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#### ABSTRACT

## **GPU IMPLEMENTATION OF BLOCK TRANSFORMS**

## by Boyan Zhang

Traditionally, intensive floating-point computational ability of Graphics Processing Units (GPUs) has been mainly limited for rendering and visualization application by architecture and programming model. However, with increasing programmability and architecture progress, GPUs inherent massively parallel computational ability have become an essential part of today's mainstream general purpose (non-graphical) high performance computing system. It has been widely reported that adapted GPU-based algorithms outperform significantly their CPU counterpart.

The focus of the thesis is to utilize NVIDIA CUDA GPUs to implement orthogonal transforms such as signal dependent Karhunen-Loeve Transform and signal independent Discrete Cosine Transform. GPU architecture and programming model are examined. Mathematical preliminaries of orthogonal transform, eigen-analysis and algorithms are revisited. Due to highly parallel structure, GPUs are well suited to such computation. Further, the thesis examines multiple implementations schemes and configuration, measurement of performance is provided. A real time processing display application frame is developed to visually exhibit GPU compute capability.

## GPU IMPLEMENTATION OF BLOCK TRANSFORMS

by Boyan Zhang

A Thesis Submitted to the Faculty of New Jersey Institute of Technology in Partial Fulfillment of the Requirements for the Degree of Master of Science in Electrical Engineering

**Department of Electrical and Computer Engineering** 

June 2012

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## **APPROVAL PAGE**

## GPU IMPLEMENTATION OF BLOCK TRANSFORMS

# **Boyan Zhang**

Dr. Ali N Akansu, Thesis Advisor	
Professor of Electrical and Computer Engineering, NJIT	

Dr. Richard Haddad, Committee Member Professor of Electrical and Computer Engineering, NJIT

Dr. Edip Niver, Committee Member Professor of Electrical and Computer Engineering, NJIT Date

Date

Date

## **BIOGRAPHICAL SKETCH**

Author: Boyan Zhang

Degree: Master of Science

Date: August 2012

## **Undergraduate and Graduate Education:**

- Master of Science in Electrical Engineering, New Jersey Institute of Technology, Newark, New Jersey, 2012
- Bachelor of Science in Electrical Engineering and Underwater Acoustics, Harbin Engineering University, Harbin, Heilongjiang, 2009

Major:Electrical Engineering

To my family,

for their endless love and support

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## **CHAPTER 1**

## INTRODUCTION

## 1.1 Objective

Orthogonal transforms decorrelate the signal and repack their energy into less number of coefficients, which is the purpose of transform coding (Akansu 2000). The thesis aims at implementation *Graphic Processing Unit* GPU based block transform that would provide dramatic increase in computational speed as compared to CPU-based implementation.

Historically, a GPU serves as co-processor to the central processing unit. When it comes to hardware features. The GPU is designed with a mathematically-intensive, highly parallel architecture for rendering graphics (Tee 2011). The increased programmability of the GPUs has opened up the potential to execute non-graphics computation on the GPU or *general purpose computing on GPU* (GPGPU) by utilizing the GPU's massively parallel architecture with high memory bandwidth.

Two well-known and important orthogonal transforms, *Discrete Cosine Transform* or DCT and *Karhunen-Loeve Transfrom* or KLT are taken as example to be discussed and implemented. KLT, the unique input-signal dependent with feature of perfect decorrelation is optimal block transform (Akansu 2000). In essence, KLT is *eigen-decomposition* problem. Multiple algorithms, implementation and performance measurement are discussed in the thesis. DCT is an approach of the KLT, as a reasonable balance of optimality of the input decorrelation and the computational complexity.

In addition to demonstrating the efficient use of GPU hardware, various implementation schemes and configuration profiling are presented to show GPU based algorithm development work flow of how to achieve high performance by carefully choosing strategies. A real time display application frame is also developed to exhibit potential of utilizing GPU interoperability in real time signal processing.

## **1.2** The Scope of Thesis

Chapter 2 introduces concepts of signal representation, theory of block orthogonal transform and discusses KLT as perfect signal decorrelator. Chapter 3 talks about CUDA Programming model in both hardware and software perspectives. Chapter 4 presents algorithms design and configuration details of implementation of DCT and KLT. Performance measurement and profiling result are also provided. Chapter 5 shows real time display application frame with kernel developed from previous chapters.

#### **CHAPTER 2**

## **BLOCK ORTHOGONAL TRANSFORM**

## 2.1 Vector Spaces and Signal Representation

In context of signal analysis, discrete signal can be represented as a vector  $\mathbf{x} = [x_1, \dots, x_n]^T$ , which will also be considered as a vector in vector space. For the convenience of future discussion, a *N* dimensional vector (or signal vector  $\mathbf{x}$ ) will always be represented in column form or transpose of a row vector. A column vector  $\mathbf{x}$  or a row vector  $\mathbf{x}^T$  can be written as an n-tuple with *n* elements:

$$\boldsymbol{x}^{T} = [x_{1}, x_{2}, \dots, x_{N}] \qquad \qquad \boldsymbol{x} = \begin{bmatrix} x_{1} \\ x_{2} \\ \vdots \\ x_{N} \end{bmatrix}. \qquad (2.1)$$

## 2.2 Orthogonal Signal Space and Orthogonal Transform

The signal  $\mathbf{x}$  can be considered as a *random process*, of which each element can be treated as a random variable with certain probability distribution. In the case of representing a sequence (discrete-time or discrete variable signal), orthogonal functions, structured as bases, are used in signal expansions (Akansu 2000). Applying linearly independence of orthogonal basis, it can be shown that a discrete signal  $\mathbf{x} = [x_1, x_2, ..., x_N]$  can be uniquely represented as linear combination of orthogonal basis.Here we reference orthogonal matrix as the object of discussion, for the reason that, through *Gram-Schmidt Orthogonalization*, Based on discussion above, orthogonal transform can be developed. If an *N* by *N* square matrix **A** is invertible, its inverse matrix  $\mathbf{A}^{-1}$  equals to its own transpose  $\mathbf{A}^{T}$ , which is  $\mathbf{A}^{-1} = \mathbf{A}^{T}$ . Then an orthogonal transform of a column vector  $\mathbf{x} = [x_1, x_2, \dots, x_N]^T$  can be defined as

$$\mathbf{y} = \mathbf{A}\mathbf{x} \tag{2.2}$$

or in the expansion form

$$\mathbf{y} = \begin{bmatrix} a_{11} & a_{12} & \dots & a_{1N} \\ a_{21} & a_{22} & \dots & a_{2N} \\ \vdots & \vdots & \vdots & \vdots \\ a_{N1} & a_{N2} & \dots & a_{NN} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_N \end{bmatrix}$$
(2.3)

