha_v$ , as well as the relative bias parameter  $\beta$ .



**Figure 3.8** The computation of FLBP2 for the pixel at (2, 2) (a) An example when VC ( $\alpha_v = 1$ ) is at (6, 6). (b) An example when VC ( $\alpha_v = 0.5$ ) is at (4, 4).



**Figure 3.9** (a) A face image. (b) The binary feature image of Figure 9(a) derived using the Canny edge detector. (c) The LBP representation of the face image. (d)–(g) The FLBP1 representations when  $\alpha_t = 0.25, 0.5, 0.75, 1$ , respectively. (h)–(k) The FLBP2 representations when  $\alpha_v = 0.25, 0.5, 0.75, 1$ , respectively.

## CHAPTER 4

### LOCAL QUATERNARY PATTERNS AND FEATURE LOCAL QUATERNARY PATTERNS

This chapter first reviews LTP, and then introduces the new local texture descriptor, Local Quaternary Patterns (LQP) and its extension, Feature Local Quaternary Patterns (FLQP). LQP, which encodes four relationships of local texture, includes more information of local texture than the Local Binary Patterns (LBP) and Local Ternary Patterns (LTP). FLQP which encodes both local and feature information is expected to perform better than LQP for texture description and pattern recognition.

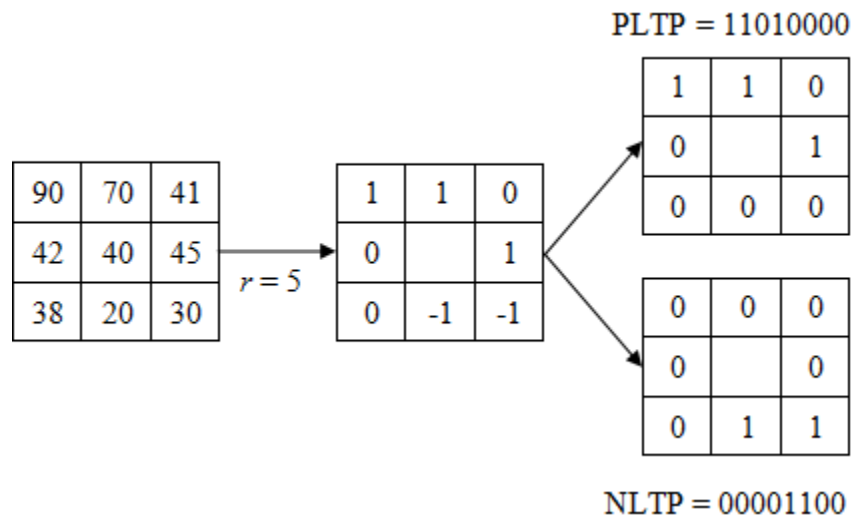
#### 4.1 Local Ternary Pattern

Tan and Triggs (2010) introduced Local Ternary Pattern or LTP operator. In LTP the threshold function is defined as follows:

$$S_{ltp}(g_i, g_c, r) = \begin{cases} 1, & \text{if } g_i \geq g_c + r \\ 0, & \text{if } |g_i - g_c| < r \\ -1, & \text{if } g_i \leq g_c - r \end{cases} \quad (4.1)$$

where  $r$  is the radius of the interval around the grey level of the central pixel. Figure 4.1 shows an example of the computation of LTP. The grey level of the central pixel is 40 and  $r$  is 5. A neighbor pixel is assigned to 1, 0 or -1, if its grey level is greater than or equal to 45, between 44 and 36, or less than or equal to 35, respectively. The total number of the possible LTP codes is 6561, which leads to a large size for the feature

dimension and sparse histograms of the LTP codes. To solve the problem, an LTP code is split into two binary codes: the positive and negative halves as shown in Figure 4.1. The positive half of LTP (PLTP) is obtained by replacing -1 with 0. The negative half of LTP (NLTP) is obtained by first replacing the 1 with 0 and then changing -1 to 1. Thus an LTP code can be represent by two binary codes. As a result, the total number of the split LTP codes is reduced to 512.



**Figure 4.1** Computing the LTP and splitting it to two binary codes, PLTP and NLTP.

## 4.2 Local Quaternary Patterns

The new Local Quaternary Patterns (LQP) encodes four relationships of local texture, and therefore, it includes more information of local texture than LBP and LTP. The threshold function of LQP is defined using two binary digits as follows:

$$S_{lqp}(g_i, g_c, r) = \begin{cases} 11, & \text{if } g_i \geq g_c + r \\ 10, & \text{if } g_c \leq g_i < g_c + r \\ 01, & \text{if } g_c - r \leq g_i < g_c \\ 00, & \text{if } g_i < g_c - r \end{cases} \quad (4.2)$$

where  $r$  is the radius of the interval around the value of the central pixel and may be defined as follows.

$$r = c + \tau g_c \quad (4.3)$$

Where  $c$  is a constant and  $\tau$  is a parameter to control the contribution of  $g_c$  to  $r$ . To reduce the total number of codes, an LQP code can be split into two binary codes, the upper and lower halves as shown in Figure 4.2. The upper half of LQP (ULQP) is obtained by extracting the first digit of LQP code. The lower half of LQP (LLQP) is obtained by extracting the second digit of LQP code. Thus the total number of LQP codes is reduced to 512.

From Equation 4.2 the threshold functions of ULQP and LLQP,  $S_{ulqp}$  and  $S_{llqp}$  can be derived as follows:

$$S_{ulqp}(g_i, g_c) = S_{lbp}(g_i, g_c) \quad (4.4)$$

$$S_{llqp}(g_i, g_c, r) = \begin{cases} 1, & \text{if } g_i \geq g_c + (-1)^{[1-S_{lbp}(g_i, g_c)]} r; \\ 0, & \text{otherwise.} \end{cases} \quad (4.5)$$

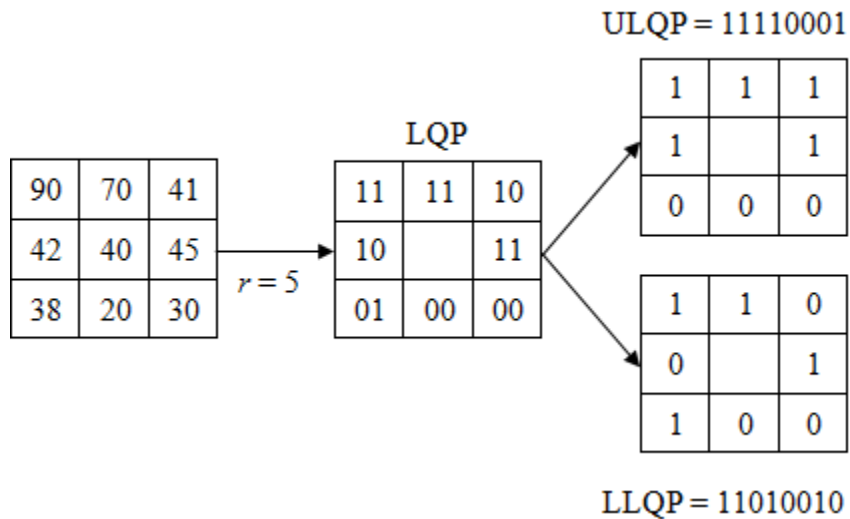


The threshold function of ULQP  $S_{ulqp}$  is equal to the threshold function of LBP and is not depend on the  $r$ . The ULQP and LLQP are defined as follows:

$$ULQP(\mathbf{p}) = LBP(\mathbf{p}) \quad (4.6)$$

$$LLQP(\mathbf{p}) = \sum_{i=0}^7 2^i S_{llqp}\{G[\mathbf{N}(\mathbf{p}, i)], G(\mathbf{p}), r\} \quad (4.7)$$

Note that the ULQP is the same as the LBP which is defined by Equation 3.2. Figure 4.2 shows an example of the computation of the LQP. The grey level of the central pixel is 40 and  $r$  is 5. The ULQP code is 11110001. For LLQP, a pixel is assigned 1 if it is greater than or equal to 45, or it is less than 40 and greater than or equal to 35, otherwise is assigned 0. The LLQP code is 11010010.



**Figure 4.2** Computing the LQP and splitting it to two binary codes ULQP and LLQP.

### 4.3 Feature Local Quaternary Patterns

LQP can be extended to FLQP using the method of FLBP introduced in chapter 3. To reduce the total number of codes, an FLQP code can be split into two binary codes, the upper half of FLQP (UFLQP) and the lower half of FLQP (LFLQP) using the threshold functions defined in Equations. 4.4 and 4.5, respectively. The UFLQP is equivalent to the FLBP which is defined by Equation 3.9. The general form of UFLQP and LFLQP is defined below:

$$UFLQP(\mathbf{p}) = FLBP(\mathbf{p}) \quad (4.8)$$

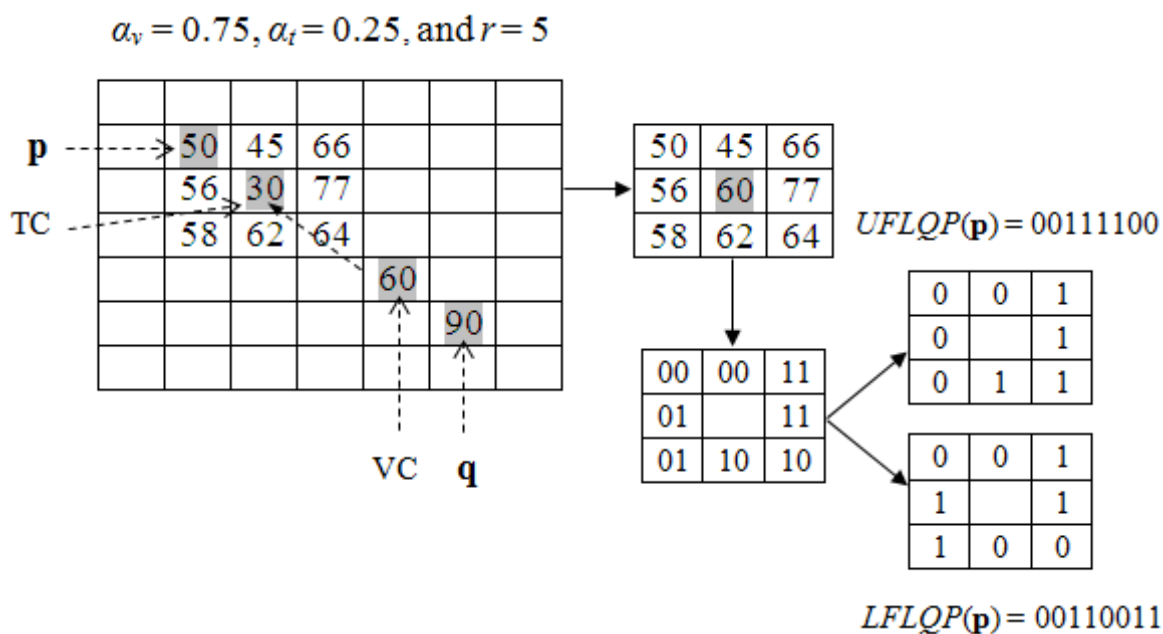
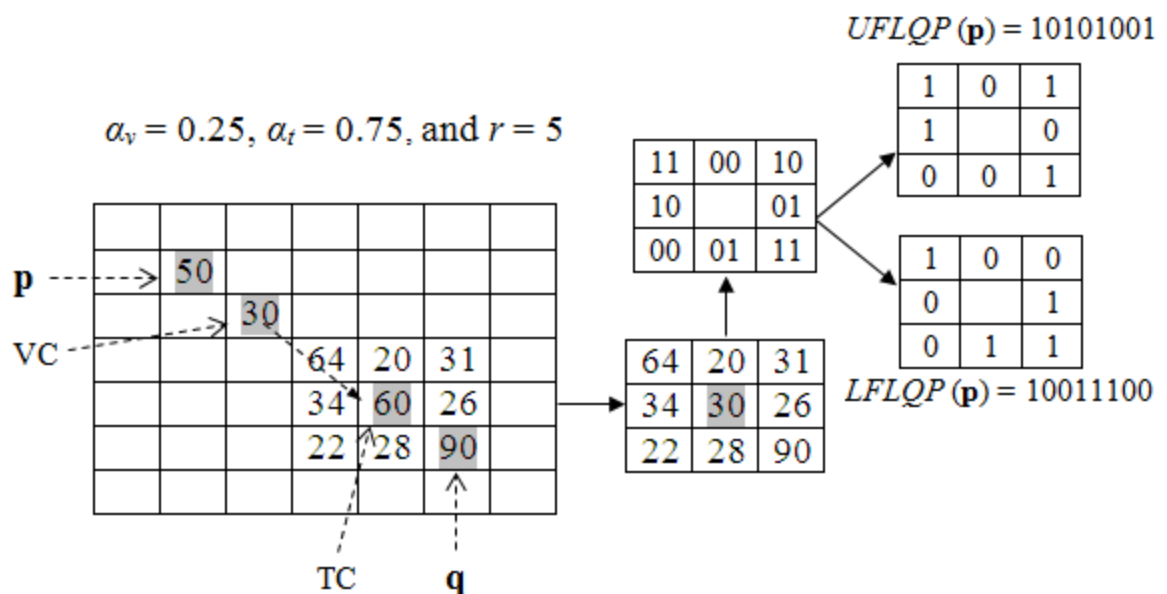
$$LFLQP(\mathbf{p}) = \sum_{i=0}^7 2^i S_{lqp} \{ G[\mathbf{N}(\mathbf{C}_t(\mathbf{p}), i)], G[\mathbf{C}_v(\mathbf{p})], r \} \quad (4.9)$$

Figure 4.3 shows the FLQP computation of the pixel  $\mathbf{p}$  at coordinates (2, 2) when  $r = 5$ . The grayscale image and the feature binary image are the same as those in Figure 3.5. First, the  $\mathbf{dv}$  pointing from  $\mathbf{p}$  to its nearest feature pixel  $\mathbf{q}$  is computed. Given  $\mathbf{p} = [2, 2]^t$ , and  $\mathbf{q} = [6, 6]^t$ ,  $\mathbf{dv} = \mathbf{q} - \mathbf{p}$  is equal to  $[4, 4]^t$ . On the path pointed by the  $\mathbf{dv}$ , the locations of TC and VC which are controlled by the parameters  $\alpha_t$  and  $\alpha_v$  can be determined. In Figure 4.3(a) given  $\alpha_t = 0.75$  and  $\alpha_v = 0.25$ ,  $\mathbf{C}_t(\mathbf{p}) = \mathbf{p} + \alpha_t \mathbf{dv}$  is equal to  $[5, 5]^t$ , and  $\mathbf{C}_v(\mathbf{p}) = \mathbf{p} + \alpha_v \mathbf{dv}$  is equal to  $[3, 3]^t$ . Therefore, the TC is the pixel at location (5, 5) and the VC is the pixel at location (3, 3). After replacing the grey level 60 of TC by the grey level 30 of VC,  $UFLQP(2, 2)$  is equal to 10101001 which is equal to FLBP, and

LFLQP(2, 2) is equal to 1001110. Figure 4.3(b) shows the FLQP computation of the pixel  $\mathbf{p}$  at (2, 2) when  $\alpha_v = 0.75$ , and  $\alpha_t = 0.25$ . Using the same method as the example in Figure 4.3(a), UFLQP(2, 2) and LFLQP(2, 2) are obtained as 00111100 and 00110011, respectively.

Figure 4.4 shows an example of the FLQP and LQP representations of a face image when  $r = 10$ . The face image and the binary feature image derived by Canny edge detector are the same as Figure 3.9(a) and Figure 3.9(b). Figure 4.4(a) shows the LLQP image. The ULQP image is the same as LBP image in Figure 3.9(c). Figure 4.4(b) - (e) show LFLQP images when  $\alpha_t = 0.25, 0.5, 0.75, 1$ , respectively, and  $\alpha_v = 0$ . Their corresponding UFLQP are the same as Figure 3.9(d) - (g). Figure 4.4(f) - (i) show LFLQP images when  $\alpha_v = 0.25, 0.5, 0.75, 1$ , respectively, and  $\alpha_t = 0$ . Their corresponding UFLQP are the same as Figure 3.9(h) - (k).

Figure 4.5 shows another example of the FLQP representations when  $r = 0.1g_c$ . The face image and the binary feature image derived by Canny-Edge detector are the same as Figure 3.9(a) and Figure 3.9(b). Figure 4.5(a) shows the LLQP image. The ULQP image is the same as LBP image in Figure 3.9(c). Figure 4.5(b) - (e) show LFLQP images when  $\alpha_t = 0.25, 0.5, 0.75, 1$ , respectively, and  $\alpha_v = 0$ . Their corresponding UFLQP are the same as Figure 3.9(d) - (g). Figure 4.5(f) - (i) show LFLQP images when  $\alpha_v = 0.25, 0.5, 0.75, 1$ , respectively, and  $\alpha_t = 0$ . Their corresponding UFLQP are the same as Figure 3.9(h) - (k).



**Figure 4.3** The computation of FLQP (a) The TC ( $\alpha_t = 0.75$ ), the VC ( $\alpha_v = 0.25$ ),  $r = 5$ , and the LFLQP code for the pixel at (2, 2). (b) The TC ( $\alpha_t = 0.25$ ), the VC ( $\alpha_v = 0.75$ ),  $r = 5$  and the LFLQP code for the pixel at (2, 2).



(a)



(b)



(c)



(d)



(e)



(f)



(g)



(h)



(i)

**Figure 4.4** The FLQP representations of a face image when  $r = 10$  (a) The LLQP image (b) - (e) The LFLQP images when  $\alpha_t = 0.25, 0.5, 0.75, 1$ , respectively, and  $\alpha_v = 0$  (f) - (i) The LFLQP images when  $\alpha_v = 0.25, 0.5, 0.75, 1$ , respectively, and  $\alpha_t = 0$ .



(a)



(b)



(c)



(d)



(e)



(f)



(g)



(h)



(i)

**Figure 4.5** The FLQP representations of a face image when  $r = 0.1g_c$ . (a) The LLQP image (b) - (e) The LFLQP images when  $\alpha_t = 0.25, 0.5, 0.75, 1$ , respectively, and  $\alpha_v = 0$  (f) - (i) The LFLQP images when  $\alpha_v = 0.25, 0.5, 0.75, 1$ , respectively, and  $\alpha_t = 0$

## CHAPTER 5

### APPLICATION OF FLBP AND FLQP TO EYE DETECTION

Eye detection has broad applications in automated facial recognition, where a face can be located first by applying, for example, the Bayesian Discriminating Features (BDF) method (Liu, 2003, 2004, 2006, 2007, 2008), (Liu and Yang, 2009). After a face is located in an image, the eyes on the face can be accurately detected by the application of the FLBP and FLQP methods. This chapter first introduces a new feature pixel extraction method, the LBP with Relative Bias Thresholding (LRBT) method, and then describes the system architecture of eye detection method and the histogram and similarity computation for the eye detection method.