If we define  $\mathbf{a}_i = [a_{1i}, a_{2i}, \dots, a_{Ni}]$  as *i*th column vector of A, above *forward transform* can be rewritten as

$$\mathbf{y} = \begin{bmatrix} y_1 \\ y_2 \\ \vdots \\ y_N \end{bmatrix} = \mathbf{A}^T \mathbf{x} = \begin{bmatrix} \mathbf{a}_1^T \\ \mathbf{a}_2^T \\ \vdots \\ \mathbf{a}_N^T \end{bmatrix} \mathbf{x}$$
(2.4)

Where A is transform matrix. Correspondingly, inverse transform can be obtained by left

multiplying A on both sides of transform equation above,

$$\boldsymbol{x} = \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} = \boldsymbol{A}\boldsymbol{y} = \begin{bmatrix} \boldsymbol{a}_1 & \boldsymbol{a}_2 & \dots & \boldsymbol{a}_N \end{bmatrix} \begin{bmatrix} y_1 \\ y_2 \\ \vdots \\ y_N \end{bmatrix}$$
(2.5)

Above operation relies on the property of orthogonal matrix that transpose of orthogonal matrix is equal to its inverse (Axler 1997). Hence,  $AA^T = AA^{-1} = I$ . The bi-direction transform above also reveals the *Parseval Relation* between signal domain and transform domain. The *energy* in a signal sequence is defined as the square of the *norm*. Signal energy is preserved under the transformation and can also be measured by the norm of transformed (spectral) coefficients (Akansu 2000).

Geometrically, above operation can be interpreted as signal vector  $\mathbf{x}$  represented by a set of orthonormal basis defined in an *N Dimensional space* being applied coordinate rotation defined by orthogonal transform matrix through matrix-vector operation. Transform coefficients can be seen as signal representation with rotated coordinate. Considering a signal matrix with multiple signal vector entries  $\mathbf{X} = [\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N]$ . Through operation (transform) defined previously, block orthogonal transform is an expansion of matrixvector to matrix-matrix case. Let signal be matrix with *N* by *N* elements, such a matrix can be converted to an  $N^2$  dimension vector by cascading all the vectors column by column. Hence, each block can then be interpreted as a vector with length  $N^2$  (Fisher 1995).

#### 2.3 Why KLT is Optimal Transform

#### 2.3.1 Correlation and Covariance

Let signal vector  $\mathbf{x}_i$  be a random variable vector, the *covariance matrix* of  $\mathbf{x}$  is defined as

$$\boldsymbol{C}_{x} = \boldsymbol{E}\left[(\boldsymbol{x} - \boldsymbol{\mu}_{x})(\boldsymbol{x} - \boldsymbol{\mu}_{x})^{T}\right] = \boldsymbol{E}[\boldsymbol{x}\boldsymbol{x}^{T}] - \boldsymbol{\mu}_{x}\boldsymbol{\mu}_{x}^{T} = \begin{bmatrix} \boldsymbol{\sigma}_{0}^{2} & \dots & \boldsymbol{\sigma}_{0(N-1)}^{2} \\ \vdots & \vdots & \vdots \\ \boldsymbol{\sigma}_{(N-1)0}^{2} & \dots & \boldsymbol{\sigma}_{N-1}^{2} \end{bmatrix}$$
(2.6)

where  $\boldsymbol{\mu}_x$  is the *mean vector* of random vector  $\boldsymbol{x}$ , the element  $\sigma_{ij}^2$  is the covariance of  $x_i$  and  $x_j$ . Correspondingly, the *correlation matrix* composed of all correlation coefficients:

$$\boldsymbol{R}_{x} = \begin{bmatrix} r_{0}^{2} & \dots & r_{0(N-1)}^{2} \\ \vdots & \vdots & \vdots \\ r_{(N-1)0}^{2} & \dots & r_{N-1}^{2} \end{bmatrix}$$
(2.7)

where  $r_i j$  is *correlation coefficient* between the two variables, defined as the covariance  $\sigma_{ij}^2$ normalized by  $\sigma_i$  and  $\sigma_j$ . It can be assumed that  $\mathbf{x}$  is centred with  $\boldsymbol{\mu} = 0$  without loss ofgenerality. Figure 2.1 and Figure 2.2 gives a perfect illustration of correlation between pixels in an actual image (Lenna). Each dot represents a pixel in the image with the *x* coordinate being its gray level value and the *y* coordinate being the gray level value of its neighbour to the right (Rao 2000). The diagonal convergence reveals the strong correlation between neighbouring pixels. Figure 2.2 (Rao 2000) shows result of 45 degree rotation on input signal pairs. Simply after 45 degree rotation, the pre-exist correlation between neighbour pixels have already been significantly reduced. Here, covariance matrix can be introduced



Figure 2.1 Scatter plot of adjacent pixel value pairs of "Lenna"

to represent correlation among all pixels. Such correlation between adjunct pixels is reflected in first sub-diagonal elements of covariance matrix. Intuitively, all non-diagonal elements of covariance matrix reflects correlation between any two different spatial image pixels. From a signal coding standpoint, the purpose of transform coding is to decompose a batch of correlated signal samples into a set of uncorrelated spectral coefficients (Akansu 2000). If idea of decorrelation spans to the example above, after transform desired covariance matrix of uncorrelated spectral coefficients is a diagonal matrix. The *Karhunen-Loeve transform (KLT)* has property to diagonalized input signal covariance matrix.

Suppose  $\lambda_k$  is *kth* eigenvalue of the covariance matrix  $C_x$ ,

$$\lambda_k \phi_k = \boldsymbol{C}_x \phi_k \qquad 1 \le k \le N \tag{2.8}$$



**Figure 2.2** Scatter plot of adjacent pixel value pairs of "Lenna" rotated by 45 degree An *N* by *N* transform matrix  $\mathbf{\Phi}$  can be constructed through aligning eigenvectors of signal matrix.

$$\boldsymbol{\Phi} = [\boldsymbol{\phi}_1, \boldsymbol{\phi}_2, \dots, \boldsymbol{\phi}_N] \tag{2.9}$$

The transform matrix  $\mathbf{\Phi}$  is orthogonal, it retains all orthogonal matrix attributes. Combining *N* equation for all *N* index in equation form (1.7). The transform can be written as:

$$\boldsymbol{C}_{\boldsymbol{x}}\boldsymbol{\Phi} = \boldsymbol{\Phi}\boldsymbol{\Lambda} \tag{2.10}$$

Where  $\Lambda$  is diagonal matrix with all diagonal entries are eigenvalues of input covariance matrix  $C_x$ . Through choosing N eigenvectors of input signal covariance matrix as basis vectors. An orthogonal transform matrix with perfect decorrelation property can be found. The signal vector  $\mathbf{x}$  is also expressed in an N-dimensional space constructed Another ob-

servation can be made is the covariance matrix of transform coefficients is same as covariance matrix of input signal vector, which means the spare energy of input signal vector  $\mathbf{x}$ contract in first *N* component after transform. The example reveals that the signal characteristics of local correlation is reflected in the correlation matrix  $\mathbf{R}_x$ . All elements along the main diagonal take the maximum value, representing the maximal self-correlation of each signal sample, and all off-diagonal elements takes a smaller value representing the cross-correlation between the two signal samples  $x_i$  and  $x_j$ .  $\mathbf{r}_{ij}$  close to the main diagonal tends to take large values than those whiche are farther away from the main diagonal, due to local correlation.

## 2.4 Two-Dimensional Orthogonal Transform

Taking KLT as an example, we can extend one-dimensional orthogonal transform to twodimensional case, by assuming separable statistics of rows and columns(which is reasonable by examination of previous image signal example)(Rao 2011), two dimensional real random input  $\varkappa$ :

$$\boldsymbol{\varkappa} = \begin{bmatrix} x_{11} & \dots & x_{1N} \\ \vdots & \ddots & \vdots \\ x_{N1} & \dots & x_{NN} \end{bmatrix}$$
(2.11)

By rearranging order of  $\varkappa$ ,  $(N^2 \times 1)$  column vector *u* can be obtained:

$$u = (x_{11} \dots x_{1N} x_{21} \dots x_{2N} \dots x_{N1} x_{NN})^T$$
(2.12)

If assuming separable statistics of the row and the column, Here  $\Phi_1$  and  $\Phi_2$  are orthogonal matrices in the KLT matrix  $\Psi$ . After 2D separation we have:

$$\Psi = \Phi_1 \otimes \Phi_2 \tag{2.13}$$

The symbol  $\otimes$  stands for Kronecker Product of two matrices can be defined as:

$$A \otimes B = \begin{bmatrix} a_{11}B & \dots & a_{1n}B \\ \vdots & \ddots & \vdots \\ a_{m1}B & \dots & a_{mn}B \end{bmatrix}$$
(2.14)

When *A* and *B* matrices are respectively of sizes  $(m \times n)$  and  $(p \times q)$ . Since  $\Phi_1$  and  $\Phi_2$  are orthogonal, then  $\Psi$  is orthogonal. Equation (4) holds,

$$R\Psi_k = \lambda_k \Psi_k \tag{2.15}$$

Perform KLT to u, we obtain  $\mu$ : (?)

$$\boldsymbol{\mu} = \boldsymbol{\Psi}^T \boldsymbol{u} = (\boldsymbol{\Phi}_1^T \otimes \boldsymbol{\Phi}_2^T) \tag{2.16}$$

Left Multipying  $\Psi = (\Psi^T)^{-1}$  on both sides of above equation, 2D case inverse transform with respect to  $\mu$  can be shown:

$$u = \Psi \mu \tag{2.17}$$

To generalize procedure above, a *row-column* operation can be taken. First, one dimensional orthogonal transform of the signal along the columns, then one dimensional

orthogonal transform can be applied along rows. The two-dimensional forward and inverse transform can be expressed as:

$$\mathbf{y} = \mathbf{A}\mathbf{x}\mathbf{A}^T \tag{2.18}$$

$$\boldsymbol{x} = \boldsymbol{A}^T \boldsymbol{y} \boldsymbol{A} \tag{2.19}$$

Although the KLT is optimal among all orthogonal transforms, other orthogonal transforms are still widely used for two reasons. First, by definition the KLT transform is for random signals and it depends on the specific data being analysed. The transform matrix is composed of the eigenvectors of the covariance matrix  $C_x$  of the signal x, which can be estimated only upon data. Second, the computational cost of the KLT transform is much higher than other orthogonal transforms. Moreover, fast algorithms exist for many transforms such as DFT, DCT. For these reasons, the DCT may be the preferred method in many applications. The KLT can be used when the covariance matrix of the data can be estimated and computational cost is not critical. Also the KLT as the optimal transform can be used to serve as a standard against which all other transform methods can be compared and evaluated (Akansu 2000). Although no fast algorithm exists for the KLT, it can be approximated by DCT if the signal locally correlated As most signals of interest in practice are likely to be locally correlated we can always expect the results of the DCT transform are close to the optimal transform of KLT.

#### **CHAPTER 3**

## **CUDA PROGRAMMING MODEL**

## 3.1 Introduction to CUDA

*Compute Unified Device Architecture (CUDA)* is a general purpose parallel computing architecture developed by NVIDIA that leverage the parallel computing ability in NVIDIA *Graphics Processing Units (GPUs)* to solve many complex computational problems in a more efficient way than on a *Central Processing Unit (CPU)* (NVIDIA 2010). The parallel computing engine in GPU is accessible to programmers through '*CUDA C*' (industry standard C with NVIDIA extensions and certain restrictions) (Wik 2010). It transparently scales its parallelism and inherent floating point processing ability allows that modern GPUs emerge not only as a specialized graphic processors but also a numerical co-processors for high performance general application on CPU.

#### 3.2 Hardware Model

In CUDA hardware model. *host* is often referred as CPU. *Device* is a GPU connected to a host through a high speed IO bus slot, typically a PCI-Express (Wolfe 2010). CUDA device has its own device memory on GPU. Programmed DMA, can operate concurrently with both host and device can be used to transfer data between host and device memories.