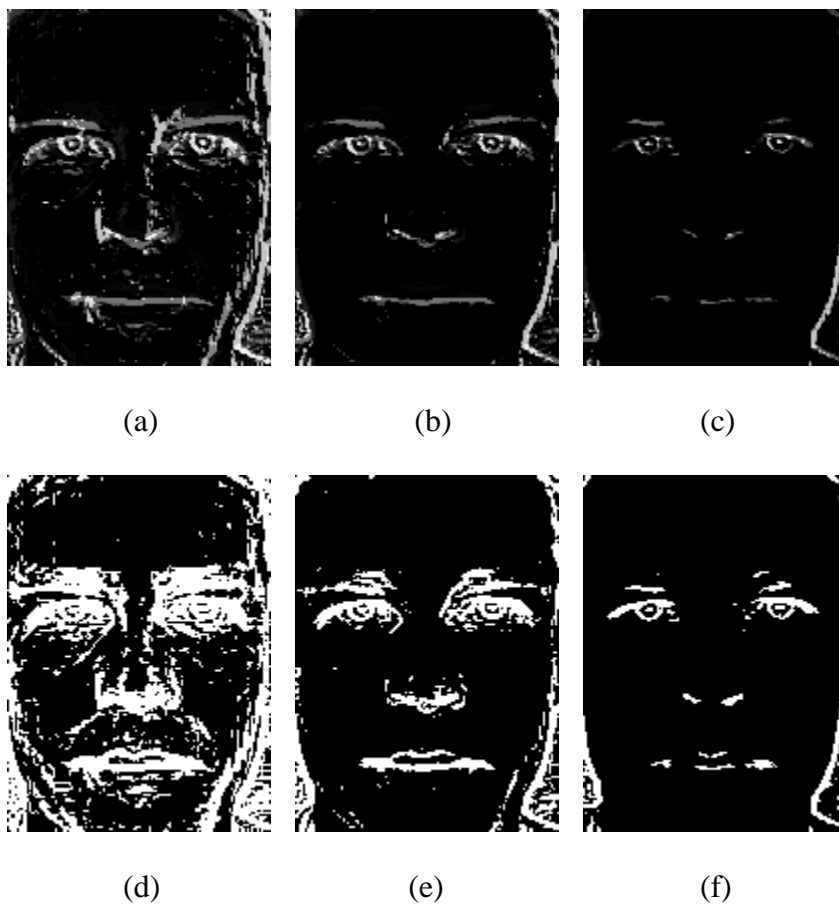
#### 5.1 A New Feature Pixel Extraction Method

##### — LBP with Relative Bias Thresholding

As the FLBP and FLQP methods encode both local and feature information, the performance of FLBP and FLQP depend on the extraction of the feature pixels. For eye detection, the shape of eye constitutes one of the most prominent features. To amplify such information around the eye region, this dissertation presents a new feature pixel extraction method, the LBP with Relative Bias Thresholding (LRBT) method. In particular, the LRBT method first computes the LBP representation using the relative bias threshold function of Equation 3.11 with a given  $\beta$ . An LBP image is then defined by the LBP representation. The LRBT method converts the LBP image to a binary LRBT feature image, whose feature pixels correspond to those whose LBP code is greater than 0, and the background pixels correspond to the pixels in the LBP image with the LBP

code 0. Note that different binary LRBT feature images can be generated with different  $\beta$  values.

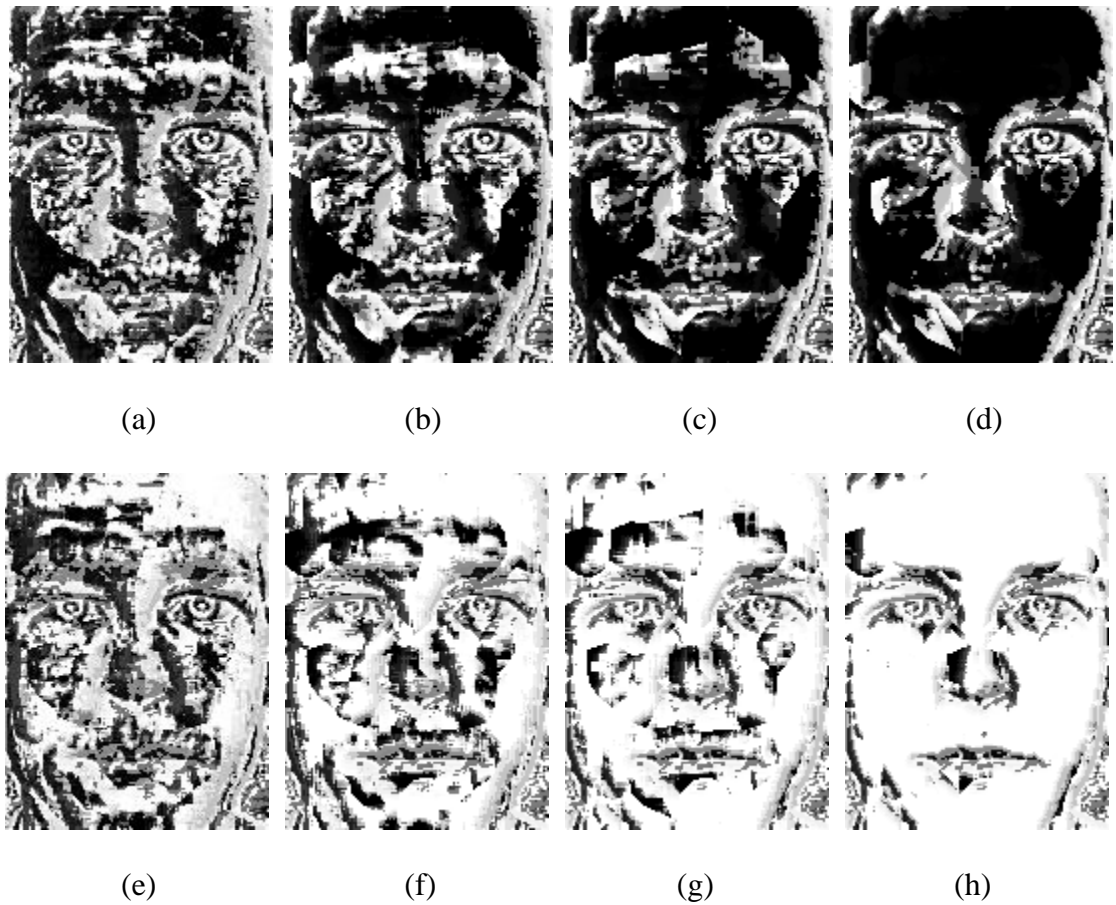
Figure 5.1 shows the LBP images and the corresponding binary LRBT feature images of the face image shown in Figure 3.9(a). Specifically, Figure 5.1(a), (b), and (c) display the LBP images when  $\beta = 0.05, 0.1, 0.2$ , respectively. Figure 5.1(d), (e), and (f) exhibit the binary LRBT feature images when  $\beta = 0.05, 0.1, 0.2$ , respectively.



**Figure 5.1** LBP images and the corresponding binary LRBT feature images of a face image. (a)–(c) The LBP images when  $\beta = 0.05, 0.1, 0.2$ , respectively. (d)–(f) The binary LRBT feature images when  $\beta = 0.05, 0.1, 0.2$ , respectively.



Figure 5.2 shows the FLBP1 and FLBP2 representations of the face image that applies the binary LRBT feature image shown in Figure 5.1(e) when  $\beta = 0.1$ . Specifically, Figure 5.2(a), (b), (c), and (d) display the FLBP1 representations when  $\alpha_t = 0.25, 0.5, 0.75, 1$ , respectively. Figure 5.2(e), (f), (g), and (h) exhibit the FLBP2 representations when  $\alpha_v = 0.25, 0.5, 0.75, 1$ , respectively.

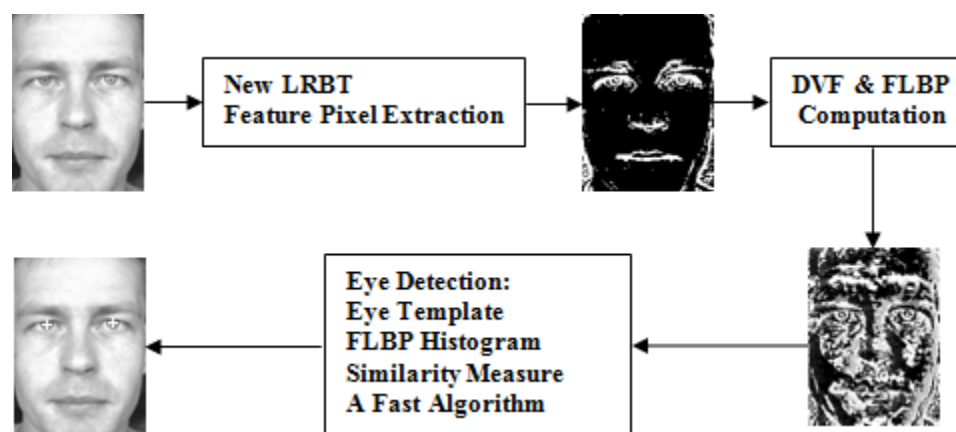


**Figure 5.2** The FLBP1 and FLBP2 representations of a face image using the binary LRBT feature pixels when  $\beta = 0.1$ . (a)–(d) The FLBP1 images when  $\alpha_t = 0.25, 0.5, 0.75, 1$ , respectively. (e)–(h) The FLBP2 images when  $\alpha_v = 0.25, 0.5, 0.75, 1$ , respectively.

## 5.2 The FLBP-Based Eye Detection Method

### 5.2.1 System Architecture of Eye Detection Method

Figure 5.3 shows the system architecture of our FLBP-based eye detection method that consists of three major steps. First, a binary feature image, which contains the feature pixels of the grayscale face image, is derived by applying the LBP with Relative Biased Threshold (LRBT) method. Second, the FLBP representation of the face image is formed based on the grayscale image and a distance vector field or DVF. DVF is obtained by computing the distance vector between each pixel and its nearest feature pixel defined in the binary image. Finally, for eye detection, an eye template is first constructed from a number of training eye samples. The eye template is defined by the mean FLBP histograms of the training eye samples. Each eye candidate is compared with the eye template based on the FLBP histogram and a similarity measure, whose computation is implemented by a fast algorithm. The eye candidate with the largest similarity is selected as the detected eye center.



**Figure 5.3** The system architecture of the FLBP-based eye detection method.

### 5.2.2 Histogram and Similarity Computation

This section discusses the FLBP histogram and similarity computation for the eye detection method. Let the eye template and an eye candidate window be divided into a grid of  $r \times c$  cells. A FLBP histogram of a cell is formed by the FLBP codes of the pixels in the cell. The eye template is defined by the  $r \times c$  mean FLBP histograms of the training eye samples. Let  $T$  and  $C$  represent the eye template and eye candidate windows, respectively. The following similarity measure  $M(C, T)$  is applied to compare  $T$  and  $C$ :

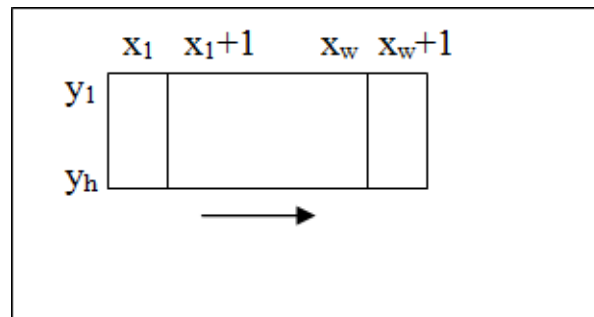
$$M(C, T) = - \sum_{i=1}^g \sum_{j=1}^b \frac{(C_{i,j} - T_{i,j})^2}{C_{i,j} + T_{i,j}} \quad (5.1)$$

where  $C_{i,j}$  represents the  $j$ -th bin of the FLBP histogram of the  $i$ -th cell of the eye candidate window,  $T_{i,j}$  represents the  $j$ -th bin of the FLBP histogram of the  $i$ -th cell of the eye template,  $g = rc$  is the total number of cells of the  $r \times c$  grid, and  $b$  is the number of bins of a histogram.

An exhaustive search of eye location may compare an eye candidate window centered at every pixel in a face image with the eye template. The pixel whose eye candidate window has the largest similarity value with the eye template is the location of the detected eye. Let the spatial resolution of the face image and the eye template be  $m \times n$  and  $w \times h$ , respectively. The FLBP-based eye detection method includes three steps. The time complexity of extracting LRBT feature in the first step, and deriving the DVF and computing FLBP in the second step is  $O(mn)$ . The complexity of computing the FLBP histogram and similarity for an eye candidate window in the third step is  $O(wh)$

and  $O(gb)$ , respectively. As a result, the total time for searching a face image is  $O(whmn)$  and  $O(gbmn)$  for FLBP histogram and similarity computation, respectively. Because  $O(mn)$  is smaller than  $O(whmn)$  and  $O(gbmn)$ , the time complexity of the FLBP method is the larger one of  $O(whmn)$  and  $O(gbmn)$ .

To reduce the computational complexity of FLBP histogram and similarity computation a fast method is used for the eye detection. Figure 5.4 shows a search region, which contains an eye candidate window with a spatial resolution of  $w \times h$ , the top left pixel at  $(x_l, y_l)$ , and the lower right pixel at  $(x_w, y_h)$ . For simplicity, an eye candidate window is represented by the upper left pixel. Suppose the FLBP histogram and similarity for window at  $(x_l, y_l)$  have been computed, and then the eye template is moved to the next column to compare the next eye candidate window at  $(x_l + 1, y_l)$  with the eye template. Now the difference between these two eye candidate windows resides in column  $x_l$  and column  $x_w + 1$ . If column  $x_l$  is removed from and column  $x_w + 1$  is added to window  $(x_l, y_l)$ , the new window is window  $(x_l + 1, y_l)$ . Since the FLBP histogram and similarity for window  $(x_l, y_l)$  is already computed, the new results for window  $(x_l + 1, y_l)$  can be obtained by examining the difference between columns  $x_l$  and  $x_w + 1$ .



**Figure 5.4** A search region that contains an eye candidate window with a spatial resolution of  $w \times h$ , the top left pixel at  $(x_l, y_l)$ , and the lower right pixel at  $(x_w, y_h)$ .

The fast computation method works as follows.

First, assign the FLBP histogram and similarity of window  $(x_l, y_l)$  to window  $(x_l + 1, y_l)$ .

Second, update the FLBP histogram for window  $(x_l + 1, y_l)$  as follows: (i) for each pixel in column  $x_l$ , reduce 1 from the histogram bin corresponding to its FLBP code; and (ii) for each pixel in column  $x_l + 1$ , add 1 to the histogram bin corresponding to its FLBP code. The time complexity for FLBP histogram computation is now reduced to  $O(h)$  from  $O(wh)$ .

Third, update the similarity for window  $(x_l + 1, y_l)$  as follows: (i) save the similarity values for every histogram bin of window  $(x_l, y_l)$ ; (ii) for every histogram bin that has been updated, subtract the old similarity value, recalculate the similarity value, and add the new value to the similarity.

The time complexity for similarity computation is now reduced to  $O(h)$  from  $O(b)$ , as  $h$ , which is the height of the eye candidate window, is much smaller than  $b$ , which is the number of bins of the histogram. Note that the reduced time complexity is independent of  $b$ . The significance of the fast method is that it runs equally fast no matter it is applied to the three-level texture analysis method with 6561 histogram bins or the LBP method with 256 histogram bins.

The eye candidate window in Figure 5.4 is not divided into a grid. If the eye template and an eye candidate window are divided into a grid of  $r \times c$  cells, the time complexities for FLBP histogram and similarity computation using the fast method are both  $O(ch)$  which are smaller than  $O(wh)$  and  $O(rcb)$ , the time complexity of FLBP

histogram and similarity computation using the exhaustive search, respectively. Using the fast method, the total time for searching an  $m \times n$  face image is  $O(chmn)$  for both FLBP histogram and similarity computation. Therefore, the time complexity of the FLBP method is also  $O(chmn)$ .

This dissertation comparatively assesses the LBP and FLBP on the eye detection. The LBP method uses less time than the FLBP method because it does not include the computation of LRBT feature extraction and DVF. However, the time complexity of the LBP method is determined by the histogram and similarity computation. Because the histogram and similarity computation of the LBP method is the same as the computation of the FLBP method, the time complexity of the LBP method is the same as the time complexity of the FLBP method.

Figure 5.4 shows that the eye candidate window moves horizontally to the next column. If the eye candidate window moves vertically, the fast method works as well by examining the difference between the rows  $y_l$  and  $y_h + 1$ . The time complexity for FLBP histogram and similarity computation is  $O(w)$ , where  $w$  is usually not equal to  $h$ . If  $w$  is greater than  $h$ , moving the window row by row is faster, otherwise, moving the window column by column is faster.