Although CUDA parallelism model shares some features of popular *Single Instruction Multiple Data (SIMD)* and *Single Program Multiple Data (SPMD)* parallel schemes, it has to be noted that the architecture should not be simply categorised as any one of the classes. NVIDIA introduced *Single Instruction Multiple Thread (SIMT)* architecture which combines features of multiple parallel models. Similar to SIMD vector organizations that a single instruction controls multiple processing elements, in instruction and data level, *threads* are organised in groups (*warps*) that execute same instruction simultaneously (NVIDIA 2010). However, unlike popular SIMD architecture implementation *Streaming SIMD Extension (SSE)* on CPU, each CUDA thread has private register, which allows parallel threads process multiple data elements from different address without being packed in single register. The mechanism change–without extra alignment instructions required, directly affects programming behaviour (Kirk and Hwu 2010). On the other hand, *thread block* executes independently, within thread block private *shared memory* block being used for thread cooperation and communication. But synchronization and communication among blocks is limited.

## 3.3 Programming Model

#### 3.3.1 CUDA Thread Organization

CUDA thread hierarchy is organized into a 3 level hierarchy. The basic parallel unit is warp, which also defines hardware memory bandwidth of GPU device. Each warp has total 32 threads, when actual execution, each warp is divided into two *half warps* and scheduled to execution queue by hardware scheduler (Figure 3.1) (Stein 2010). From programmer point of view, CUDA language extension does not provide *explicit* definition to control warp behaviour flow *explicitly*. Hence, the thread blocks can be seen at the bottom of programmable CUDA thread structure as Figure 3.2 shows. Grid is a collection of thread blocks and it can be seen as an acronym of device. For the reason that, in current architecture, only one grid on device at a time is allowed when the kernel being launched



Figure 3.1 Execution of CUDA warp

from host.

All threads in a grid execute same kernel function. Programmer is able to determine size and dimension of thread blocks through build-in variables under hard limit different from product to product, which is quite flexible in practice. Pre-defined thread indices coordinate can be utilized by threads to distinguish from each other. Programmer is also responsible to create mapping from thread to appropriate portion accessing data from homogeneous or non-homogeneous address.

Although there is no strict pre-requisite for block size initialization when only considering program correctness, when threads executing on hardware, threads are always scheduled in warp unit size. So for optimization, there is no point to create thread block of size that is not a multiple of 32 threads (?).



Figure 3.2 CUDA thread organization

## **3.4 Execution Model**

Excution model: Figure 3.3 is a clear illustration of CUDA execution model. CUDA programming model assumes that the CUDA threads execute on a physically separate device. Different kernel functions are executed sequentially. When parallel threads being executed on device, the rest C program runs on CPU (NVIDIA 2010). This may not be the case, when comes to newly introduced architecture, *Fermi* architecture supports concurrent kernel execution allowing programs that execute a number of small kernels to maximize resource utilization (NVIDIA 2011b).



Figure 3.3 Heterogeneous Programming

## 3.5 CUDA Memory Model

CUDA programming model assumes device managing separate memory space which resides on GPU DRAM. Before *Fermi unified virtual Accessing* is introduced, accessing to the host memory space subjects to the explicit operation managed by programmer. CUDA supports three types of memory that can be utilized by programmers: global(device) memory, shared memory and registers, figure 3.4 shows these device memory. Each thread in a thread block can read/write per-thread 32-bit registers. Each thread block has shared mem-



Figure 3.4 Overview of the CUDA device memory model

ory visible to all threads within the block and with the same lifetime as the block (NVIDIA 2010). Registers and shared memory are *on-chip* memories. Variables that reside in these types can be accessed at very high speed (it takes about 20 clock cycles) in a highly parallel manner. Shared memory is an efficient mean for threads to cooperate by sharing input data and the intermediate results of processed data (Kirk and Hwu 2010). Appropriate accessing may have shared memory works as fast as registers. All threads have access to the same global memory. Several hundred clock cycles are needed to access data in device memory. As part of of device memory, constant and texture memory reside off-chip. However, constant memory is read-only and cached, it supports short-latency, high-bandwidth memory access by device all threads access the same location simultaneously (Kirk and Hwu 2010).

## **3.6 Hardware Perspective**

It is important to understand the aforementioned scalability and limiting factor on programming side. Such Scalability roots in hardware as previous shown, the structure of GPU building blocks is self similar. The threads of a thread block execute concurrently on one multiprocessor, and multi thread blocks can execute concurrently on one multiprocessor (NVIDIA 2010). Consequently, streaming multiprocessors (SMs) can be seen as hardware abstraction basic unit of CUDA architecture. As its name suggests, each streaming multiprocessors contains multiple *stream processors(SPs)*. Control logic and instruction cache is shared between different SPs.

## 3.6.1 Dynamic Partitioning of SM Resources

Because of all threads in a thread block execute on an SM, GPU hardware designers are able to provide low-latency local on-chip memory inside SMs to reduce external memory bandwidth requirement. This is an elegant solution for avoiding known scaling issues with maintaining coherent caches in multi core processors. Including more higher performance components in next generation enables forward compatible. Functional behaviour is identical across the scaling range; one application will run unchanged on any implementation of an architecture family (Kirk and Hwu 2010). To programmers, the most visible difference between various CUDA products is "scale" of resource– size and dimension of threads and thread blocks supported.

The execution resources such as memory usage, threads, threads block distribution are dynamically partitioned and assigned on SMs. This mechanism makes the SMs versatile. They can either execute many thread blocks with few threads inside, or execute fewer number blocks, each of which consists of many threads (Kirk and Hwu 2010). However, this can result in underutilizing or overutilizing SM resources which may affect application performance.

#### **CHAPTER 4**

## **GPU IMPLEMENTATION**

## 4.1 Implementing DCT by Definition

This solution is never used in practice when calculating block by block DCT transform on CPU because its high computational complexity relatively to some "separable methods". However such implementation matches/maps nicely to CUDA programming model, architecture, which could be used to exhibit GPU computational capability.

From previous shown 2D separable transform property, 2D separable forward DCT can be expressed in the following form in matrix notation:

$$\mathbf{Y} = \mathbf{A} \quad \mathbf{X} \quad \mathbf{A}^T \tag{4.1}$$

Furthermore, above operation can be dissected to two separate matrix multiplications AX and  $(AX)A^{T}$ .

## 4.2 Implementation Scheme1

One of the primary concern of correctly implementation algorithm on CUDA is how to create proper mapping from parallel executing threads to data address. Definition for clarify, to avoid confusion, some notations need to be introduced. *N* by *N* block of pixels will be further referred as simply *block* or *pixel blocks*. CUDA threads grouped into execution block will be referred to as *CUDA-block* or *CUDA-thread block*.