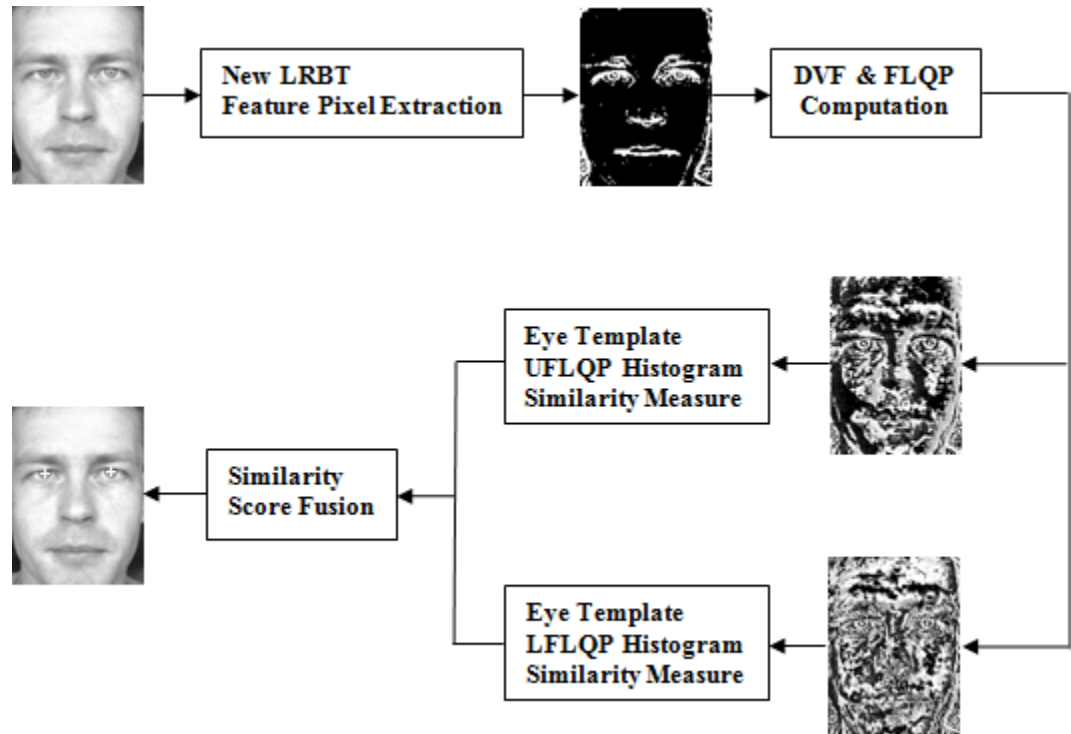
Viola and Jones (2004) introduced the integral image to rapidly compute the sum of the pixels within arbitrary rectangular regions. The integral image at location  $(x, y)$  contains the sum of the pixels above and to the left of  $(x, y)$ . The integral image can be computed in one pass over the original image. The sum of the pixels on a rectangular region can be computed using the four integral image values at the corners of the rectangular region. The integral image has been extended to extract histogram from a

rectangular region by building an integral image for each bin of the histogram. The time complexity to compute a histogram in a rectangular regions is  $O(b)$  which is larger than  $O(h)$  the time complexity of the method used in FLBP eye detection. However, for an object detection task where  $b$  is smaller than the  $h$  and  $w$  the integral image should be used. For example, one experiment conducted in this dissertation is to test each method by computing the histogram of each  $64 \times 64$  candidate window on a  $384 \times 286$  image using MATLAB codes. The average CPU time spent are 7.33, 2.93, 0.21 and 0.17 seconds by the conventional method, the integral image of 256 bins histogram, the method used in FLBP eye detection and the integral image of 16 bins histogram, respectively. A system with different software and hardware could have different CPU time. This experiment is to demonstrate that an appropriate method should be chosen based on the number of bins and the dimensions of the candidate window.

### 5.3 The FLQP-based Eye Detection Method

Figure 5.5 shows the system architecture of FLQP-based eye detection method that consists of three major steps. The first step is same as the FLBP-based eye detection method. A binary feature image is derived from the grayscale face image by applying LRBT method. In the Second step, the FLQP code is computed based on the grayscale image and a distance vector field or DVF. The FLQP code is then split to two binary codes, from which two images, UFLQP and LFLQP images are formed. Finally, each eye candidate is compared with the eye template based on the UFLQP and LFLQP histograms and similarity measures. The eye template is defined by UFLQP and LFLQP mean histograms of the training eye samples. The similarity measure to compare the UFLQP and LFLQP histograms of an eye template and an eye candidate is defined by

Equation 5.1. The final similarity measure is the sum of similarity values of the UFLQP and LFLQP histograms. The eye candidate with the largest final similarity is selected as the detected eye center.



**Figure 5.5** The system architecture of the FLQP-based eye detection method.

#### 5.4 FLBP Application on Gradient Images

In addition to apply FLBP on grayscale image, this dissertation also applies FLBP on gradient images. The gradient of a grayscale image  $I$  can be derived by convolving with a filter as follows:

$$G_x = K_x * I, \quad G_y = K_y * I \quad (5.2)$$



where  $G_x$  and  $G_y$  are the gradient components in horizontal and vertical directions, respectively.  $K_x$  and  $K_y$  are the filter kernel in horizontal and vertical directions, respectively.  $*$  denotes convolution operation. The gradient magnitude image can be created as follows:

$$|\nabla I| = \sqrt{G_x^2 + G_y^2} \quad (5.3)$$

The gradient direction image can be created as follows:

$$\theta = \arctan \frac{G_y}{G_x} \quad (5.4)$$

One of the common filters is Sobel filter which uses the following kernel:

$$K_x = \begin{bmatrix} -1 & 0 & 1 \\ -2 & 0 & 2 \\ -1 & 0 & 1 \end{bmatrix}, \quad K_y = \begin{bmatrix} -1 & -2 & -1 \\ 0 & 0 & 0 \\ 1 & 2 & 1 \end{bmatrix} \quad (5.5)$$

This dissertation designs a new filter which computes the gradient along the two diagonal directions and projects to the horizontal and vertical directions. The kernel of the new filter is defined as follows:

$$\begin{aligned}
 K_{x'} &= \begin{bmatrix} 0 & \cos(\pi/4) & 1 \\ -\cos(\pi/4) & 0 & \cos(\pi/4) \\ -1 & -\cos(\pi/4) & 0 \end{bmatrix}, \\
 K_{y'} &= \begin{bmatrix} -1 & -\cos(\pi/4) & 0 \\ -\cos(\pi/4) & 0 & \cos(\pi/4) \\ 0 & \cos(\pi/4) & 1 \end{bmatrix}
 \end{aligned} \tag{5.6}$$

where  $x'$  and  $y'$  represent the two diagonal directions. The experimental results show the new filter achieves better performance than the Sobal filter in the FLBP eye detection method.

The system architecture to detect eye from the gradient images is similar to the one shown in Figure 5.3. First the LRBT features are extracted from the grayscale image. Second the DVF is obtained using the extracted LRBT features binary image. Two FLBP representations of a face image are formed from the gradient magnitude and direction images. Finally, each eye candidate is compared with the eye template based on the FLBP histograms of the gradient magnitude and direction images. The similarity measure is defined by Equation 5.1. The eye candidate with the largest similarity is selected as the detected eye center.

## CHAPTER 6

### EXPERIMENTAL RESULTS OF FLBP-BASED METHOD

The FLBP-based method is assessed using the public BioID and color FERET databases. The BioID database contains 1,521 grayscale frontal face images with spatial resolution of  $384 \times 286$ . The images in the BioID database, which are formed in real world conditions, pose challenges to eye detection, such as illumination variations, eye glasses, and closed eyes. Figure 6.1 shows some examples of the face images in the BioID database. The facial images are cropped and normalized to the size of  $132 \times 178$ .



**Figure 6.1** The examples of the face images in the BioID database.

Source: BioID AG. <https://www.bioid.com/>

All 1,986 color frontal face images with spatial resolution of  $512 \times 768$  from the *fa* and *fb* sets of the FERET database are used in our experiments. All color images are converted to grayscale images. The facial images are cropped and normalized to the size of  $132 \times 178$ . Figure 6.2 shows some examples of the face images in the FERET database.



**Figure 6.2** The examples of the face images in the FERET database.

Source: <http://www.nist.gov/itl/iad/ig/feret.cfm>.

To construct the eye template, 70 pairs of eye samples that are not from the BioID and FERET databases are collected. As only the right eye template is constructed due to the symmetry between right and left eyes, the 70 left eyes are flipped horizontally to double the number of the right eye samples. To detect the left eye, first the face image is

flipped horizontally, and then detect eye in the flipped image by comparing it with the right eye template. Figure 6.3 shows some right eye samples that are cropped to size of  $37 \times 17$ .



**Figure 6.3** The right eye samples that are cropped to  $37 \times 17$ .

Eye detection performance is measured by the relative distance error in two forms. The first form measures the performance of each individual eye and is defined as follows:

$$\gamma = \frac{d_1}{d_2} \quad (6.1)$$

where  $d_1$  is the Euclidean distance between the detected eye center and the ground truth eye center, and  $d_2$  is the interocular distance — the distance between the two ground truth eye centers. The detected eye center is considered inside the eye region, inside the iris, and inside the pupil, when  $\gamma \leq 0.25, 0.1, 0.05$ , respectively. The second form measures the performance of a pair of eyes by the worse eye and is defined as follows:

$$\gamma_p = \max(\gamma_l, \gamma_r) \quad (6.2)$$

where  $\gamma_l$  and  $\gamma_r$  are the  $\gamma$  of the left and right eyes, respectively. The success rate for  $\gamma \leq 0.25, 0.1, 0.05$ ,  $\gamma_p \leq 0.25, 0.1, 0.05$  and the average  $\gamma$  and  $\gamma_p$  are used to assess the performance of the eye detection method.

### 6.1 Assessment of Neighborhood Size

As the FLBP method may apply a neighborhood of different size, first the effect of neighborhood size on eye detection performance is assessed on the BioID database. In particular, Figures 3.1 and 6.4 show the  $3 \times 3$  and  $5 \times 5$  neighborhood, respectively. Note that in the  $5 \times 5$  neighborhood, only the labeled neighbors with numbers from 0 to 7 are used to compute the FLBP code. Table 6.1 shows the success rates for  $\gamma \leq 0.25, 0.1, 0.05$  and the average  $\gamma$  of eye detection using the FLBP1, FLBP2, and LBP methods corresponding to the  $3 \times 3$  and  $5 \times 5$  neighborhood. Table 6.2 shows the success rates for  $\gamma_p \leq 0.25, 0.1, 0.05$  and the average  $\gamma_p$  of eye detection using the FLBP1, FLBP2, and LBP methods corresponding to the  $3 \times 3$  and  $5 \times 5$  neighborhood. The grid size of the eye candidate windows and the eye template is  $3 \times 4$  for all the methods. The feature pixels for the FLBP1 and FLBP2 methods are from the binary LRBT feature image. Both Table 6.1 and Table 6.2 reveal that the eye detection performance corresponding to the  $5 \times 5$  neighborhood is better than the  $3 \times 3$  neighborhood. Therefore, the  $5 \times 5$  neighborhood is applied for the remaining experiments.

7		6		5
0		<b>p</b>		4
1		2		3

**Figure 6.4** The  $5 \times 5$  neighborhood of the center pixel and the labels of its neighbors.

**Table 6.1** The Success Rates for  $\gamma \leq 0.25, 0.1, 0.05$  and the Average  $\gamma$  of the FLBP1, FLBP2, and LBP Eye Detection Methods using the  $3 \times 3$  and  $5 \times 5$  Neighborhoods

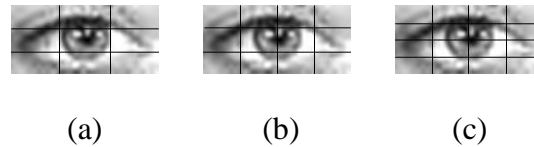
Method		Size	$\gamma \leq 0.25$	$\gamma \leq 0.1$	$\gamma \leq 0.05$	Average $\gamma$
<b>FLBP1</b>	$\beta = 0.1, \alpha_t = 1$	$5 \times 5$	97.86	93.23	85.57	0.0442
		$3 \times 3$	96.35	92.60	79.91	0.0542
	$\beta = 0.2, \alpha_t = 0.25$	$5 \times 5$	97.67	93.98	86.52	0.0427
		$3 \times 3$	97.53	94.71	84.78	0.0460
<b>FLBP2</b>	$\beta = 0.1, \alpha_v = 1$	$5 \times 5$	93.33	89.05	83.10	0.0560
		$3 \times 3$	89.58	84.71	74.75	0.0787
	$\beta = 0.2, \alpha_v = 0.25$	$5 \times 5$	98.65	95.23	87.84	0.0385
		$3 \times 3$	96.38	92.41	83.10	0.0543
<b>LBP</b>		$5 \times 5$	92.34	90.34	83.14	0.0812
		$3 \times 3$	90.57	87.18	75.48	0.0990

**Table 6.2** The Success Rates for  $\gamma_p \leq 0.25, 0.1, 0.05$  and the Average  $\gamma_p$  of the FLBP1, FLBP2, and LBP Eye Detection Methods using the  $3 \times 3$  and  $5 \times 5$  Neighborhoods

Method		Size	$\gamma_p \leq 0.25$	$\gamma_p \leq 0.1$	$\gamma_p \leq 0.05$	Average $\gamma_p$
<b>FLBP1</b>	$\beta = 0.1, \alpha_t = 1$	$5 \times 5$	96.58	88.95	77.25	0.0605
		$3 \times 3$	94.15	88.30	67.39	0.0755
	$\beta = 0.2, \alpha_t = 0.25$	$5 \times 5$	96.25	90.14	78.44	0.0583
		$3 \times 3$	96.25	91.65	75.35	0.0626
<b>FLBP2</b>	$\beta = 0.1, \alpha_v = 1$	$5 \times 5$	89.09	83.30	74.36	0.0785
		$3 \times 3$	83.69	76.07	61.60	0.1124
	$\beta = 0.2, \alpha_v = 0.25$	$5 \times 5$	97.83	92.44	80.14	0.0513
		$3 \times 3$	94.15	87.84	72.72	0.0767
<b>LBP</b>		$5 \times 5$	87.44	84.62	73.37	0.1207
		$3 \times 3$	85.73	79.88	61.67	0.1418

## 6.2 Assessment of Grid Size

The effect of the grid size of the eye candidate window on eye detection performance is assessed next on the BioID database. The eye candidate window is divided into three different grids which are  $3 \times 3$ ,  $3 \times 4$ , and  $4 \times 4$  grids shown in Figure 6.5.



**Figure 6.5** The eye window grids (a) The  $3 \times 3$  grid. (b) The  $3 \times 4$  grid. (c) The  $4 \times 4$  grid.

The FLBP1, FLBP2 and LBP methods are implemented using these three different grids for eye detection. The feature pixels for the FLBP1 and FLBP2 methods are from the binary LRBT feature image. Table 6.3 shows the success rates for  $\gamma \leq 0.25$ , 0.1, 0.05 and the average  $\gamma$  of eye detection of the FLBP1, FLBP2, and LBP methods using the  $3 \times 3$ ,  $3 \times 4$ , and  $4 \times 4$  grids. Table 6.4 shows the success rates for  $\gamma_p \leq 0.25$ , 0.1, 0.05 and the average  $\gamma_p$  of eye detection of the FLBP1, FLBP2, and LBP methods using the  $3 \times 3$ ,  $3 \times 4$ , and  $4 \times 4$  grids. The results show that the  $3 \times 4$  grid yields the best overall eye detection performance. Therefore, the  $3 \times 4$  grid is applied for the remaining experiments.



**Table 6.3** The Success Rates for  $\gamma \leq 0.25$ , 0.1, 0.05 and the Average  $\gamma$  of the FLBP1, FLBP2, and LBP Eye Detection Methods using the  $3 \times 3$ ,  $3 \times 4$ , and  $4 \times 4$  Grids

Method		Grid	$\gamma \leq 0.25$	$\gamma \leq 0.1$	$\gamma \leq 0.05$	Average $\gamma$
<b>FLBP1</b>	$\beta = 0.1, \alpha_t = 1$	3×3	97.70	89.71	74.52	0.0516
		3×4	97.86	93.23	85.57	0.0442
		4×4	96.88	92.44	84.35	0.0478
	$\beta = 0.2, \alpha_t = 0.25$	3×3	97.53	89.94	74.19	0.0522
		3×4	97.67	93.98	86.52	0.0427
		4×4	97.57	93.75	85.54	0.0444
<b>FLBP2</b>	$\beta = 0.1, \alpha_v = 1$	3×3	93.69	85.80	70.97	0.0684
		3×4	93.33	89.05	83.10	0.0560
		4×4	91.85	87.38	80.80	0.0634
	$\beta = 0.2, \alpha_v = 0.25$	3×3	98.19	90.53	75.51	0.0494
		3×4	98.65	95.23	87.84	0.0385
		4×4	98.46	94.74	86.26	0.0402
<b>LBP</b>	3×3	91.35	85.96	70.02	0.0952	
	3×4	92.34	90.34	83.14	0.0812	
	4×4	91.91	89.71	81.95	0.0835	

**Table 6.4** The Success Rates for  $\gamma_p \leq 0.25, 0.1, 0.05$  and the Average  $\gamma_p$  of the FLBP1, FLBP2, and LBP Eye Detection Methods using the  $3 \times 3, 3 \times 4,$  and  $4 \times 4$  Grids

Method		Grid	$\gamma_p \leq 0.25$	$\gamma_p \leq 0.1$	$\gamma \leq 0.05$	Average $\gamma_p$
<b>FLBP1</b>	$\beta = 0.1, \alpha_t = 1$	3×3	96.25	83.50	61.87	0.0699
		3×4	96.58	88.95	77.25	0.0605
		4×4	94.94	87.84	75.61	0.0652
	$\beta = 0.2, \alpha_t = 0.25$	3×3	96.12	84.68	62.00	0.0698
		3×4	96.25	90.14	78.44	0.0583
		4×4	96.06	89.81	76.86	0.0607
<b>FLBP2</b>	$\beta = 0.1, \alpha_v = 1$	3×3	89.88	78.11	56.54	0.0947
		3×4	89.09	83.30	74.36	0.0785
		4×4	86.79	81.13	71.07	0.0889
	$\beta = 0.2, \alpha_v = 0.25$	3×3	97.11	84.75	61.87	0.0669
		3×4	97.83	92.44	80.14	0.0513
		4×4	97.44	91.26	77.45	0.0541
<b>LBP</b>	3×3	85.73	77.65	55.36	0.1415	
	3×4	87.44	84.62	73.37	0.1207	
	4×4	86.85	83.76	71.47	0.1240	

### 6.3 Comparative Assessment of FLBP and LBP

After determining the best neighborhood size and grid size, the eye detection performance of FLBP and LBP methods are comparatively assessed on the BioID database. Many face images on the BioID database wear eyeglasses or close eyes. The performance of FLBP and LBP methods is assessed using the controlled and uncontrolled face image sets. The uncontrolled set includes all face images. The controlled set includes only the face images which are eye opening and without eyeglasses.

### 6.3.1 Using the Uncontrolled Face Image Set

The uncontrolled face image set includes all 1521 face images in the BioID database. Tables 6.5 and 6.6 show the success rates of the FLBP1, FLBP2, and LBP eye detection methods for  $\gamma \leq 0.25, 0.1, 0.05$  and  $\gamma_p \leq 0.25, 0.1, 0.05$  using the uncontrolled face image set, respectively. The feature pixels for the FLBP1 and FLBP2 methods are from the binary LRBT feature image. Three FLBP1 and FLBP2 experiments are selected to compare with the LBP method. Each of the FLBP1 or FLBP2 experiments has either one of the highest success rates for  $\gamma$  or  $\gamma_p \leq 0.25, 0.1, 0.05$  or one of the top three highest success rate for  $\gamma$  or  $\gamma_p \leq 0.05$ .