The most direct thread mapping scheme is one to one mapping from thread to pixel



Figure 4.1 Lenna image split scheme

of image and setting size of thread blocks same as pixel blocks. This scheme leads to 2d thread blocks configuration, assigning each pixel on image a thread to compute a single DCT coefficient. Image is split into batch of blocks shown in Figure 4.1.

## 4.2.1 Device Data Memory Organization

Each multiprocessor has its own read-only constant cache that is shared by all function units and speeds up reading from the constant memory, where resides in device memory is cached and read only global memory space accessible by all threads (NVIDIA 2010). The features make constant memory suitable for storing DCT matrix which is required visible to all data and read only. DCT matrix is pre-computed on host(CPU) side beforehand then



## Figure 4.2 Device data memory organization

copied to array located in device constant memory. Memory access pattern of image and many other graphics applications often exhibits natural *spatial locality*. This implies a group of threads with high possibility to access a batch of near addresses. Texture memory is cached on chip as Figure 4.3 shows and visible to all streaming multiprocessors on same *Texture Processor Cluster (TPC)* which contains number of SMs and a single texture processing unit. Properly using this feature will provide higher effective bandwidth by reducing memory requests to off-chip DRAM (Sanders and Kandrot 2010). However the details of these texture caches have not generally been publicized, potential benefiting from one and two dimensional spatial caching has been confirmed (NVIDIA 2010). This may not be true for later CUDA device with higher compute capability, for example, on *Fermi* 



Figure 4.3 Texture Processor Cluster (TPC)

architecture device, global memory accessing is also L1 cached (NVIDIA 2011b).

Each thread loads correspond one pixel data from texture memory to shared memory. Bitwise left shift operation is used for early generation of CUDA hardware performance optimization, which had considerably slower integer arithmetic performance than floating point. When transform block size is not exponential of 2, bitwise operation will be substituted by intrinsic float multiplication function (NVIDIA 2010). To ensure the whole block is loaded, all threads are aligned at synchronization barrier. The thread computes inner product between two vectors. To perform correct inner product between  $\Phi^T$  and X, indexing strategy is adjusted as Figure 4.5 shows. Synchronization barrier is also utilized to ensure left multiplication across all thread blocks has been performed. Right multiplication between intermediate result and DCT coefficients follows similar manner mentioned above (without transpose involved). After all elements in block being updated, local result is copied back to the destination in global memory.

```
// Texture coordinates conversion from linear memory to CUDA
array bind texture
const float tex_x = (float)( (bx << BLOCK_SIZE_LOG2) + tx ) +
0.5f;
const float tex_y = (float)( (by << BLOCK_SIZE_LOG2) + ty ) +
0.5f;
//copy current image pixel to the first local shared block
CurBlockLocal1[ (ty << BLOCK_SIZE_LOG2) + tx ] = tex2D(TexSrc,
tex_x, tex_y);</pre>
```

**Figure 4.4** Code for texture coordinates conversion from linear memory to CUDA array texture



Figure 4.5 Left multiplication indexing

## 4.2.2 Scheme1 Kernel Parameter Configuration

On computing ability 1.x device, each CUDA-thread block can only contains 512 threads, which hints for purposed implementation, the square block size is limited to 16 by 16. On platform with computing ability 2.0, the limit is raised to 1024, correspondingly, 32 by 32 pixel and threads block are maximum transform block size can be achieved.



Figure 4.6 Three dimensional execution parameter configuration

## 4.3 Implementation Scheme 2

CUDA execution model limits high bandwidth threads cooperation/communication through local multi processor resources. Unlike previous scheme, transform block size is independent of CUDA thread block size. Three dimensional parameter configuration is proposed as Figure 4.6 shows. Each block works on single pixel. Hence, each thread block contains transform block size threads that perform inner product between vectors and data reduction and result collection operation. Proposed configuration scheme requires threads coopera-



Figure 4.7 Three dimensional thread hierarchy indexing

// Texture coordinates conversion from linear memory to CUDA
 array bind texture
const float tex\_x = (float)(bx)+ 0.5f;
const float tex\_y = (float)(BaseAddrY + ty) + 0.5f;

#### Figure 4.8 Code for texture coordinates conversion

tion/communication from different thread blocks and block synchronization. The solution to the two modification made is instead performing two dimensional forward transform in one kernel, left multiplication and right multiplication are separately executed in two different kernels. At the end of each kernel, result is collected then written back to global memory. Although the implementation scheme provides more flexible solution to configure kernel parameters, untuned code gives low performance with low computation arithmetic ratio.

#### 4.4 Implementation Scheme 3

The third scheme is proposed for balancing speed-up performance and configuration flexibility. In Figure 4.9, *block size* indicates size of CUDA thread blocks while *BLOCK SIZE* indicates DCT transform block size. On certain CUDA platform thread block size is fixed(to be specified 16 for compute capability 1.x, 32 for compute capability 2.x). One transform operation (matrix multiplication) can be finished by several CUDA thread blocks. In classical parallel computation context, the solution is expanding standard matrix multiplication to *block algorithm* (Dongarra 1997). Visually, block matrix multiplication example is easily comprehended. Individual elements in standard matrix multiplication is substituted by one that operates on subarrays of data. The operations on subarrays can still



## Figure 4.9 The Third Scheme

be expressed in the common way. Numerical analysis has shown that matrix multiplication and other most important computations for dense matrices is blockable (Dongarra 1997). This approach leads to taking advantage of using CUDA architecture size limited but fast local data storage space and resource such as shared memory, cache and registers.

This trick is also referenced as *tiling* (Kirk and Hwu 2010). The Figure 4.10 shows a 32 by 32 matrix divided into four 16 by 16 tiles. Kernel function computes result matrix C in multiple iterations. Fixed size thread block loads one tile of matrix A and one tile of matrix B to local shared memory, perform inner product to produce one element of C, and stores subarray result of C in register in each iteration. Temporary result of C in each thread register is accumulated from iteration to iteration. In final iteration, the element of C in register will be stored back to global memory.



Figure 4.10 Tiling scheme



Figure 4.11 Loading sequence

## 4.5 Tuning Performance and Profiling

As (Dongarra 1997)'s analysis shows, the total amount of computation is same between with or without utilizing tiling. But tiling serves as an important strategy for reducing global memory traffic which involves accessing to high latency global memory (Kirk and Hwu 2010) However, carefully tuning program to meet hardware specification and mechanism is often necessary to achieve higher computation performance.