In particular, Table 6.5 reveals that the success rates of both FLBP1 and FLBP2 when  $\gamma \leq 0.25, 0.1$  and  $0.05$  are around 98%, 95% and 87%, respectively. In comparison, the success rates of LBP are about 92%, 90% and 84% which are lower than the success rates achieved by either FLBP1 or FLBP2. Table 6.6 also shows that the success rates or  $\gamma_p \leq 0.25, 0.1, 0.05$  of both FLBP1 and FLBP2 are higher than the success rates of the LBP method. Therefore, the experimental results show that FLBP achieves better eye detection performance than LBP.

Figures 6.6 and 6.7 are the graphs of the success rates of the FLBP and LBP for  $\gamma$  and  $\gamma_p$  from 0.05 to 0.5, respectively. The FLBP shown in the graph is the FLBP2 when  $\beta = 0.2, \alpha_v = 0.25$  which has the highest success rates among all methods in table 6.5 and 6.6. Figures 6.6 and 6.7 show that the success rates of the FLBP method reach 100% as the  $\gamma$  and  $\gamma_p$  increase, while the success rates of the LBP method are lower than 95% and 90% for  $\gamma$  and  $\gamma_p$ , respectively. The gap between the two curves increases when the  $\gamma$  and

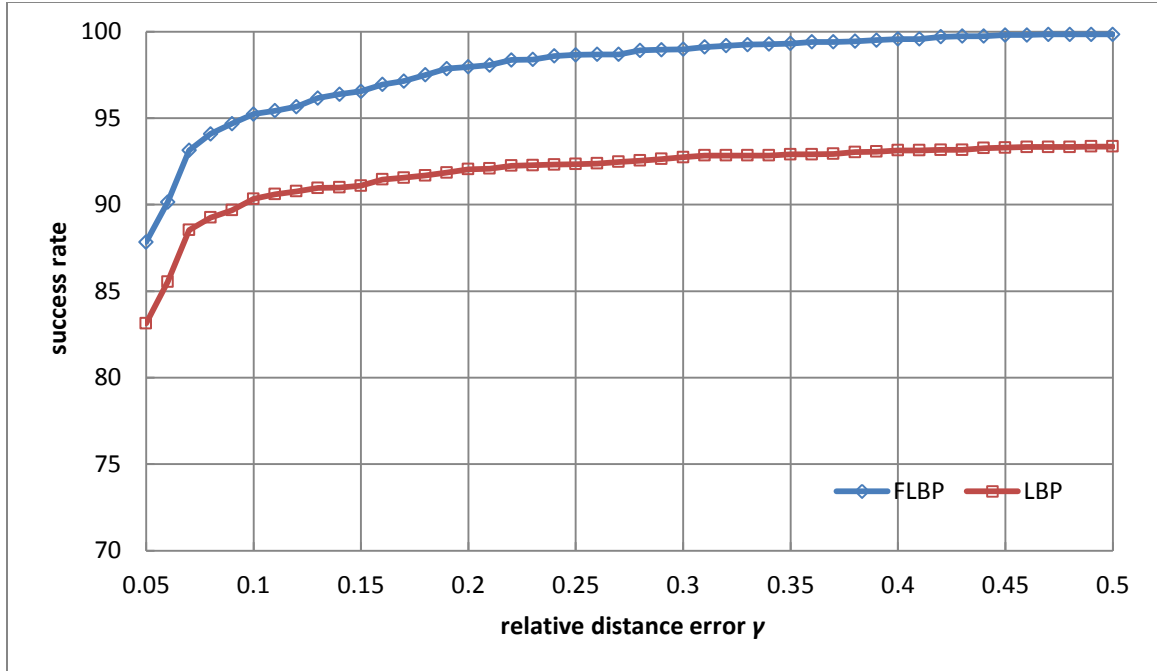
$\gamma_p$  increase. This indicates that the success rate of FLBP increases faster than the success rate of LBP when the  $\gamma$  and  $\gamma_p$  increase.

**Table 6.5** The Success Rates for  $\gamma \leq 0.25, 0.1, 0.05$  of the FLBP1, FLBP2, and LBP Eye Detection Methods using the Uncontrolled Face Image Set

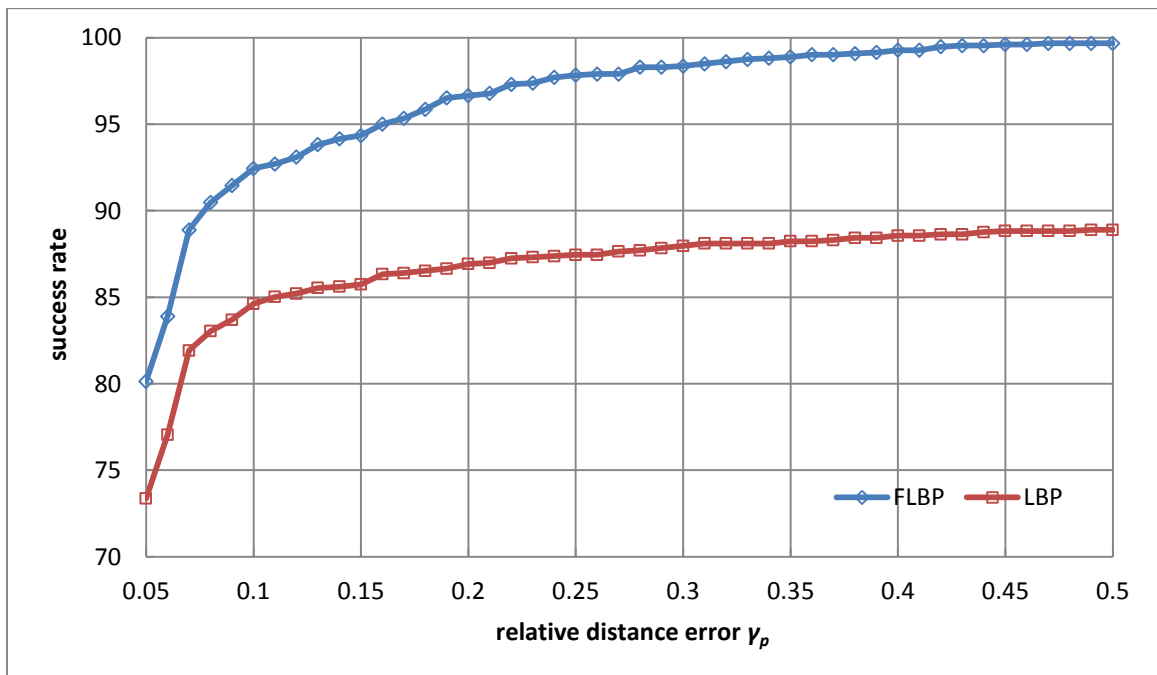
Method		$\gamma \leq 0.25$	$\gamma \leq 0.1$	$\gamma \leq 0.05$
<b>FLBP1</b>	LRBT, $\beta = 0.2, \alpha_t = 0.12$	97.86	<b>95.10</b>	<b>87.41</b>
	LRBT, $\beta = 0.1, \alpha_t = 0.25$	98.03	94.74	87.21
	LRBT, $\beta = 0.15, \alpha_t = 0.25$	<b>98.13</b>	93.75	86.00
<b>FLBP2</b>	LRBT, $\beta = 0.2, \alpha_v = 0.25$	<b>98.65</b>	<b>95.23</b>	<b>87.84</b>
	LRBT, $\beta = 0.15, \alpha_v = 0.25$	98.55	95.04	87.51
	LRBT, $\beta = 0.25, \alpha_v = 0.25$	98.62	94.44	87.08
<b>LBP</b>		92.34	90.34	83.14

**Table 6.6** The Success Rates for  $\gamma_p \leq 0.25, 0.1, 0.05$  of the FLBP1, FLBP2, and LBP Eye Detection Methods using the Uncontrolled Face Image Set

Method		$\gamma_p \leq 0.25$	$\gamma_p \leq 0.1$	$\gamma_p \leq 0.05$
<b>FLBP1</b>	LRBT, $\beta = 0.2, \alpha_t = 0.12$	96.52	<b>92.31</b>	<b>80.00</b>
	LRBT, $\beta = 0.1, \alpha_t = 0.25$	96.78	91.91	79.88
	LRBT, $\beta = 0.15, \alpha_t = 0.25$	<b>96.97</b>	89.94	77.78
<b>FLBP2</b>	LRBT, $\beta = 0.2, \alpha_v = 0.25$	<b>97.83</b>	<b>92.44</b>	<b>80.14</b>
	LRBT, $\beta = 0.15, \alpha_v = 0.25$	97.57	91.65	80.01
	LRBT, $\beta = 0.25, \alpha_v = 0.25$	97.70	90.92	79.22
<b>LBP</b>		87.44	84.62	73.37



**Figure 6.6** The success rate of the FLBP (LRBT,  $\beta = 0.2$ ,  $\alpha_t = 0$ ,  $\alpha_v = 0.25$ ) and LBP method for various  $\gamma$  using the uncontrolled face image set.



**Figure 6.7** The success rate of the FLBP (LRBT,  $\beta = 0.2$ ,  $\alpha_t = 0$ ,  $\alpha_v = 0.25$ ) and LBP method for various  $\gamma_p$  using the uncontrolled face image set.

Table 6.7 shows the average  $\gamma$  and  $\gamma_p$  of the FLBP1, FLBP2 and LBP methods. The feature pixels for FLBP1 and FLBP2 are derived by the LRBT method. The three FLBP1 and FLBP2 methods with lowest average  $\gamma$  and  $\gamma_p$  are selected to compare with the LBP method. Table 6.7 reveals that the average  $\gamma$  of FLBP1 and FLBP2 are less than 0.04, which means that the average detected eye center is inside the pupil. In comparison, the average  $\gamma$  of LBP is 0.081, which means that the average detected eye center is outside the pupil. Table 6.7 also shows that the average  $\gamma_p$  of FLBP1 and FLBP2 are around 0.05, which means that the average detected worse eye center is close to the pupil. In comparison, the average  $\gamma_p$  of LBP is larger than 0.1, which means that the average detected worse eye center is outside the iris. As a result, the experimental results lead to the finding that FLBP locates the eye center more accurately than LBP method.

**Table 6.7** The Average  $\gamma$  and  $\gamma_p$  of Eye Detection of the FLBP1, FLBP2, and LBP Methods using the Uncontrolled Face Image Set

Method		Average $\gamma$	Average $\gamma_p$
<b>FLBP1</b>	LRBT, $\beta = 0.1, \alpha_t = 0.25$	<b>0.0416</b>	<b>0.0555</b>
	LRBT, $\beta = 0.15, \alpha_t = 0.25$	0.0422	0.0570
	LRBT, $\beta = 0.15, \alpha_t = 0.12$	0.0424	0.0569
<b>FLBP2</b>	LRBT, $\beta = 0.2, \alpha_v = 0.25$	<b>0.0385</b>	<b>0.0513</b>
	LRBT, $\beta = 0.15, \alpha_v = 0.25$	0.0392	0.0526
	LRBT, $\beta = 0.25, \alpha_v = 0.25$	0.0397	0.0534
<b>LBP</b>		0.0812	0.1207

The relative distance error  $\gamma_p$  measures the performance using the worse eye. If the right and left eyes of a person are identical, the success rate for  $\gamma_p$  should be the same as the success rate for  $\gamma$ . The physical difference between the right and left eyes of a person is usually small. The appearance of the right and left eyes on an image could have large

difference due to the illumination and pose variations. Therefore, the success rate for  $\gamma_p$  is lower than the success rate for  $\gamma$ . Table 6.8 shows the difference between success rate for  $\gamma$  and success rate for  $\gamma_p$  and the percentage of average  $\gamma_p$  increased from average  $\gamma$ . The FLBP1 and FLBP2 methods with lowest average  $\gamma$  are selected to compare with LBP method. Table 6.8 shows that the success rates for  $\gamma_p$  of the FLBP1 and FLBP2 methods drop less than the success rates for  $\gamma_p$  of the LBP method, and the average  $\gamma_p$  of the FLBP1 and FLBP2 methods increase less than the average  $\gamma_p$  of the LBP method. This finding indicates that FLBP method is less sensitive to the appearance change which might be caused by the illumination and pose variations than the LBP method.

**Table 6.8** The Difference between Success Rate for  $\gamma$  and Success Rate for  $\gamma_p$  and the Percentage of Average  $\gamma_p$  Increased from Average  $\gamma$  of the FLBP1, FLBP2, and LBP Methods using the Uncontrolled Face Image Set

Method	success rate for $\gamma$ - success rate for $\gamma_p$			$(\gamma_p - \gamma) / \gamma$ (Average)
	$\leq 0.25$	$\leq 0.1$	$\leq 0.05$	
<b>FLBP1</b> , LRBT, $\beta = 0.1$ , $\alpha_t = 0.25$	1.25	2.83	7.33	33.41
<b>FLBP2</b> , LRBT, $\beta = 0.2$ , $\alpha_v = 0.25$	0.82	2.79	7.70	33.25
<b>LBP</b>	4.9	5.72	9.77	48.65

### 6.3.2 Using the Controlled Face Image Set

The controlled face image set excludes 512 face images which are either wear eyeglasses or close eyes. Therefore, there are total 1009 face images in the set. Tables 6.9 and 6.10 show the success rates of the FLBP1, FLBP2, and LBP eye detection methods for  $\gamma \leq 0.25, 0.1, 0.05$  and  $\gamma_p \leq 0.25, 0.1, 0.05$  using the controlled face image set, respectively. The feature pixels for the FLBP1 and FLBP2 methods are from the binary LRBT feature image. Three FLBP1 and FLBP2 methods are selected to compare with the LBP method.

Each of the FLBP1 or FLBP2 method has either one of the highest success rates for  $\gamma$  or  $\gamma_p \leq 0.25, 0.1, 0.05$  or one of the top three highest success rate for  $\gamma$  or  $\gamma_p \leq 0.05$ .

**Table 6.9** The Success Rates for  $\gamma \leq 0.25, 0.1, 0.05$  of the FLBP1, FLBP2, and LBP Eye Detection Methods using the Controlled Face Image Set

Method		$\gamma \leq 0.25$	$\gamma \leq 0.1$	$\gamma \leq 0.05$
<b>FLBP1</b>	LRBT, $\beta = 0.25, \alpha_t = 0.25$	99.41	98.22	<b>93.61</b>
	LRBT, $\beta = 0.2, \alpha_t = 0.25$	99.45	<b>98.46</b>	93.51
	LRBT, $\beta = 0.15, \alpha_t = 0.25$	<b>99.50</b>	98.22	93.06
<b>FLBP2</b>	LRBT, $\beta = 0.2, \alpha_v = 0.25$	<b>99.70</b>	<b>98.36</b>	<b>93.76</b>
	LRBT, $\beta = 0.25, \alpha_v = 0.25$	<b>99.70</b>	98.12	93.61
	LRBT, $\beta = 0.15, \alpha_v = 0.25$	99.55	98.12	93.71
<b>LBP</b>		95.59	94.75	89.94

**Table 6.10** The Success Rates for  $\gamma_p \leq 0.25, 0.1, 0.05$  of the FLBP1, FLBP2, and LBP Eye Detection Methods using the Controlled Face Image Set

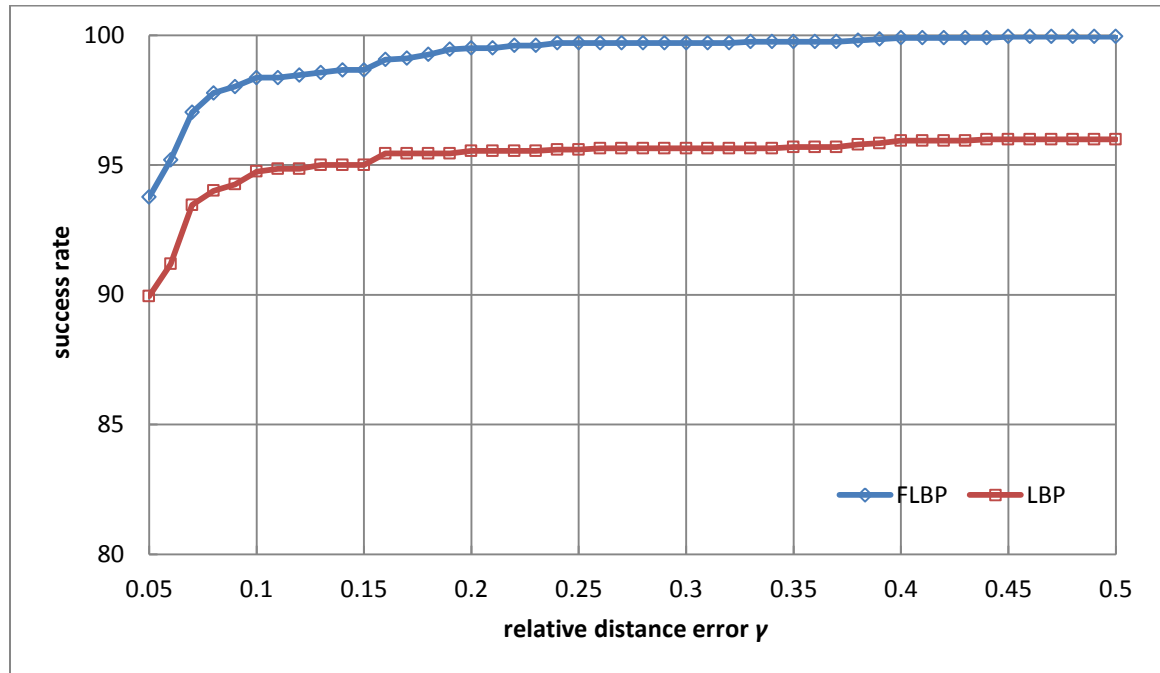
Method		$\gamma_p \leq 0.25$	$\gamma_p \leq 0.1$	$\gamma_p \leq 0.05$
<b>FLBP1</b>	LRBT, $\beta = 0.25, \alpha_t = 0.25$	98.91	96.63	<b>89.20</b>
	LRBT, $\beta = 0.1, \alpha_t = 0.25$	<b>99.11</b>	96.93	89.10
	LRBT, $\beta = 0.2, \alpha_t = 1$	98.12	<b>97.42</b>	87.71
<b>FLBP2</b>	LRBT, $\beta = 0.15, \alpha_v = 0.25$	99.11	96.53	<b>89.79</b>
	LRBT, $\beta = 0.2, \alpha_v = 0.25$	<b>99.41</b>	<b>97.03</b>	89.10
	LRBT, $\beta = 0.25, \alpha_v = 0.25$	99.41	96.53	88.60
<b>LBP</b>		92.57	91.18	83.55