## 4.5.1 Memory Coalescing

Different accessing patterns provide significantly performance divergence in accessing global memory on CUDA device. CUDA hardware combines (or *coalesces*) consecutive global memory locations accessing requests from the same instruction for all threads in a warp into a consolidated single access to consecutive DRAM locations (Kirk and Hwu 2010) After removing uncoalesced memory accessing and bank conflict shared memory opera-

```
const int indexY = by * block_size + ty;
// Each thread loads one element of each matrix from device memory
AS(ty, tx) = device_dctmtx[(int)((by *
block_size)%BLOCK_SIZE) + ty][a + tx];
BS(ty, tx) = tex2D(TexSrc, tex_x, tex_y);
```

```
// Synchronize to make sure the matrices are loaded
____syncthreads();
```

```
// Multiply the two matrices together;
// each thread computes one element
// of the block sub-matrix
#pragma unroll
for (int k = 0; k < block_size; ++k)
Csub += AS(ty, k) * BS(k, tx); // no bank-conflict
```

Figure 4.12 Tuned code after applying optimization techniques

tions, constant memory degraded overall performance in testing with transform block size 128, for the reason that, memory referencing in such test overloads constant memory 8kb cache. Testing also shows that image pixel loading benefits from two dimensional cached coherent referencing texture memory. Common coalesced technique does not provide sig-



Figure 4.13 Instruction throughput of different implementation

The Visual profiler contains a powerful analysis feature that provides performance analysis of the application at the context level, kernel level, session level, and device level. Since codes from different tuning session are running on same device, it is reasonable to pay more attention on previous two performance analysis(NVIDIA 2011a). CUDA profiler is used to analysis bottleneck in code and measure program performance.



Figure 4.14 Instruction throughput of different implementation

## 4.5.2 Context Level Analysis

An analysis of GPU utilization efficiency for the CUDA context is carried out at this level. Figure 4.15 is output of CUDA profiler that shows summary table of unoptimized program. Data under grid entry *gst uncoalesced* indicts un-coalesced global memory storage/load operation. After removing un-coalesced global memory accessing, profiler gives output in Figure 4.16. Summary table for kernel in Figure 4.18, *gld efficiency* and *gst efficiency* also serves as an indicator of whether loading and storing global memory operation is coalesced or not.

	∍r type	branch Type:SM Run:2	instructions Type:SM Run:2	warp serialize Type:SM Run:2	cta launched Type:TPC Run:3	gst uncoalesced Type:TPC Run:3	gst coalesced Type:TPC Run:4	tex cache hit Type:TPC Run:5	tex cache miss Type:TPC Run:5
1									
2									
з		229376	3923986	20065329	1024	524288	0	131072	131072
4		229376	3923985	20091681	1024	524288	0	131072	131072
5		229376	3923985	20106668	1024	524288	0	131072	131072

Figure 4.15 Uncoalesced memory accessing profiler output

If two addresses of a memory request fall in the same memory bank, there is a bank conflict and the access has to be serialized. The counter *warp serialize* gives the number of thread warps that serialize on address conflicts to either shared or constant memory. In our application, bank conflict brought by inappropriate shared memory accessing and constant memory usage both contribute to warp serialize. Figure 4.17 gives result after recondition shared memory accessing pattern and substituting constant memory usage to general global memory usage.

## 4.5.3 Kernel Level Analysis

Greater detail regarding to particular kernel can be found below. For compute capability below 2.0 *Memory Throughput Analysis* and *Instruction Throughput Analysis* are grayed out. Only *Occupancy Analysis* is available.

Occupancy analysis gives theoretical kernel occupancy and identifies the limiting factor for occupancy. It is calculated using the static parameters (multi-run) of the kernel like launch configuration, shared memory, and register usage. By observing profiler occupancy analysis output, it can be discovered that both un-coalesced memory accessing and bank conflict can result in more local register usage. From previous discussion, It is advised register usage per kernel should not exceed 10 per thread in case of local register usage becoming bottle neck. This also shows that indirect performance degradation of uncoalesed

	er type	branch Type:SM Run:2	instructions Type:SM Run:2	warp serialize Type:SM Run:2	cta launched Type:TPC Run:3	gst uncoalesced Type:TPC Run:3	gst coalesced Type:TPC Run:4	tex cache hit Type:TPC Run:5	tex cache miss Type:TPC Run:5
1									
2	2								
3	5	229376	3923986	20065329	1024	524288	0	131072	131072
4		229376	3923985	20091681	1024	524288	0	131072	131072
5	i .	229376	3923985	20106668	1024	524288	0	131072	131072

Figure 4.16 Coalesced memory accessing profiler output

r type	branch Type:SM Run:2	instructions Type:SM Run:2	cta launched Type:TPC Run:3	gld coalesced Type:TPC Run:3	gst uncoalesced Type:TPC Run:3	gst coalesced Type:TPC Run:4	tex cache hit Type:TPC Run:5	tex cache miss Type:TPC Run:5
	229376	4243489	1024	131072	0	65536	131072	131072
	229376	4243489	1024	131072	0	65536	131072	131072
	229376	4243485	1024	131072	0	65536	131072	131072

Figure 4.17 Profiler output after removing warp serialize

Method	#Calls	GPU time (us) 🔷	%GPU time	gld efficiency	gst efficiency	instruction throughput
1 CUDAkernel1IDCT_T	10	132464	43.45	1	0	0.883724
2 CUDAkernel1IDCT	10	130247	42.72	1	1	0.89877

Figure 4.18 Summary table for kernel profiler output

- Kernel details: Grid size: [32 32 1], Block size: [16 16 1]
- Register Ratio: 0.6875 (5632 / 8192) [11 registers per thread]
- Shared Memory Ratio: 0.3125 (5120 / 16384) [2080 bytes per Block]
- Active Blocks per SM: 2 (Maximum Active Blocks per SM: 8)
- Active threads per SM: 512 (Maximum Active threads per SM: 768)
- Potential Occupancy: 0.666667 (16 / 24)
- Achieved occupancy: 0.6666667 (on 1 SMs)

Figure 4.19 Occupancy analysis for kernel with lower efficiency



Figure 4.20 Occupancy analysis for kernel with higher efficiency

global memory. Figure 4.20 is output of high occupancy achieved after tuning.

## 4.5.4 Testing Result Analysis

Testing is performed on NVIDIA early CUDA support GPU with compute capability 1.1 and only one multi-processor on device. In other words, testing device has only eight parallel processing units-streaming processors or cores. Testing result shows potential to achieve more speed enhancement by simply switching to higher compute capability or devices with more cores. The high end Tesla accelerators have 30 multiprocessors, for a total of 240 cores; a high end Fermi has 16 multiprocessors. for 512 cores. It is reasonable to extrapolate performance enhancement to 100 times speed-up over current CPU version.

Quantization is not performed between forward and inverse transform. The primary source of error introduced is numerical error. For all integer type image data, all floating point numerical errors are round-off or up after inverse transform. Consequently, reconstructed image is identical to input image, *mean square error* measurement was not performed.

## 4.6 Eigen Decomposition Implementation

In previous chapter, it has been shown that to completely decorrelate signal, in our application for every  $N \times N$  real non-singular symmetric matrix, correlation matrix can be rewritten in  $A = GDG^T$  form. This hints transform matrix can be found through performing *Singular Value Decomposition (SVD)* which equals to eigen decomposition when apply to signal covariance matrix. So the problem transforms to how to obtain eigenvalues and eigenvectors of covariance matrix. Jacobi-type algorithms are often considered too slow

for practical usage. However, due to their accuracy properties and inherent amenability for parallelisation, it becomes a potential candidate of parallel algorithm.

#### 4.6.1 Jacobi

Given a symmetric matrix  $G = G^0$ , Jacobi's method produces a sequence of *orthogonally* similar matrices, which eventually converge to a diagonal matrix with the eigenvalues on the diagonal.  $G_i$  denotes orthogonally similar matrix of original matrix after i-th iteration.  $G_{i+1}$  is obtained from  $G_i$  through plane rotation  $G_{i+1} = V_i^T A_i V_i$ , where  $V_i$  is an orthogonal matrix called *Jacobi rotation* (Demmel 1997).

$$G^{(k)} = G^{(k-1)}V_k^T, [V^T]^{(k)} = [V^T]^k = [V^T]^{k-1}V_k^T, k = 1, 2, \dots, s.$$
(4.2)

where k denotes iteration steps. If  $G^{(s)}$  has sufficiently orthogonal columns,  $[V^T]^s$  serves as a quite good approximation of  $V^T$ . Now, the matrix V of right singular vectors is easily obtainable from  $V^T$ . The J-orthogonal matrices  $V_k^T$  in equation above become simply as plane rotations (trigonometric). Such a rotation orthogonalizes a pair of columns of G. The rotators can be represented as

$$V^{T} = \begin{bmatrix} \cos\phi & \sin\phi \\ -\sin\phi & \cos\phi \end{bmatrix} = \begin{bmatrix} 1 & \tan\phi \\ \tan\phi & 1 \end{bmatrix} \begin{bmatrix} \cos\phi & 0 \\ 0 & \cos\phi \end{bmatrix}$$
(4.3)

If two columns within one pair are relatively orthogonal (up to the machine epsilon),

$$|a_{ij}| < \varepsilon \sqrt{a_{ii}a_{jj}} \tag{4.4}$$

$$g_i^k = (g_i^{k-1} - tan\phi g_j^{k-1})cos\phi$$

$$(4.5)$$

$$g_j^k = (g_j^{k-1} + tan\phi g_i^{k-1})cos\phi$$

$$(4.6)$$

The columns of  $V^T$  can be updated in the same fashion. For N by N square symmetrical real matrix:

$$R_{x} = \begin{bmatrix} a_{11} & \dots & a_{1N} \\ \vdots & a_{ij} & \vdots \\ a_{N1} & \dots & a_{NN} \end{bmatrix}$$
(4.7)

For each index pairs (i, j)(i < j), after applying certain algorithm to block

$$\begin{bmatrix} a_{ii} & a_{ij} \\ a_{ij} & a_{jj} \end{bmatrix}$$
(4.8)

Block above can be decomposed in such form:

$$\begin{bmatrix} a_{ii} & a_{ij} \\ a_{ij} & a_{jj} \end{bmatrix} = V(i,j) \begin{bmatrix} \sigma_i & 0 \\ 0 & \sigma_j \end{bmatrix} V^T(i,j)$$
(4.9)

When plane rotation operates on both sides of orthogonal similar matrix sequence, the algorithm is also named as two-sided Jacobi Algorithm. To update the ith and jth rows of

 $R_x$ , apply orthogonal transform V(i, j)

$$V^{T}(i,j) \begin{bmatrix} a_{i,1:n} \\ a_{j,1:n} \end{bmatrix}$$
(4.10)

Then update the ith and jth columns

$$\begin{bmatrix} a_{1:n,i} & a_{1:n,j} \end{bmatrix} V(i,j) \tag{4.11}$$

To N by N matrix, up to N/2 pairs of rows/columns updating share no common indices can be performed in parallel. After sweeping over all possible non-contradiction row/column pairs, when convergence condition meets, decomposition finishes. Eigenvectors can be found through accumulating all orthogonal matrices V(i, j) or V(j, i) in an identity matrix.

#### 4.6.2 Results and Further Discussion

Basically, CUDA implementation follows procedures introduced above. Row/Col update scheme: Load *ith* and *jth* row/col pair from global(DRAM) to each block shared memory. Then perform arithmetic operation to update row pairs and column pairs of input matrix.

Input matrix are symmetric whose elements are integer storing in float point type integer ranging from 0 to 255. If we define looping over all non-overlap pairs one sweep (n-1 row/column updating iteration, where denotes input matrix size), n/2+1 sweeps are used to evaluate running time. Measurement takes time consumed by memory allocation/-copy, communication between host(CPU) and device(GPU), data generation/deletion into account.

MatrixSize		Cyclic(s)	Parallel(s)
2048	test 1	2291.293	48.637
	test 2	2215.404	51.245
	test 3	2237.124	49.387
1024	test 1	160.293	12.165
	test 2	163.651	12.135
	test 3	161.329	12.105
512	test 1	10.651	3.133
	test 2	10.679	3.125
	test 3	10.793	3.128

**Table 4.1:** Execution time for cyclic and naive parallel implementation

## Cyclic Jacobi

*Cyclic Jacobi algorithm* or *generalized Jacobi algorithm* is favourable implementation scheme on traditional CPU architecture for taking advantage of appropriately using CPU cache line.