Table 6.9 shows that the success rates of both FLBP1 and FLBP2 when  $\gamma \leq 0.25, 0.1$  and  $0.05$  are around 99%, 98% and 93%, respectively. The success rates of LBP are around 95%, 94% and 90% which are lower than the success rates achieved by either

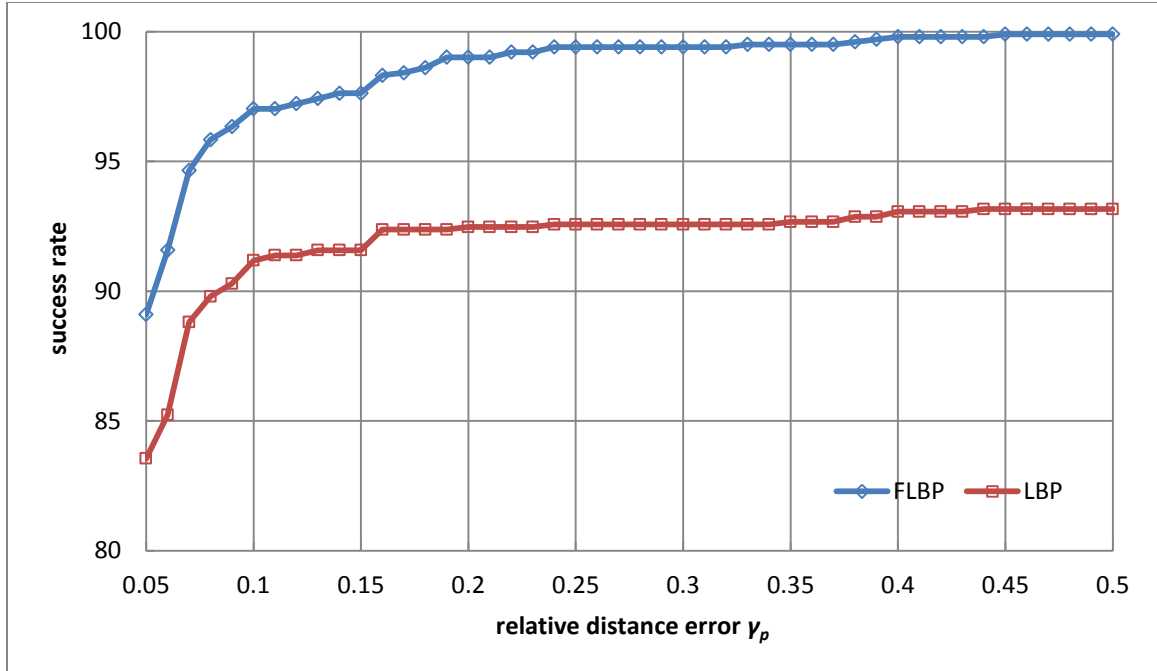


FLBP1 or FLBP2. Table 6.10 shows that the success rates of both FLBP1 and FLBP2 when  $\gamma_p \leq 0.25, 0.1$  and  $0.05$  are around 99%, 97% and 89%, respectively. The success rates of LBP are around 92%, 91% and 83% which are lower than the success rates achieved by either FLBP1 or FLBP2. The experimental results show that FLBP achieves better eye detection performance than LBP using the controlled face image set.

Figures 6.8 and 6.9 are the graphs of the success rates of the FLBP and LBP for  $\gamma$  and  $\gamma_p$  from 0.05 to 0.5 using the controlled face image set. The FLBP shown in the graph is the FLBP2 when  $\beta = 0.2, \alpha_v = 0.25$ . Figures 6.8 and 6.9 show that the success rates of the FLBP method reach 100% as the  $\gamma$  and  $\gamma_p$  increase, while the success rates of the LBP method reaches to around 96% and 93% for  $\gamma$  and  $\gamma_p$ , respectively.



**Figure 6.8** The success rate of the FLBP (LRBT,  $\beta = 0.2, \alpha_t = 0, \alpha_v = 0.25$ ) and LBP method for various  $\gamma$  using the controlled face image set.



**Figure 6.9** The success rate of the FLBP (LRBT,  $\beta = 0.2$ ,  $\alpha_t = 0$ ,  $\alpha_v = 0.25$ ) and LBP method for various  $\gamma_p$  using the controlled face image set.

Tables 6.11 and 6.12 show the average  $\gamma$  and  $\gamma_p$  of the FLBP1, FLBP2 and LBP methods using the controlled face image set, respectively. The three FLBP1 and FLBP2 methods with lowest average  $\gamma$  and  $\gamma_p$  are selected to compare with the LBP method. Table 6.11 reveals that the average  $\gamma$  of FLBP1 and FLBP2 are around 0.03, which means that the average detected eye center is inside the pupil, and the average  $\gamma$  of LBP is more than 0.05, which means that the average detected eye center is outside the pupil. Table 6.12 shows that the average  $\gamma_p$  of FLBP1 and FLBP2 are around 0.04, which means that the average detected worse eye center is inside the pupil, and the average  $\gamma_p$  of LBP is 0.0856, which means that the average detected worse eye center is outside the pupil. The experimental results show that FLBP locates the eye center more accurately than LBP method using the controlled face image set.

**Table 6.11** The Average  $\gamma$  of Eye Detection of the FLBP1, FLBP2, and LBP Methods using the Controlled Face Image Set

Method		Average $\gamma$
<b>FLBP1</b>	LRBT, $\beta = 0.2, \alpha_t = 0.25$	<b>0.0298</b>
	LRBT, $\beta = 0.25, \alpha_t = 0.25$	0.0300
	LRBT, $\beta = 0.15, \alpha_t = 0.25$	0.0308
<b>FLBP2</b>	LRBT, $\beta = 0.25, \alpha_v = 0.25$	<b>0.0294</b>
	LRBT, $\beta = 0.2, \alpha_v = 0.25$	0.0297
	LRBT, $\beta = 0.15, \alpha_v = 0.25$	0.0306
<b>LBP</b>		0.0579

**Table 6.12** The Average  $\gamma_p$  of Eye Detection of the FLBP1, FLBP2, and LBP Methods using the Controlled Face Image Set

Method		Average $\gamma_p$
<b>FLBP1</b>	LRBT, $\beta = 0.2, \alpha_t = 0.25$	<b>0.0395</b>
	LRBT, $\beta = 0.25, \alpha_t = 0.25$	0.0399
	LRBT, $\beta = 0.15, \alpha_t = 0.25$	0.0405
<b>FLBP2</b>	LRBT, $\beta = 0.25, \alpha_v = 0.25$	<b>0.0388</b>
	LRBT, $\beta = 0.2, \alpha_v = 0.25$	0.0390
	LRBT, $\beta = 0.15, \alpha_v = 0.25$	0.0403
<b>LBP</b>		0.0856

Table 6.13 shows the difference between success rate for  $\gamma$  and success rate for  $\gamma_p$  and the percentage of average  $\gamma_p$  increased from average  $\gamma$  using controlled face image set. The FLBP1 and FLBP2 methods with lowest average  $\gamma$  are selected to compare with LBP method. Table 6.13 shows that the success rates for  $\gamma_p$  of the FLBP1 and FLBP2 methods drop less than the success rates for  $\gamma_p$  of the LBP method, and the average  $\gamma_p$  of the FLBP1 and FLBP2 methods increase less than the average  $\gamma_p$  of the LBP method.

This finding agrees with the results using uncontrolled face set that FLBP method is less sensitive to the appearance change which might be caused by the illumination and pose variations than the LBP method.

**Table 6.13** The Difference between Success Rate for  $\gamma$  and Success Rate for  $\gamma_p$  and the Percentage of Average  $\gamma_p$  Increased from Average  $\gamma$  of the FLBP1, FLBP2, and LBP Methods using the Controlled Face Image Set

Method	success rate for $\gamma$ - success rate for $\gamma_p$			$(\gamma_p - \gamma) / \gamma$ (Average)
	$\leq 0.25$	$\leq 0.1$	$\leq 0.05$	
<b>FLBP1</b> , LRBT, $\beta = 0.2$ , $\alpha_t = 0.25$	0.44	1.33	4.91	32.55
<b>FLBP2</b> , LRBT, $\beta = 0.2$ , $\alpha_v = 0.25$	0.29	1.33	4.66	31.31
<b>LBP</b>	3.02	3.57	6.39	47.84

## 6.4 Flexibility of the FLBP Method

Compared to LBP, the FLBP method is more flexible due to the introduction of feature pixels as well as its parameters. Next the flexibility of the FLBP method in terms of feature pixels and parameters is assessed on the BioID database.

### 6.4.1 Feature Pixels

The performance of FLBP depends on the extraction of the feature pixels. Table 6.14 shows the success rates for  $\gamma \leq 0.25, 0.1, 0.05$  and the average  $\gamma$  of the FLBP1 and FLBP2 eye detection methods using different feature pixels. Table 6.15 shows the success rates for  $\gamma_p \leq 0.25, 0.1, 0.05$  and the average  $\gamma_p$  of the FLBP1 and FLBP2 eye detection methods using different feature pixels. The feature pixels shown in the tables are derived by the LRBT method, the Canny edge detector, and the LBP with Fixed Biased Threshold (LFBT) method which is similar to LRBT method but using the fixed bias

threshold function defined by Equation 3.10. The experiments with the lowest average  $\gamma$  and  $\gamma_p$  in each feature extraction method are selected to make the comparison. The results in Tables 6.14 and 6.15 show that the FLBP method using LRBT feature achieves the best eye detection performance, and the FLBP method using Canny Edge Pixels has the worst performance.

**Table 6.14** The Eye Detection Success Rates for  $\gamma \leq 0.25, 0.1, 0.05$  and the Average  $\gamma$  of the FLBP1, FLBP2 Methods using Different Feature Pixels

Method		$\gamma \leq 0.25$	$\gamma \leq 0.1$	$\gamma \leq 0.05$	Average $\gamma$
<b>FLBP1</b>	LRBT, $\beta = 0.1, \alpha_t = 0.25$	98.03	94.74	87.21	0.0416
	LFBT, $b = 15, \alpha_t = 0.25$	98.22	94.28	86.36	0.0419
	Canny Edge Pixels, $\alpha_t = 0.12$	97.80	94.67	86.65	0.0438
<b>FLBP2</b>	LRBT, $\beta = 0.2, \alpha_v = 0.25$	98.65	95.23	87.84	0.0385
	LFBT, $b = 20, \alpha_v = 0.25$	98.52	94.94	86.88	0.0396
	Canny Edge Pixels, $\alpha_v = 0.25$	97.63	94.41	86.16	0.0431

**Table 6.15** The Eye Detection Success Rates for  $\gamma_p \leq 0.25, 0.1, 0.05$  and the Average  $\gamma_p$  of the FLBP1, FLBP2 Methods using Different Feature Pixels

Method		$\gamma_p \leq 0.25$	$\gamma_p \leq 0.1$	$\gamma_p \leq 0.05$	Average $\gamma_p$
<b>FLBP1</b>	LRBT, $\beta = 0.1, \alpha_t = 0.25$	96.78	91.91	79.88	0.0555
	LFBT, $b = 15, \alpha_t = 0.25$	97.17	90.73	78.24	0.0563
	Canny Edge Pixels, $\alpha_t = 0.12$	96.52	91.52	78.57	0.0596
<b>FLBP2</b>	LRBT, $\beta = 0.2, \alpha_v = 0.25$	97.83	92.44	80.14	0.0513
	LFBT, $b = 20, \alpha_v = 0.25$	97.70	91.52	79.09	0.0524
	Canny Edge Pixels, $\alpha_v = 0.25$	95.99	90.73	77.91	0.0590

### 6.4.2 Parameters

Tables 6.16 and 6.17 show the eye detection success rate for  $\gamma \leq 0.25, 0.1, 0.05$  and average  $\gamma$  of the FLBP1 and FLBP2 methods, respectively. Tables 6.18 and 6.19 show the eye detection success rate for  $\gamma_p \leq 0.25, 0.1, 0.05$  and average  $\gamma_p$  of the FLBP1 and FLBP2 methods, respectively. The ranks in the tables are derived by sorting the average  $\gamma$  or  $\gamma_p$  in ascending order. The feature pixels are derived by the LRBT method. The LRBT method applies five  $\beta$  values: 0.05, 0.1, 0.15, 0.2 and 0.25. The FLBP parameters  $\alpha_t$  and  $\alpha_v$  in the experiments are from the following set: {0.12, 0.25, 0.5, and 1}.

The relative bias parameter  $\beta$  in the LRBT method should assume an appropriate value that is neither too small nor too large. If  $\beta$  is too small, more noise pixels will be included as feature pixels, which is detrimental to either feature pixel extraction or FLBP code derivation. If  $\beta$  is too large, more meaningful feature pixels will be filtered out, whose effect is also harmful for feature pixel extraction and FLBP code generation. Our experimental results show that the empirical values of 0.1, 0.15 and 0.2 for  $\beta$  help most methods achieve good eye detection performance. The results also reveal that when  $\beta = 0.05$ , good eye detection performance is achieved for large values of  $\alpha_t$  or  $\alpha_v$ .

The locations of the true center and the virtual center are controlled by the parameter  $\alpha_t$  and  $\alpha_v$ , respectively. The larger value the parameters assume, the closer the true center or virtual center gets to the nearest feature pixel. When comparing the average  $\gamma$  in Tables 6.16 and 6.17, one can see that both FLBP1 and FLBP2 obtain the smallest error when  $\alpha_t$  or  $\alpha_v = 0.25$ , except for LRBT with  $\beta = 0.05$  (this situation will be discussed in the next paragraph). When  $\alpha_t$  (or  $\alpha_v$ ) gets smaller or larger than 0.25, the results become worse. As the FLBP method encodes the information from both the local

texture and the feature pixels, a proper balance should be kept in order to reach the best performance. When  $\alpha_t$  (or  $\alpha_v$ ) is smaller than 0.25, FLBP contains more information from the local texture than the feature pixels. When  $\alpha_t$  (or  $\alpha_v$ ) is larger than 0.25, FLBP encodes more information from the feature pixels than from the local texture. When  $\alpha_t$  (or  $\alpha_v$ ) = 0.25, FLBP optimally encodes the information from both the local texture and the feature pixels, and the FLBP method achieves the best eye detection performance.

For LRBT with  $\beta = 0.05$ , Tables 6.16 – 6.19 show that both FLBP1 and FLBP2 obtain the smallest average relative distance error  $\gamma$  and  $\gamma_p$  when  $\alpha_t = 1$  for FLBP1 and  $\alpha_v = 1$  for FLBP2. When  $\alpha_t$  (or  $\alpha_v$ ) gets smaller, the results become worse. Note that when  $\beta = 0.05$ , which is the smallest among the four  $\beta$  values in our experiments, more feature pixels are extracted. As a result, the distance vectors to the nearest feature pixel are smaller than those corresponding to a larger  $\beta$  value. In order to achieve the balanced information encoding from both the local texture and the feature pixels,  $\alpha_t$  (or  $\alpha_v$ ) should be large according to Equations. 3.7 and 3.8

**Table 6.16** The Eye Detection Success Rates for  $\gamma \leq 0.25$ , 0.1, 0.05 and the Average  $\gamma$  of the FLBP1 Methods using Different Parameter Values

Method		FLBP1				
$\beta$	$\alpha_t$	$\gamma \leq 0.25$	$\gamma \leq 0.1$	$\gamma \leq 0.05$	Average $\gamma$	Rank
0.05	0.12	94.38	92.14	84.42	0.0665	19
	0.25	97.01	94.05	86.00	0.0491	14
	0.5	97.30	93.95	85.67	0.0463	12
	1	97.76	94.18	85.70	0.0440	9
0.1	0.12	97.63	94.64	86.59	0.0450	11
	0.25	98.03	94.74	87.21	<b>0.0416</b>	<b>1</b>
	0.5	97.70	93.82	85.73	0.0432	8
	1	97.86	93.23	85.57	0.0442	10
0.15	0.12	98.03	95.00	87.15	0.0424	3
	0.25	<b>98.13</b>	93.75	86.00	0.0422	2
	0.5	96.81	91.88	84.45	0.0480	13
	1	96.32	89.12	82.02	0.0544	16
0.2	0.12	97.86	<b>95.10</b>	<b>87.41</b>	0.0428	6
	0.25	97.67	93.98	86.52	0.0427	5
	0.5	96.29	90.01	82.58	0.0534	15
	1	95.36	86.92	79.29	0.0622	18
0.25	0.12	97.99	94.84	87.28	0.0429	7
	0.25	98.09	93.85	85.83	0.0426	4
	0.5	95.73	88.66	81.66	0.0562	17
	1	92.44	83.43	75.21	0.0785	20



**Table 6.17** The Eye Detection Success Rates for  $\gamma \leq 0.25$ , 0.1, 0.05 and the Average  $\gamma$  of the FLBP2 Methods using Different Parameter Values

Method		FLBP2				
$\beta$	$\alpha_v$	$\gamma \leq 0.25$	$\gamma \leq 0.1$	$\gamma \leq 0.05$	Average $\gamma$	Rank
0.05	0.12	93.79	91.62	84.19	0.0705	17
	0.25	95.63	93.13	85.47	0.0577	15
	0.5	95.89	92.04	85.77	0.0538	12
	1	96.91	93.43	86.49	0.0447	8
0.1	0.12	97.30	94.31	86.26	0.0473	10
	0.25	97.90	94.67	86.88	0.0426	7
	0.5	96.81	92.83	86.49	0.0451	9
	1	93.33	89.05	83.10	0.0560	14
0.15	0.12	97.93	94.97	86.62	0.0425	6
	0.25	98.55	95.04	87.51	0.0392	2
	0.5	95.92	92.37	86.19	0.0474	11
	1	88.89	83.07	76.33	0.0748	18
0.2	0.12	98.16	95.04	86.72	0.0414	5
	0.25	<b>98.65</b>	<b>95.23</b>	<b>87.84</b>	<b>0.0385</b>	<b>1</b>
	0.5	94.61	89.58	84.22	0.0542	13
	1	84.45	77.84	70.74	0.0931	19
0.25	0.12	98.16	94.84	86.65	0.0412	4
	0.25	98.62	94.44	87.08	0.0397	3
	0.5	93.23	87.77	82.18	0.0626	16
	1	80.14	73.64	67.49	0.1108	20

**Table 6.18** The Eye Detection Success Rates for  $\gamma_p \leq 0.25, 0.1, 0.05$  and the Average  $\gamma_p$  of the FLBP1 Methods using Different Parameter Values