## Parallel Jacobi

## 4.6.3 Divide and Conquer

*diagonalization* and *triangularization* are the two different strategies behind practically all modern eigen-routines. Jacobi iteration method can be taken as an example of diagonalization method. In this section, divide and conquer is introduced as the example of implementation of triangularization. It is reported that this method is reported as the fastest now available for obtaining all eigenvalues and eigenvectors of a tridiagonal matrix whose dimension is "larger" (Demmel 1997).

MatrixSize	CPUTime(s)	GPUTime(s)
1024	3.11	1.97
2048	21.46	5.74
3072	73.20	16.97
4032	154.47	32.19
5184	330.11	70.27
6016	501.16	105.67

Table 4.2: Execution time for LAPACK (CPU) and MAGMA (GPU)

## 4.6.4 MAGMA Testing Result

*MAGMA* is an open source, high performance heterogeneous platform linear algebra operation library. Combining widely used standard linear algebra library *LAPACK* on CPU and wrappred *CUBLAS* function on CUDA GPU. This routine is available as both in LAPACK and MAMGA eigen solvers. Table 4.2 gives performance comparison on Fermi platform with compute capability 2.0.

#### **CHAPTER 5**

## **REAL TIME DISPLAY APPLICATION FRAME**

## 5.1 Program Flow

Graphics interoperability of CUDA architecture allows using GPU for both rendering and general purpose computation in the same application even when the images we want to render rely on the results of kernel computation. NVIDIA SDK sample is used as framework and adapted for our application. As a window system independent OpenGL Toolkit, *GLUT* is utilized for Linux compatibility. On screen image display is created through *Pixel Buffer Object (PBO)* on a pixel-by-pixel basis. The schematic summarizes how OpenGL GLUT system interacts with PBO (Farber 2010). The routine display() calls the The CUDA C kernel that generates or modifies data in the OpenGL buffer, then data is passed to the OpenGL driver to render new image to the screen as in Figure. Live video is imported from webcam via TCP connection.

## 5.2 FFmpeg

*FFmpeg* is a complete, cross-platform open source project that produces both libraries and programs which can record, convert and stream various format digital audio and video (FFm 2010). In our application, FFmpeg retrieves output captured by web camera with ability to output uncompressed raw video or other video device and file then convert it to 24 bit RGB format. After conversion, it feeds/supplys TCP socket with streaming data, as illustrated in Figure. No actual TCP device is involved for transmitting data between applications (FFmpeg and application). A loop back network is set-up on *localhost* (Localhost is



Figure 5.1 OpenGL Display Flow

the name of the loop back device used by application that need to communicate with each other one the same computer) and acts as an Ethernet interface. Using FFmpeg and TCP connection is a succinct solution to handle video input with less programming involved.

TCP server in application is listening specified port and accepts connection attempts from client. After connection established, it reads streaming data from TCP socket into CPU managed data array when client sends data and writes it to buffer on the GPU for kernel utilization. CUDA kernel discussed in previous chapter is fit into the framework.



Figure 5.2 Display Routine Flow

## 5.3 Test Result

Figure 5.4 and 5.5 shows test result of application running with viewport size 640 by 480 and transform block size 256. Although current application runs at high compute complexity with great performance, an possible alternative solution to enhance performance further could be using FFmpeg libraries within application directly to get raw input video from camera input or get around TCP connection on localhost.



Figure 5.3 Video Data Flow



Figure 5.4 Image grabbed from raw video input



Figure 5.5 Image grabbed from video after one dimensional DCT transform

#### **CHAPTER 6**

## **CONCLUSION AND FUTURE WORK**

## 6.1 Conclusion

In this thesis, GPU-based DCT and KLT implementation has been presented. The workflow of developing program on GPU and using profiling tool to tune and measure performance is showed. A real time video input, processing and display flow application frame is also developed. Multiple purpose computational kernel could be fit into the frame for potential GPU application. The practising shows fixed transform on image signal greatly benefits from CUDA-specific features. Moreover, proper implementation can also lead to significantly performance improvement on serialized iterative algorithms over same algorithm implementation on Central Processing Unit.

#### 6.2 Future Work

To better excavate computational power of GPU, it is necessary to understand GPU architecture, tool-chain, and general concept of parallel computing. Capability of CUDA enable device may from product to product. Although CUDA architecture can be transparent through different generation products, to maximize performance, applying different configuration parameter to different CUDA product may be a reasonable option subject to scrutinize. Right now, most developers resort to a "trial and error" approach of tuning code to achieve better performance. CUDA API can be used to retrieve device information which provide possibility to auto-tune performance according to different device and device content. Automatic performance tuning has been used for years to optimize CPU code. Test platform used in thesis is low end early version of CUDA capable GPU. Such serial of product suffers from weakness on structure lacking of global memory cache, which could lead relatively high latency penalty for accessing global memory. The current architecture and compiler design also do not allow combing usage of register and shared memory. From the previous chapters, it is common to see limit number of registers often contributes to hindering higher capacity being achieved. But the trend can be seen that, in Fermi architecture, global L1 cache has already been added to enhance DRAM accessing performance. In other word, techniques like memory coalescing or not may not affect general performance significantly. Compiler also plays a major role in program optimizing. After analysing PTX code, that instruction mix and register level code optimization technique can be used to enhance performance furthermore (which will be added later).

Finally, "Butterfly" like or other fast algorithm actually used in real world can be evaluated for enhancing performance to the next level.

## **APPENDIX A**

# **TESTING RESULT FOR IMPLEMENTATION SCHEME 3**



Figure A.1 Execution Time Comparison with picture size 512 x 512



Figure A.2 GPU vs CPU speed up with picture size 512 x 512



Figure A.3 Execution Time Comparison with picture size 2048 x 2048



Figure A.4 GPU vs CPU speed up with picture size 2048 x 2048

## **APPENDIX B**

## **GPU AND CPU SPECIFICATION**

## GPU Specification

Model Name: GeForce 9300GS

Frequency: 1450.0MHz

Memory: 127.3 MB

Compute Capability: 1.1

Machine Specification:

Processor: 0

Model Name: Intel(R) Core(TM)2 Duo T5670

Frequency: 1800.000MHz

cache size: 2048 KB

Processor: 1

Model Name: Intel(R) Core(TM)2 Duo T5670

Frequency: 800.000MHz

cache size : 2048 KB

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