Method		FLBP1				
$\beta$	$\alpha_t$	$\gamma_p \leq 0.25$	$\gamma_p \leq 0.1$	$\gamma_p \leq 0.05$	Average $\gamma_p$	Rank
0.05	0.12	90.47	87.25	75.48	0.0989	19
	0.25	94.94	90.47	77.51	0.0690	14
	0.5	95.66	89.94	76.53	0.0644	12
	1	96.32	90.47	76.73	0.0600	9
0.1	0.12	96.32	91.52	78.37	0.0607	11
	0.25	96.78	91.91	79.88	<b>0.0555</b>	<b>1</b>
	0.5	96.25	90.20	77.25	0.0588	8
	1	96.58	88.95	77.25	0.0605	10
0.15	0.12	96.84	92.18	79.22	0.0569	2
	0.25	<b>96.97</b>	89.94	77.78	0.0570	3
	0.5	94.61	87.31	76.99	0.0659	13
	1	93.82	83.89	74.16	0.0737	15
0.2	0.12	96.52	<b>92.31</b>	<b>80.00</b>	0.0581	4
	0.25	96.25	90.14	78.44	0.0583	5
	0.5	93.62	85.14	73.90	0.0741	16
	1	94.39	82.58	70.94	0.0827	18
0.25	0.12	96.84	91.78	79.42	0.0585	6
	0.25	96.58	90.27	77.84	0.0585	6
	0.5	92.83	83.17	73.31	0.0775	17
	1	87.97	77.91	65.68	0.1071	20

**Table 6.19** The Eye Detection Success Rates for  $\gamma_p \leq 0.25$ , 0.1, 0.05 and the Average  $\gamma_p$  of the FLBP2 Methods using Different Parameter Values

Method		FLBP2				
$\beta$	$\alpha_v$	$\gamma_p \leq 0.25$	$\gamma_p \leq 0.1$	$\gamma \leq 0.05$	Average $\gamma_p$	Rank
0.05	0.12	89.48	86.32	75.02	0.1057	18
	0.25	92.90	89.28	77.25	0.0819	15
	0.5	93.16	87.7	77.71	0.0776	13
	1	95.00	88.89	78.17	0.0623	8
0.1	0.12	95.73	90.99	78.04	0.0644	10
	0.25	96.65	91.58	78.83	0.0576	6
	0.5	94.61	88.17	78.70	0.0631	9
	1	89.09	83.30	74.36	0.0785	14
0.15	0.12	96.52	91.98	78.30	0.0579	7
	0.25	97.57	91.65	80.01	0.0526	2
	0.5	93.29	87.90	78.90	0.0664	11
	1	83.50	76.07	65.81	0.1022	17
0.2	0.12	96.98	92.11	78.57	0.0557	5
	0.25	<b>97.83</b>	<b>92.44</b>	<b>80.14</b>	<b>0.0513</b>	<b>1</b>
	0.5	90.86	84.16	76.27	0.0769	12
	1	77.19	69.23	59.57	0.1281	19
0.25	0.12	96.98	91.58	78.63	0.0556	4
	0.25	97.70	90.92	79.22	0.0534	3
	0.5	88.82	82.51	74.36	0.0876	16
	1	71.14	65.02	56.34	0.1510	20

## 6.5 Comparison FLBP with Other Local Feature Descriptors

This dissertation compares FLBP with the Histograms of Oriented Gradient (HOG) descriptor (Dalal and Triggs, 2005) and two LBP variants, Local Derivative Pattern (LDP) (B. Zhang and Gao, 2010) and Local Quantized Patterns (Hussain and Triggs, 2012) on eye detection in the BioID database. Tables 6.16 – 6.19 show that the FLBP experiment when  $\alpha_v = 0.25$ ,  $\alpha_t = 0$  and  $\beta = 0.2$ , archives the lowest average  $\gamma$  and  $\gamma_p$  among all FLBP experiments, therefore, the FLBP method with these parameter values is chosen to compare with the methods using other local feature descriptors.

### 6.5.1 FLBP vs. HOG

The idea behind the Histogram of Oriented Gradient descriptors (HOG) is that local object appearance and shape within an image can be described by the distribution of intensity gradients or edge directions. The implementation of HOG is achieved by dividing the image into small connected regions, called cells, and for each cell compiling a histogram of gradient directions or edge orientations for the pixels within the cell. The combination of these histograms then represents the descriptor. For improved accuracy, the local histograms can be contrast-normalized by calculating a measure of the intensity across a larger region of the image, called a block, and then using this value to normalize all cells within the block. This normalization results in better invariance to changes in illumination or shadowing.

Tables 6.20 and 6.21 show the success rates for  $\gamma$  and  $\gamma_p \leq 0.25, 0.1, 0.05$  and the average  $\gamma$  and  $\gamma_p$  of eye detection using the FLBP and HOG methods, respectively. The numbers of orientation bins of HOG in the table are 9 and 18. The results show that the FLBP method achieves better performance than HOG method.

**Table 6.20** The Eye Detection Success Rates for  $\gamma \leq 0.25, 0.1, 0.05$  and the Average  $\gamma$  of the FLBP and HOG Methods

Method		$\gamma \leq 0.25$	$\gamma \leq 0.1$	$\gamma \leq 0.05$	Average $\gamma$
<b>HOG</b>	9 orientation bins	91.72	87.51	77.81	0.0737
	18 orientation bins	92.31	87.87	76.43	0.0690
<b>FLBP, LRBT, <math>\beta = 0.2, \alpha_t = 0, \alpha_v = 0.25</math></b>		<b>98.65</b>	<b>95.23</b>	<b>87.84</b>	<b>0.0385</b>

**Table 6.21** The Eye Detection Success Rates for  $\gamma_p \leq 0.25, 0.1, 0.05$  and the Average  $\gamma_p$  of the FLBP and HOG Methods

Method		$\gamma_p \leq 0.25$	$\gamma_p \leq 0.1$	$\gamma_p \leq 0.05$	Average $\gamma_p$
<b>HOG</b>	9 orientation bins	86.46	80.60	66.47	0.1092
	18 orientation bins	87.38	81.46	65.22	0.0991
<b>FLBP, LRBT, <math>\beta = 0.2, \alpha_t = 0, \alpha_v = 0.25</math></b>		<b>97.83</b>	<b>92.44</b>	<b>80.14</b>	<b>0.0513</b>

### 6.5.2 FLBP vs. LDP

LDP is a general framework to encode directional pattern features based on local derivative variations. For a given an image, LDP first computer the  $N^{th}$ - Order derivatives along  $0^\circ, 45^\circ, 90^\circ$  and  $135^\circ$  directions to generate four  $N^{th}$ - Order derivatives images. The four 8-bit directional  $N^{th}$ - Order LDPs can be computed using the threshold function defined as follows:

$$S(x_i, x_c) = \begin{cases} 0, & \text{if } x_i * x_c > 0; \\ 1, & \text{otherwise.} \end{cases} \quad (6.3)$$

Finally, the  $N^{th}$ - Order LDP is defined as the concatenation of the four 8-bit directional LDPs.

The 2nd-order and 3rd-order LDP are used in the experiments. LDP is also extended to the Feature LDP (FLDP) using the same approach that extends LBP to

FLBP. The parameters of LDP used in the experiment are the same as those used by the FLBP method. Tables 6.22 and 6.23 show the success rates for  $\gamma$  and  $\gamma_p \leq 0.25, 0.1, 0.05$  and the average  $\gamma$  and  $\gamma_p$  of eye detection using the FLBP, LDP and FLDP methods, respectively. The experimental results in these tables lead to the following findings.

- The FLBP method has the best performance among all methods.
- The 2nd-order LDP methods perform better than 3rd-order LDP methods
- The FLDP methods perform better their corresponding LDP methods.

**Table 6.22** The Eye Detection Success Rates for  $\gamma \leq 0.25, 0.1, 0.05$  and the Average  $\gamma$  of the FLBP, LDP and FLDP Methods

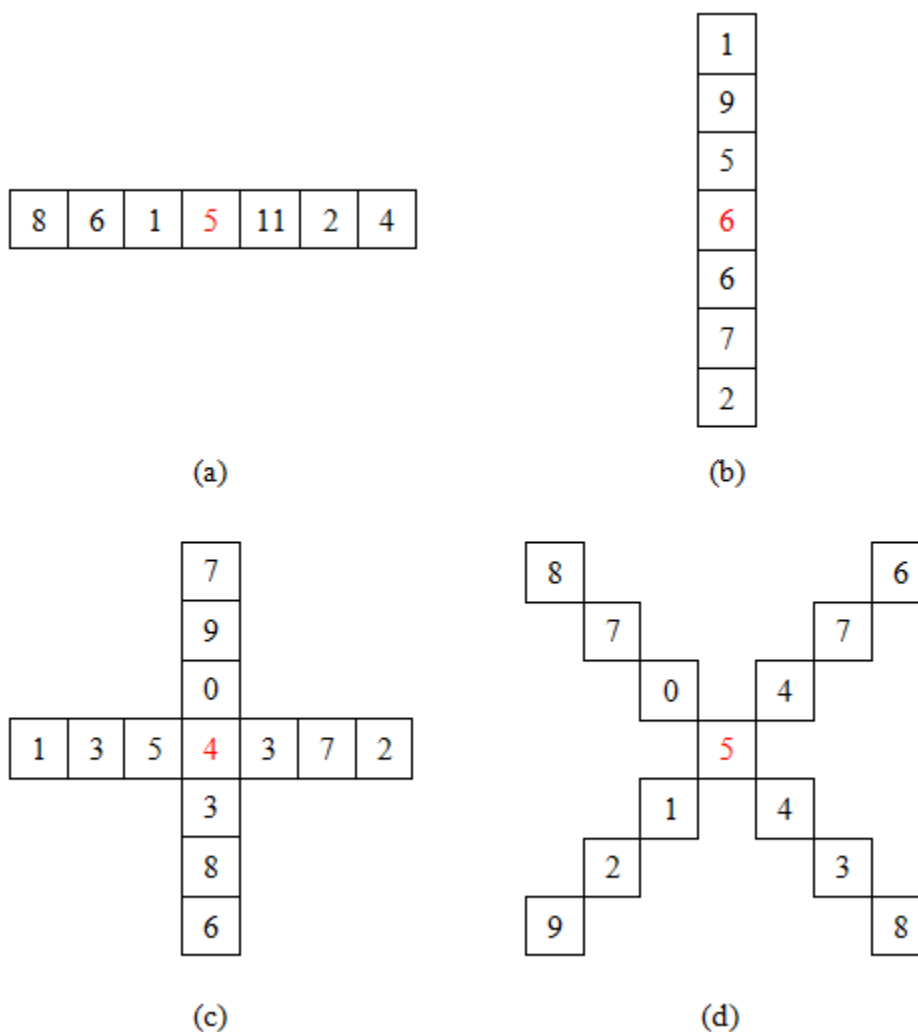
Method	$\gamma \leq 0.25$	$\gamma \leq 0.1$	$\gamma \leq 0.05$	Average $\gamma$
<b>LDP<sup>2</sup></b>	88.89	82.22	69.13	0.1060
<b>FLDP<sup>2</sup></b> , $\beta = 0.2, \alpha_t = 0, \alpha_v = 0.25$	93.23	85.86	73.18	0.0736
<b>LDP<sup>3</sup></b>	63.48	50.36	36.26	0.2865
<b>FLDP<sup>3</sup></b> , $\beta = 0.2, \alpha_t = 0, \alpha_v = 0.25$	72.62	58.61	43.16	0.2218
<b>FLBP</b> , $\beta = 0.2, \alpha_t = 0, \alpha_v = 0.25$	<b>98.65</b>	<b>95.23</b>	<b>87.84</b>	<b>0.0385</b>

**Table 6.23** The Eye Detection Success Rates for  $\gamma_p \leq 0.25, 0.1, 0.05$  and the Average  $\gamma_p$  of the FLBP, LDP and FLDP Methods

Method	$\gamma_p \leq 0.25$	$\gamma_p \leq 0.1$	$\gamma_p \leq 0.05$	Average $\gamma_p$
<b>LDP<sup>2</sup></b>	84.48	73.77	55.62	0.1437
<b>FLDP<sup>2</sup></b> , $\beta = 0.2, \alpha_t = 0, \alpha_v = 0.25$	89.81	78.24	59.63	0.1107
<b>LDP<sup>3</sup></b>	51.28	37.21	22.16	0.3771
<b>FLDP<sup>3</sup></b> , $\beta = 0.2, \alpha_t = 0, \alpha_v = 0.25$	62.59	46.81	29.85	0.2909
<b>FLBP</b> , $\beta = 0.2, \alpha_t = 0, \alpha_v = 0.25$	<b>97.83</b>	<b>92.44</b>	<b>80.14</b>	<b>0.0513</b>

### 6.5.3 FLBP vs. LQtP

LQtP is a generalized form of local patterns that uses many different neighborhood geometries. The binary coding LQtP with the  $H_7$ ,  $V_7$ ,  $HV_7$  and  $DA_7$  neighborhood shapes which are shown in Figure 6.10 are used in our experiments. LQtP is extended to the Feature LQtP (FLQtP) using the same approach that extends LBP to FLBP. The parameters of LDP used in the experiment are the same as those used by the FLBP method.



**Figure 6.10** The local pattern neighborhoods (a) Horizontal ( $H_7$ ), (b) Vertical ( $V_7$ ), (c) Horizontal-Vertical ( $HV_7$ ), and (d) Diagonal-Antidiagonal ( $DA_7$ ).

Tables 6.24 and 6.25 show the success rates for  $\gamma$  and  $\gamma_p \leq 0.25, 0.1, 0.05$  and the average  $\gamma$  and  $\gamma_p$  of eye detection using the FLBP, LQtP and FLQtP methods, respectively. The experimental results in these tables lead to the following findings.

- The FLBP method has the best performance among all methods.
- The FLQtP methods perform better their corresponding LQtP methods.
- LQtP-HV<sub>7</sub> and LQtP-DA<sub>7</sub> have the better results than LQtP-H<sub>7</sub> and LQtP-V<sub>7</sub>. However, the dimensions of LQtP-HV<sub>7</sub> and LQtP-DA<sub>7</sub> ( $2^{12}$ ) are higher than the dimensions of LQtP-H<sub>7</sub> and LQtP-V<sub>7</sub> ( $2^6$ ) and FLBP ( $2^{10}$ ).

**Table 6.24** The Eye Detection Success Rates for  $\gamma \leq 0.25, 0.1, 0.05$  and the Average  $\gamma$  of the FLBP, LQtP and FLQtP Methods

Method	$\gamma \leq 0.25$	$\gamma \leq 0.1$	$\gamma \leq 0.05$	Average $\gamma$
<b>LQtP-H<sub>7</sub></b>	61.05	50.46	37.80	0.2813
<b>FLQtP-H<sub>7</sub>, <math>\beta = 0.2, \alpha_t = 0, \alpha_v = 0.25</math></b>	87.31	75.74	64.07	0.1195
<b>LQtP-V<sub>7</sub></b>	69.17	49.34	35.63	0.2291
<b>FLQtP-V<sub>7</sub>, <math>\beta = 0.2, \alpha_t = 0, \alpha_v = 0.25</math></b>	92.37	74.03	60.19	0.0941
<b>LQtP-DA<sub>7</sub></b>	92.44	88.43	81.43	0.0762
<b>FLQtP-DA<sub>7</sub>, <math>\beta = 0.2, \alpha_t = 0, \alpha_v = 0.25</math></b>	96.19	90.86	84.16	0.0485
<b>LQtP-HV<sub>7</sub></b>	94.64	89.91	80.80	0.0634
<b>FLQtP-HV<sub>7</sub>, <math>\beta = 0.2, \alpha_t = 0, \alpha_v = 0.25</math></b>	97.53	91.22	85.14	0.0466
<b>FLBP, <math>\beta = 0.2, \alpha_t = 0, \alpha_v = 0.25</math></b>	<b>98.65</b>	<b>95.23</b>	<b>87.84</b>	<b>0.0385</b>



**Table 6.25** The Eye Detection Success Rates for  $\gamma_p \leq 0.25, 0.1, 0.05$  and the Average  $\gamma_p$  of the FLBP, LQtP and FLQtP Methods

Method	$\gamma_p \leq 0.25$	$\gamma_p \leq 0.1$	$\gamma_p \leq 0.05$	Average $\gamma_p$
<b>LQtP-H<sub>7</sub></b>	45.30	35.04	20.78	0.3855
<b>FLQtP-H<sub>7</sub></b> , $\beta = 0.2, \alpha_t = 0, \alpha_v = 0.25$	80.80	66.86	50.82	0.1655
<b>LQtP-V<sub>7</sub></b>	54.70	32.61	19.72	0.3189
<b>FLQtP-V<sub>7</sub></b> , $\beta = 0.2, \alpha_t = 0, \alpha_v = 0.25$	87.31	62.98	44.84	0.1331
<b>LQtP-DA<sub>7</sub></b>	87.51	82.12	71.53	0.1129
<b>FLQtP-DA<sub>7</sub></b> , $\beta = 0.2, \alpha_t = 0, \alpha_v = 0.25$	93.36	85.67	76.20	0.0686
<b>LQtP-HV<sub>7</sub></b>	90.53	84.22	70.35	0.0940
<b>FLQtP-HV<sub>7</sub></b> , $\beta = 0.2, \alpha_t = 0, \alpha_v = 0.25$	96.06	86.98	77.32	0.0635
<b>FLBP</b> , $\beta = 0.2, \alpha_t = 0, \alpha_v = 0.25$	<b>97.83</b>	<b>92.44</b>	<b>80.14</b>	<b>0.0513</b>

### 6.6 Fusion of FLBP on Grayscale and Gradient Images

The fusion of the FLBP methods on grayscale and gradient images for eye detection is explored in this dissertation. The similarity measures are fused at decision level by the simple sum rule. Sobel kernel defined by Equation 5.5 and the kernel defined by Equation 5.6 are used to compute the gradient. The experimental results show the FLBP method when  $\alpha_v = 0.25, \alpha_t = 0$  and  $\beta = 0.2$ , archives the best performance on grayscale, therefore, the FLBP method with these parameter values is chose for on gradient images.

Tables 6.26 and 6.27 show the success rates for  $\gamma$  and  $\gamma_p \leq 0.25, 0.1, 0.05$  and the average  $\gamma$  and  $\gamma_p$  of the LBP and FLBP eye detection methods on gradient (Sobel kernel) and grayscale images, respectively. Tables 6.28 and 6.29 show the success rates for  $\gamma$  and  $\gamma_p \leq 0.25, 0.1, 0.05$  and the average  $\gamma$  and  $\gamma_p$  of the LBP and FLBP eye detection methods on gradient (kernel defined by Equation 5.6) and grayscale images, respectively. The

method names, GD, GM and GS in tables represent the FLBP methods on gradient direction, gradient magnitude and grayscale images, respectively. The + sign denotes the fusion of the FLBP on different images. The results show that the method fusing the grayscale, gradient magnitude and direction images improves eye detection performance and the kernel defined by Equation 5.6 performs better than Sobel kernel.

**Table 6.26** The Eye Detection Success Rates for  $\gamma \leq 0.25$ , 0.1, 0.05 and the Average  $\gamma$  of the LBP and FLBP Methods Applied on Gradient Images (Sobel Kernel), Grayscale Images and the Fusion of Gradient and Grayscale Image

Method		$\gamma \leq 0.25$	$\gamma \leq 0.1$	$\gamma \leq 0.05$	Average $\gamma$
GD	LBP	77.41	70.38	52.24	0.1868
	FLBP	88.30	79.55	39.58	0.1180
GM	LBP	91.16	88.53	77.84	0.0896
	FLBP	94.97	90.14	77.45	0.0590
GD+GM	LBP	92.77	90.70	79.19	0.0816
	FLBP	96.75	93.49	80.21	0.0514
GS	LBP	92.34	90.34	83.14	0.0812
	FLBP	98.65	95.23	87.84	0.0385
GD+GM+GS	LBP	96.06	95.50	88.69	0.0532
	FLBP	<b>98.75</b>	<b>97.53</b>	<b>90.27</b>	<b>0.0333</b>

**Table 6.27** The Eye Detection Success Rates for  $\gamma_p \leq 0.25, 0.1, 0.05$  and the Average  $\gamma_p$  of the LBP and FLBP Methods Applied on Gradient Images (Sobel Kernel), Grayscale Images and the Fusion of Gradient and Grayscale Images

Method		$\gamma_p \leq 0.25$	$\gamma_p \leq 0.1$	$\gamma_p \leq 0.05$	Average $\gamma_p$
GD	LBP	67.13	58.25	35.77	0.2666
	FLBP	81.92	69.10	39.58	0.1723
GM	LBP	85.67	81.99	66.60	0.1357
	FLBP	91.91	85.08	65.22	0.0835
GD+GM	LBP	88.76	85.80	68.64	0.1189
	FLBP	94.54	89.35	68.57	0.0738
GS	LBP	87.44	84.62	73.37	0.1207
	FLBP	97.83	92.44	80.14	0.0513
GD+GM+GS	LBP	93.75	92.97	82.25	0.0748
	FLBP	<b>98.09</b>	<b>96.06</b>	<b>83.96</b>	<b>0.0438</b>

**Table 6.28** The Eye Detection Success Rates for  $\gamma \leq 0.25, 0.1, 0.05$  and the Average  $\gamma$  of the LBP and FLBP Methods Applied on Gradient Images (the New Kernel), Grayscale Images and the Fusion of Gradient and Grayscale Images

Method		$\gamma \leq 0.25$	$\gamma \leq 0.1$	$\gamma \leq 0.05$	Average $\gamma$
GD	LBP	71.96	67.00	51.02	0.2155
	FLBP	82.35	76.43	59.24	0.1570
GM	LBP	91.78	89.05	78.01	0.0849
	FLBP	95.10	90.34	77.78	0.0573
GD+GM	LBP	92.21	90.37	79.98	0.0829
	FLBP	96.09	93.33	82.51	0.0542
GS	LBP	92.34	90.34	83.14	0.0812
	FLBP	98.65	95.23	87.84	0.0385
GD+GM+GS	LBP	95.92	95.23	89.84	0.0537
	FLBP	<b>98.65</b>	<b>97.73</b>	<b>91.29</b>	<b>0.0328</b>

**Table 6.29** The Eye Detection Success Rates for  $\gamma_p \leq 0.25$ , 0.1, 0.05 and the Average  $\gamma_p$  of the LBP and FLBP Methods Applied on Gradient Images (the New Kernel), Grayscale Images and the Fusion of Gradient and Grayscale Images

Method		$\gamma_p \leq 0.25$	$\gamma_p \leq 0.1$	$\gamma_p \leq 0.05$	Average $\gamma_p$
GD	LBP	59.57	53.58	33.07	0.3122
	FLBP	73.90	66.01	42.54	0.2260
GM	LBP	86.72	82.84	66.60	0.1290
	FLBP	91.72	85.14	65.75	0.0817
GD+GM	LBP	87.38	84.75	68.64	0.1259
	FLBP	93.49	89.35	71.93	0.0791
GS	LBP	87.44	84.62	73.37	0.1207
	FLBP	97.83	92.44	80.14	0.0513
GD+GM+GS	LBP	93.43	92.31	83.76	0.0779
	FLBP	<b>97.96</b>	<b>96.32</b>	<b>85.34</b>	<b>0.0436</b>

### 6.7 The Enhanced Eye Detection Method

To further improve the performance, two enhancements are implemented to the eye detection methods. In tables 6.26 - 6.29, all methods use the same parameter values for the FLBP on grayscale image and gradient images. The first enhancement is to use the different parameter values between the grayscale image and the gradient images. The experimental results indicate the performance can be improved if different parameter values are chosen appropriately.

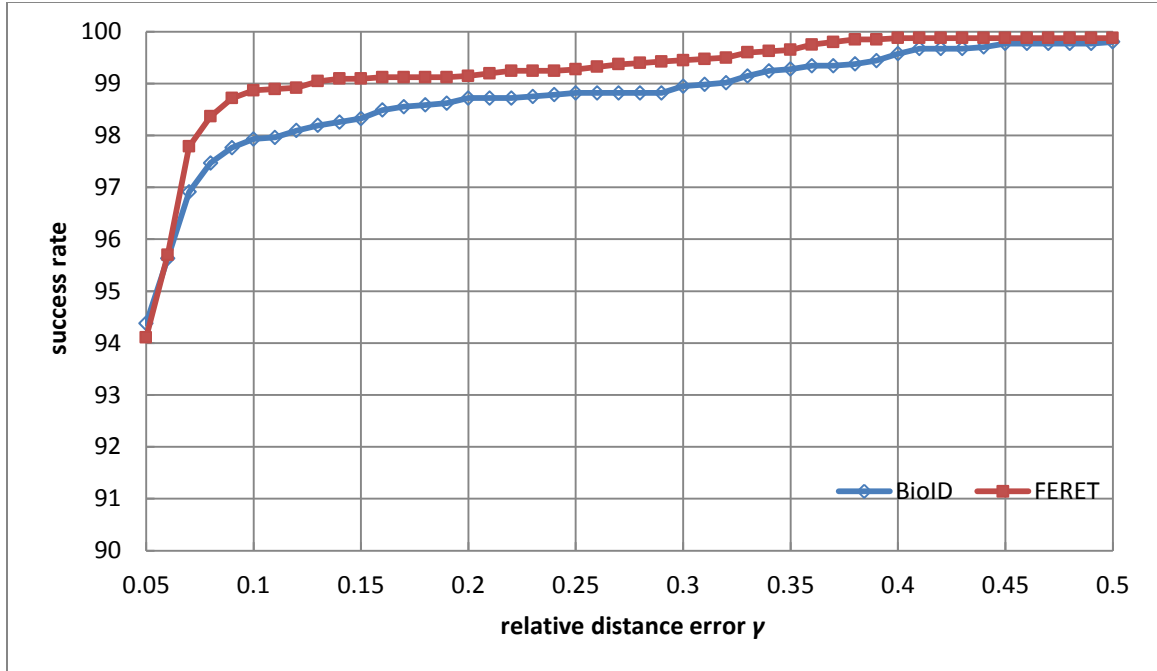
The second enhancement is based on the fact that the iris is the darkest area in the eye region and the eye center is also the center of an iris. After a pixel  $p$  is initially detected as the eye center by the similarity measure,  $M$  defined by Equation 5.1, the eye center is adjusted in the  $3 \times 3$  neighbor of  $p$ . First for each pixel in the  $3 \times 3$  neighbor of

$p$ , the sum of the intensity values of all pixels inside a  $10 \times 10$  window around the pixel is calculated, and then the sum is multiplied by  $M$ , the similarity measure of the pixel. The pixel with the smallest product will be selected as the new eye center.

The enhanced method is tested on both the BioID and FERET database. Table 6.30 shows the success rates and average  $\gamma$  of an enhanced GD+GM+GS method on the BioID and FERET databases. The values of  $\alpha_v$ ,  $\alpha_t$  and  $\beta$  for GS are 0.25, 0, 0.2, respectively. The values of  $\alpha_v$ ,  $\alpha_t$  and  $\beta$  for GM and GD are 0, 0.12 and 0.1, respectively. The results on the BioID database show the enhanced FLBP method archives the best performance among all FLBP methods introduced in the dissertation. Especially, the success rate for  $\gamma$  and  $\gamma_p \leq 0.05$  are significantly increased and average  $\gamma$  and  $\gamma_p$  are significantly decreased. The enhanced method on the FERET database also shows the good results. The graphs in Figures 6.11 and 6.12 show the success rates of the enhanced FLBP method for various  $\gamma$  and  $\gamma_p$  on the BioID and FERET databases, respectively.

**Table 6.30** The Eye Detection Success Rates for  $\gamma \leq 0.25, 0.1, 0.05$  and the Average  $\gamma$  of the Enhanced GD+GM+GS Method on the BioID and FERET Databases

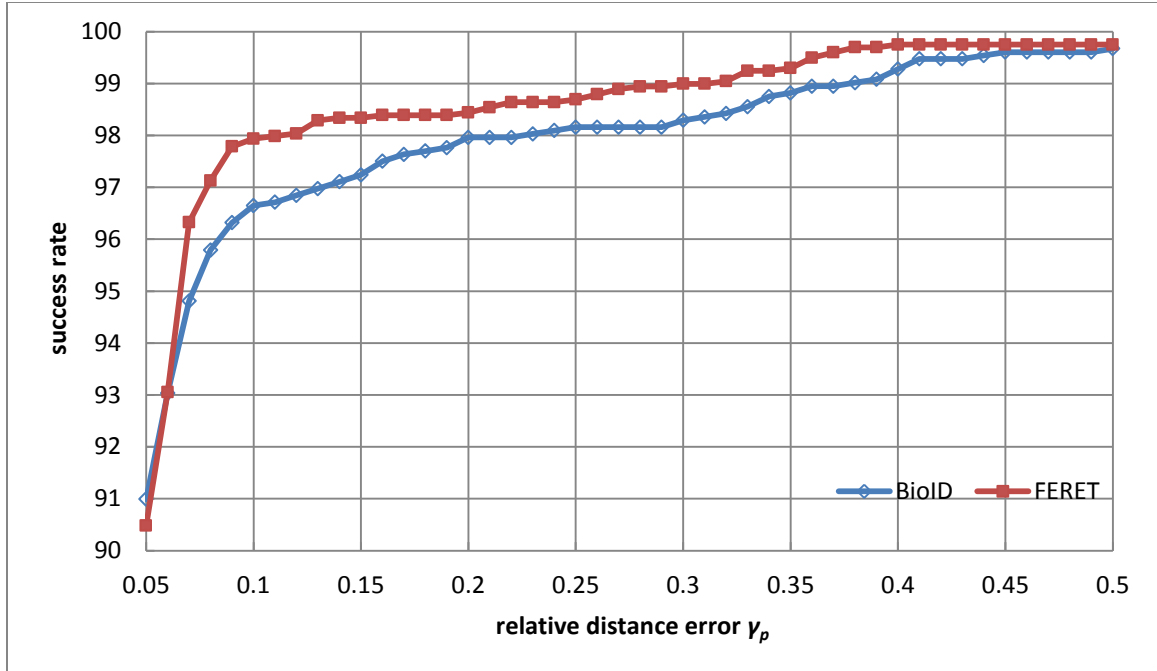
Database	$\gamma \leq 0.25$	$\gamma \leq 0.1$	$\gamma \leq 0.05$	Average $\gamma$
<b>BioID</b>	98.82	97.93	94.38	0.0281
<b>FERET</b>	99.27	98.87	94.11	0.0275



**Figure 6.11** The eye detection success rates of the enhanced FLBP method for various  $\gamma$  on the BioID and FERET database.

**Table 6.31** The Eye Detection Success Rates for  $\gamma_p \leq 0.25, 0.1, 0.05$  and the Average  $\gamma_p$  of the Enhanced GD+GM+GS Method on the BioID and FERET Databases

Database	$\gamma_p \leq 0.25$	$\gamma_p \leq 0.1$	$\gamma_p \leq 0.05$	Average $\gamma_p$
<b>BioID</b>	98.16	96.65	90.99	0.0381
<b>FERET</b>	98.69	97.94	90.48	0.0363



**Figure 6.12** The eye detection success rates of the enhanced FLBP method for various  $\gamma_p$  on the BioID and FERET database.

### 6.8 The Stability of FLBP to Change of Eye Template

The eye template in the previous sections is constructed by well controlled samples. In the controlled samples, all eyes are open, about the same size, and do not wear eye glasses. Eye detection performance depends on the eye template. This section compares the eye detection performance of the LBP, FLBP methods using different eye templates. The new templates are constructed by the eye samples from the face images of the BioID and FERET databases. The samples from the BioID and FERET databases are uncontrolled due to closed eyes, eye glasses and variation in eye size. The BioID and FERET databases are equally divided to ten groups. Each group is used to construct an eye template. For each method two sets of experiments are conducted on the BioID database. Each set includes ten experiments. One set uses the ten eye templates from the

samples of the BioID database, and the other one uses the ten eye templates from the samples of the FERET database. When an experiment using the eye templates from the samples of the BioID database, the face images used to construct the eye template are excluded from eye the experiments. The results of each experimental set are reported as the means and standard deviations of the results of the ten experiments in each set.

Table 6.32 shows eye detection success rates for  $\gamma \leq 0.25, 0.1, 0.05$  and the average  $\gamma$  of the LBP, FLBP and the enhanced FLBP methods on the BioID databases using different eye templates. The FLBP and enhanced FLBP methods are the same as the GS method in table 6.26 and the enhanced method in Table 6.30, respectively. The results of the methods using the eye templates from the samples of the BioID and FERET databases are shown as mean  $\pm$  standard deviation. As expected the results show that a method achieves better performance using the template from the controlled samples than using the templates from the samples of the BioID and FERET databases. Table 6.32 shows when using the templates from the uncontrolled samples of the BioID and FERET databases, the performance of the FLBP and enhanced FLBP methods drops less than the performance of the LBP method, and the FLBP and enhanced FLBP methods have the smaller standard deviations than the LBP method. These results indicate that the FLBP and enhanced FLBP methods are less sensitive and more stable to the change of eye template than the LBP method.



**Table 6.32** The Eye Detection Success Rates and the Average  $\gamma$  of the LBP, FLBP and the Enhanced FLBP Methods using Different Eye Templates

Method	Sample	$\gamma \leq 0.25$	$\gamma \leq 0.1$	$\gamma \leq 0.05$	Average $\gamma$
<b>LBP</b>	Controlled	92.34	90.34	83.14	0.0812
	BioID	$86.06 \pm 5.19$	$79.15 \pm 6.24$	$63.48 \pm 7.42$	$0.1256 \pm 0.0307$
	FERET	$75.11 \pm 6.66$	$68.49 \pm 7.28$	$54.48 \pm 6.81$	$0.1994 \pm 0.0409$
<b>FLBP</b>	Controlled	98.65	95.23	87.84	0.0385
	BioID	$94.77 \pm 2.64$	$87.93 \pm 3.74$	$72.13 \pm 7.48$	$0.0684 \pm 0.0142$
	FERET	$92.71 \pm 1.73$	$84.80 \pm 2.76$	$68.61 \pm 3.19$	$0.0842 \pm 0.0104$
<b>Enhanced FLBP</b>	Controlled	98.82	97.96	94.48	0.0280
	BioID	$96.39 \pm 1.88$	$92.85 \pm 2.64$	$81.33 \pm 3.89$	$0.0482 \pm 0.0119$
	FERET	$95.16 \pm 1.30$	$90.77 \pm 2.07$	$77.60 \pm 3.45$	$0.0585 \pm 0.0086$

### 6.9 Comparison with the Other Eye Detection Methods

The FLBP method is compared with other state of the art eye detection methods on the BioID and FERET databases. The FLBP method which is selected to compare with other methods is the enhanced GD+GM+GS method shown in Table 6.31.

Table 6.33 shows the eye detection success rates of the FLBP method and other methods on the BioID database. The results show the FLBP method achieves the highest success rates for  $\gamma_p \leq 0.1$  and 0.05. The success rate for  $\gamma_p \leq 0.05$  measures the accuracy of eye center localization. In comparison with the other methods, the FLBP method significantly improves the accuracy of eye center localization on the BioID database.

Table 6.34 shows the eye detection success rates of the FLBP method and Campadelli's [51] method on the FERET database. The face images used in two methods are different. Our method uses the entire fa and fb set which include 1986 face images.

Campadelli's method selected 1175 images of which 400 from fa set, 575 from fb set and 200 from ba set. Table 10 shows the FLBP method achieves the higher success rates for  $\gamma_p \leq 0.1$  and 0.05. The results indicate the FLBP method also significantly improves the accuracy of eye center localization on the FERET database.

**Table 6.33** The Eye Detection Success Rates of the FLBP and other Methods on the BioID Database

Method	$\gamma_p \leq 0.25$	$\gamma_p \leq 0.1$	$\gamma_p \leq 0.05$
Asterialdis (2009)	96.00	89.41	-
Valenti (2008)	98.49	90.85	84.10
Campadelli (2009)	99.30	93.20	80.70
FLBP	98.16	96.65	90.99

**Table 6.34** The Eye Detection Success Rates of the FLBP and other Methods on the FERET Database

Method	$\gamma_p \leq 0.25$	$\gamma_p \leq 0.1$	$\gamma_p \leq 0.05$
Campadelli (2009)	99.70	97.30	67.70
FLBP	98.69	97.94	90.48

## CHAPTER 7

### EXPERIMENTAL RESULTS OF LQP-BASED AND FLQP-BASED METHODS

#### 7.1 Comparative Assessment of FLQP and LQP

The experiments of the FLBP-based method show that  $5 \times 5$  neighborhood size is better than  $3 \times 3$  neighborhood size, and  $3 \times 4$  grid of an eye window yields the best overall results among  $3 \times 3$ ,  $3 \times 4$ , and  $4 \times 4$  grid size. Therefore, the  $5 \times 5$  neighborhood size and the  $3 \times 4$  grid are applied to the LQP-based and FLQP-based eye detection methods. The features for FLQP-based eye detection are derived using the LRBT method with  $\beta = 0.2$ . The parameters  $\alpha_v$  and  $\alpha_t$  are set to 0.25 and 0, respectively. The parameter  $r$  of the threshold function in the experiments is set to either a constant value ( $r = c$ ) or a relative value ( $r = \tau g_c$ ). The LQP-based and FLQP-based methods are assessed using the BioID databases. The facial images are cropped and normalized to the size of  $132 \times 178$ . The success rate for  $\gamma \leq 0.25, 0.1, 0.05$ ,  $\gamma_p \leq 0.25, 0.1, 0.05$  and the average  $\gamma$  and  $\gamma_p$  are used to assess the performance of the eye detection methods.

Tables 7.1 and 7.2 show the results of the eye detection success rate for  $\gamma$  and  $\gamma_p \leq 0.25, 0.1, 0.05$ , the average  $\gamma$  and  $\gamma_p$  of the LQP-based and FLQP-based eye detection methods, respectively. The ranks in the tables are derived by sorting the average  $\gamma$  or  $\gamma_p$  in ascending order. The results show that all the FLQP-based methods have lower average  $\gamma$  than any LQP-based method, and all the FLQP-based methods except when  $r = 8$  have lower average  $\gamma_p$  than any LQP-based method. For the FLQP-based eye detection methods, a relative  $r$  achieves better eye detection performance than a constant  $r$ . For the LQP-based eye detection methods, a relative  $r$  and constant  $r$  have the similar result

**Table 7.1** The Eye Detection Success Rates for  $\gamma \leq 0.25$ , 0.1, 0.05 and the Average  $\gamma$  of the FLQP-based and LQP-based Eye Detection Methods

Method		$\gamma \leq 0.25$	$\gamma \leq 0.1$	$\gamma \leq 0.05$	Average $\gamma$	Rank Average $\gamma$
<b>FLQP</b> $r = \tau g_c$	$\tau = 0.17$	98.59	96.09	<b>89.74</b>	0.0363	2
	$\tau = 0.18$	<b>98.75</b>	<b>96.19</b>	89.71	<b>0.0360</b>	1
	$\tau = 0.19$	<b>98.75</b>	96.12	89.38	0.0363	2
<b>FLQP</b> $r = c$	$c = 7$	98.59	94.81	88.59	0.0376	4
	$c = 8$	98.59	94.71	88.61	0.0377	5
	$c = 9$	98.62	94.94	88.42	0.0379	6
<b>LQP</b> $r = \tau g_c$	$\tau = 0.06$	98.13	95.36	88.95	0.0394	12
	$\tau = 0.07$	98.39	95.63	89.38	0.0382	8
	$\tau = 0.08$	98.19	94.43	89.48	0.0392	10
<b>LQP</b> $r = c$	$c = 6$	98.39	95.36	88.72	0.0385	9
	$c = 7$	98.55	95.40	88.10	0.0381	7
	$c = 8$	98.32	95.46	88.56	0.0391	10

**Table 7.2** The Eye Detection Success Rates for  $\gamma_p \leq 0.25, 0.1, 0.05$  and the Average  $\gamma_p$  of the FLQP-based and LQP-based Eye Detection Methods

Method		$\gamma_p \leq 0.25$	$\gamma_p \leq 0.1$	$\gamma_p \leq 0.05$	Average $\gamma_p$	Rank Average $\gamma_p$
<b>FLQP</b> $r = \tau g_c$	$\tau = 0.17$	97.63	93.56	<b>83.63</b>	0.0480	2
	$\tau = 0.18$	<b>97.90</b>	<b>93.75</b>	83.43	<b>0.0476</b>	1
	$\tau = 0.19$	<b>97.90</b>	93.56	82.77	0.0482	3
<b>FLQP</b> $r = c$	$c = 7$	97.63	91.12	81.72	0.0508	4
	$c = 8$	97.57	90.80	81.66	0.0512	7
	$c = 9$	97.70	91.58	81.79	0.0508	4
<b>LQP</b> $r = \tau g_c$	$\tau = 0.06$	96.91	92.50	82.38	0.0531	11
	$\tau = 0.07$	97.37	92.97	82.97	0.0513	8
	$\tau = 0.08$	97.17	92.83	83.04	0.0528	10
<b>LQP</b> $r = c$	$c = 6$	97.37	92.44	81.85	0.0515	9
	$c = 7$	97.70	92.37	81.07	0.0510	6
	$c = 8$	97.30	92.44	81.33	0.0531	11

## 7.2 Comparative Assessment of FLTP and LTP

The LTP and FLTP are implemented in the eye detection as well for comparison. The  $5 \times 5$  neighborhood size and the  $3 \times 4$  grid are applied to the LTP-based and FLTP-based eye detection methods. The features of FLTP-based eye detection are derived using the LRBT method with  $\beta = 0.2$ . The parameters  $\alpha_v$  and  $\alpha_t$  are set to 0.25 and 0, respectively. The parameter  $r$  of the threshold function is set to either a constant value ( $r = c$ ) or a relative value ( $r = \tau g_c$ ). The LTP-based and FLTP-based methods are access on the BioID databases. The success rate for  $\gamma \leq 0.25, 0.1, 0.05$ ,  $\gamma_p \leq 0.25, 0.1, 0.05$  and the average  $\gamma$  and  $\gamma_p$  are used to assess the performance of the eye detection method.

Tables 7.3 and 7.4 show the results of the eye detection success rate for  $\gamma$  and  $\gamma_p \leq 0.25, 0.1, 0.05$  and the average  $\gamma$  and  $\gamma_p$  of the LTP-based and FLTP-based eye detection methods, respectively. The ranks in the tables are derived by sorting the average  $\gamma$  or  $\gamma_p$  in ascending order. The results show that all the FLTP-based methods except when  $r = 0.04g_c$  have lower or equal average  $\gamma$  than any LQP-based method, and all the FLTP-based methods have lower average  $\gamma_p$  than any LQP-based method except when  $r = 4$ . For both the FLTP-based and LTP-based eye detection methods a constant  $r$  achieves lower average  $\gamma$  and  $\gamma_p$  than a relative  $r$ .

**Table 7.3** The Eye Detection Success Rates for  $\gamma \leq 0.25, 0.1, 0.05$  and the Average  $\gamma$  of the FLTP-based and LTP-based Eye Detection Methods

Method		$\gamma \leq 0.25$	$\gamma \leq 0.1$	$\gamma \leq 0.05$	Average $\gamma$	Rank Average $\gamma$
<b>FLTP</b> $r = \tau g_c$	$\tau = 0.02$	98.46	94.81	88.63	0.0395	5
	$\tau = 0.03$	98.32	95.00	89.02	0.0388	2
	$\tau = 0.04$	98.06	95.00	89.02	0.0396	7
<b>FLTP</b> $r = c$	$c = 2$	<b>98.52</b>	95.04	88.46	0.0389	3
	$c = 3$	98.29	95.17	<b>89.12</b>	<b>0.0387</b>	1
	$c = 4$	98.16	95.17	88.89	0.0390	4
<b>LTP</b> $r = \tau g_c$	$\tau = 0.02$	97.53	94.87	87.34	0.0438	11
	$\tau = 0.03$	97.44	94.74	87.87	0.0438	11
	$\tau = 0.04$	97.30	94.48	87.84	0.0436	10
<b>LTP</b> $r = c$	$c = 3$	97.63	95.07	87.67	0.0429	9
	$c = 4$	98.03	<b>95.50</b>	88.95	0.0395	5
	$c = 5$	97.80	95.07	88.46	0.0401	8

**Table 7.4** The Eye Detection Success Rates for  $\gamma_p \leq 0.25, 0.1, 0.05$  and the Average  $\gamma_p$  of the FLTP-based and LTP-based Eye Detection Methods

Method		$\gamma_p \leq 0.25$	$\gamma_p \leq 0.1$	$\gamma_p \leq 0.05$	Average $\gamma_p$	Rank Average $\gamma_p$
<b>FLTP</b> $r = \tau g_c$	$\tau = 0.02$	97.44	91.45	81.72	0.0533	7
	$\tau = 0.03$	97.17	91.72	82.38	0.0525	3
	$\tau = 0.04$	96.91	91.91	<b>82.64</b>	0.0531	6
<b>FLTP</b> $r = c$	$c = 2$	<b>97.50</b>	91.64	81.07	0.0525	3
	$c = 3$	97.24	91.85	82.58	<b>0.0522</b>	1
	$c = 4$	96.91	<b>92.76</b>	82.12	0.0529	5
<b>LTP</b> $r = \tau g_c$	$\tau = 0.02$	96.25	92.04	80.08	0.0581	10
	$\tau = 0.03$	95.99	91.58	80.60	0.0585	12
	$\tau = 0.04$	95.79	91.45	80.47	0.0584	11
<b>LTP</b> $r = c$	$c = 3$	96.19	92.04	80.34	0.0579	9
	$c = 4$	96.78	92.70	<b>82.64</b>	0.0524	2
	$c = 5$	96.38	91.91	81.59	0.0537	8

### 7.3 Comparison of FLQP, LQP, FLTP, LTP, FLBP and LBP

Tables 7.5 and 7.6 compare the performance of the FLQP-based, the LQP-based, the FLTP-based, the LTP-based, the FLBP-based, and the LBP-based eye detection methods. The experiments with smallest average  $\gamma$  and  $\gamma_p$  are selected from each method for the comparison. Tables 7.5 and 7.6 rank the average  $\gamma$  and  $\gamma_p$  of eye detection and show the percentages increased from the average  $\gamma$  and  $\gamma_p$  of the FLQP method, respectively.

**Table 7.5** The Average  $\gamma$  and Rank of the FLQP-based, the LQP-based, the FLTP-based, the LTP-based, the FLBP-based and the LBP-based Eye Detection Methods

Method	Average $\gamma$	% Higher than the FLQP Method	Rank
<b>FLQP</b> , $r = 0.18g_c$	<b>0.0360</b>	0	1
<b>LQP</b> , $r = 7$	0.0381	5.83	2
<b>FLTP</b> , $r = 3$	0.0387	7.50	4
<b>LTP</b> , $r = 4$	0.0395	9.72	5
<b>FLBP</b>	0.0385	6.94	3
<b>LBP</b>	0.0812	125.56	6

**Table 7.6** The Average  $\gamma_p$  and Rank of the FLQP-based, the LQP-based, the FLTP-based, the LTP-based, the FLBP-based and the LBP-based Eye Detection Methods

Method	Average $\gamma_p$	% Increased from the FLQP Method	Rank
<b>FLQP</b> , $r = 0.18g_c$	<b>0.0476</b>	0	1
<b>LQP</b> , $r = 7$	0.0510	7.14	2
<b>FLTP</b> , $r = 3$	0.0522	9.66	4
<b>LTP</b> , $r = 4$	0.0524	10.08	5
<b>FLBP</b>	0.0513	7.77	3
<b>LBP</b>	0.1207	153.57	6

The results in these tables lead to the following findings.

- FLQP achieves the best eye detection performance. Specifically. The FLQP-based method has the smallest average  $\gamma$  and  $\gamma_p$ . The average  $\gamma$  of the LQP, FLTP, LTP, FLBP, and LBP-based eye detection methods are 5.83%, 7.50%, 9.72%, 6.94%, and 125.56% higher than the average  $\gamma$  of the FLQP-based method, respectively. The average  $\gamma_p$  of the LQP, FLTP, LTP, FLBP, and LBP-based eye detection methods are 7.14%, 9.66%, 10.08%, 7.77%, and 153.57% higher than the average  $\gamma_p$  of the FLQP-based method, respectively.



- LQP performs better than LTP and LBP, and FLQP performs better than FLTP and FLBP for eye detection in terms of average  $\gamma$  and  $\gamma_p$ . These results demonstrate that the proposed LQP and FLQP, which encode four relationships of local texture, are more effective than the LTP, FLTP, LBP, and FLBP for texture description and pattern recognition, such as eye detection.
- FLQP performs better than LQP, FLTP performs better than LQP, and FLBP performs better than LBP in terms of average  $\gamma$  and  $\gamma_p$ . The results illustrate that the feature local methods (FLQP, FLTP, and FLBP), which encode both local and feature information, perform better than the local methods (LQP, LTP, and LBP) that do not encode feature information.
- The LTP method improves upon the LBP method. However, the average  $\gamma$  and  $\gamma_p$  of the FLBP method is smaller than the FLTP method. The FLTP method does not outperform the FLBP method. This results are consistent with the experimental results reported by Tan and Triggs (2007, 2010) and Gritti et al. (2008) which showed that LTP and LBP achieved similar results for face and facial expression recognition, although LTP has a higher computational cost than LBP.

## CHAPTER 8

### FUTURE WORK AND CONCLUSION

#### 8.1 Future Work

This dissertation introduces FLBP, LQP and FLQP and applies these new local texture descriptors to eye detection. The future work will be the application of FLBP, LQP and FLQP to face detection and contented-based image classification. Some preliminary experiments have been conducted and show promising results. To further improve the performance will be the focus of the future work.

##### 8.1.1 FLBP Application to Face Detection

The FLBP and LBP face detection methods are tested on the BioID database. The system architecture of face detection is similar to the one shown on Figure 5.3 for eye detection. The face template is constructed from 85 face samples that are not from the BioID database. All face samples are aligned by their eye centers and normalized to the size of  $40 \times 36$ . The face samples are divided to a grid of  $4 \times 4$ . To find the faces in different scales, the test images are scaled to different size. In each searching route the scale of a test image is selected by the bisection method. The success rate for  $\gamma_p \leq 0.25, 0.1, 0.05$ , and the average  $\gamma_p$  is used to assess the performance of face detection. For face detection, a candidate face is considered to be successfully detected if  $\gamma_p \leq 0.25$ . Table 8.1 shows face detection success rates and average  $\gamma_p$  of the FLBP and LBP methods, where the feature pixels for FLBP are derived by the LRBT method. The results show that both methods have a high success rate for  $\gamma_p \leq 0.25$ , and the FLBP method achieves better

performance than the LBP method. To increase the success rates for  $\gamma_p \leq 0.1, 0.05$ , and decrease the average  $\gamma_p$  will be our future tasks.

**Table 8.1** The Face Detection Success Rates and Average  $\gamma_p$  of the FLBP and LBP Methods

Method	$\gamma_p \leq 0.25$	$\gamma_p \leq 0.1$	$\gamma_p \leq 0.05$	Average $\gamma_p$
<b>FLBP</b> LRBT, $\beta = 0.4, \alpha_t = 0, \alpha_v = 0.25$	96.19	37.48	5.06	0.1369
<b>LBP</b>	94.15	32.35	3.94	0.1557

### 8.1.2 FLBP Application to Content-Based Image Classification

The content-based image classification using FLBP and LBP is tested on the MIT Scene database. The MIT Scene database has 2,688 color images in eight categories. All color images are converted to grayscale images in the experiments. The features used in FLBP and LBP methods are 256 bins FLBP and LBP histograms of an image, respectively. Principal Component Analysis (PCA) is first applied to reduce the dimensions of the FLBP and LBP histograms, and then Fisher Linear Discriminant (FLD) is further applied to extract the most discriminatory features. The performance of FLBP and LBP method is assessed using four-fold cross-validations. The database is equally divided to four groups. In each round of cross-validation three groups are used for training and one group is used for testing. For classification, a testing image compares to the sample means of each category and is assigned to the category which has the shortest distance to the image. The performance of classification is measured by the mean of the classification rates of all rounds of cross-validation. Table 8.2 is the classification rate of the FLBP and LBP method on MIT Scene database. The results show the FLBP method has the higher

classification rate than the LBP method. The future work will be focused on improving the performance.

**Table 8.2** The Content-Based Image Classification Rates the FLBP and LBP Methods

Method	Classification Rate
<b>FLBP</b>	81.23
LRBT, $\beta = 0.1, \alpha_t = 0, \alpha_v = 0.5$	
<b>LBP</b>	77.91

## 8.2 Conclusion

LBP only compares a pixel with the pixels in its own neighborhood and encodes a little information about the relationship of local texture with the features. To solve the problems of FLBP, this dissertation introduces Feature Local Binary Patterns (FLBP) that compares a pixel with the pixels in its own neighborhood as well as in other neighborhoods, and encodes the information of both local texture and features. The features encoded in FLBP are broadly defined by any features which meet the requirements of specific applications. FLBP generalizes LBP which can be considered as a special case of FLBP. The FLBP method displays superior representational power and flexibility to the LBP method due to the introduction of feature pixels as well as its parameters. The FLBP method is assessed on eye detection using the BioID and FERET databases. The experimental results show that the FLBP method significantly improves upon the LBP method in terms of both eye detection rate and eye center localization accuracy. The FLBP method is less sensitive to the appearance change caused by the illumination and pose variations than the LBP method. A new feature pixel extraction method, the LBP with Relative Biased Threshold (LRBT) method is presented in the

dissertation. The experimental results show that the new LRBT feature pixel extraction method helps improve the FLBP eye detection performance when compared with the other feature extraction methods. This dissertation further introduces an enhanced FLBP eye detection method to improve the performance. In comparison with the state of the art eye detection methods, the enhanced FLBP method significantly improves the accuracy of eye center localization.

Local Ternary Patterns (LTP) was introduced to solve the problem that the LBP is sensitive to noise. However, LTP achieved similar results as LBP in some experiments, although LTP has a higher computational cost than LBP. To improve the performance of LTP, this dissertation introduces Local Quaternary Patterns (LQP). LQP which encodes four relationships of the local texture includes more information of the local texture than LBP and LTP. The LQP is further extended to FLQP which encodes both local and feature information. To reduce the feature dimension of LQP and FLQP, a new coding scheme is proposed to split an LQP code into two binary codes: the Upper LQP (ULQP) and the Lower LQP (LLQP), and an FLQP code into two binary codes: the Upper FLQP (UFLQP) and the Lower FLQP (LFLQP). The experiments of eye detection using the BioID database show the following results:

- FLQP achieves the best eye detection performance.
- LQP performs better than LTP and LBP, and FLQP perform better than FLTP and FLBP. These results demonstrate that the proposed LQP and FLQP, which encode four relationships of local texture, are more effective than the LTP, FLTP, LBP, and FLBP for texture description and pattern recognition, such as eye detection.
- FLQP performs better than LQP, FLTP performs better than LQP, and FLBP performs better than LBP in terms of average  $\gamma$  and  $\gamma_p$ . The results illustrate that the feature local methods (FLQP, FLTP, and FLBP), which encode both local and feature information, perform better than the local methods (LQP, LTP, and LBP) that do not encode feature information.

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