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## **ABSTRACT**

### **AN EXPERIMENTAL STUDY ON NETWORK INTRUSION DETECTION SYSTEMS**

**by  
Peng FU**

A signature database is the key component of an elaborate intrusion detection system. The efficiency of signature generation for an intrusion detection system is a crucial requirement because of the rapid appearance of new attacks on the World Wide Web. However, in the commercial applications, signature generation is still a manual process, which requires professional skills and heavy human effort. Knowledge Discovery and Data Mining methods may be a solution to this problem. Data Mining and Machine Learning algorithms can be applied to the network traffic databases, in order to automatically generate signatures.

The purpose of this thesis and the work related to it is to construct a feasible architecture for building a database of network traffic data. This database can then be used to generate signatures automatically. This goal is achieved using network traffic data captured on the data communication network at the New Jersey Institute of Technology(NJIT).

**AN EXPERIMENTAL STUDY ON NETWORK INTRUSION  
DETECTION SYSTEMS**

**by  
Peng FU**

**A Thesis  
Submitted to the Faculty of  
New Jersey Institute of Technology  
in Partial Fulfillment of the Requirements for the Degree of  
Master of Science**

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**August 2003**

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APPROVAL PAGE

AN EXPERIMENTAL STUDY ON NETWORK INTRUSION  
DETECTION SYSTEMS

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*To My Beloved Family*

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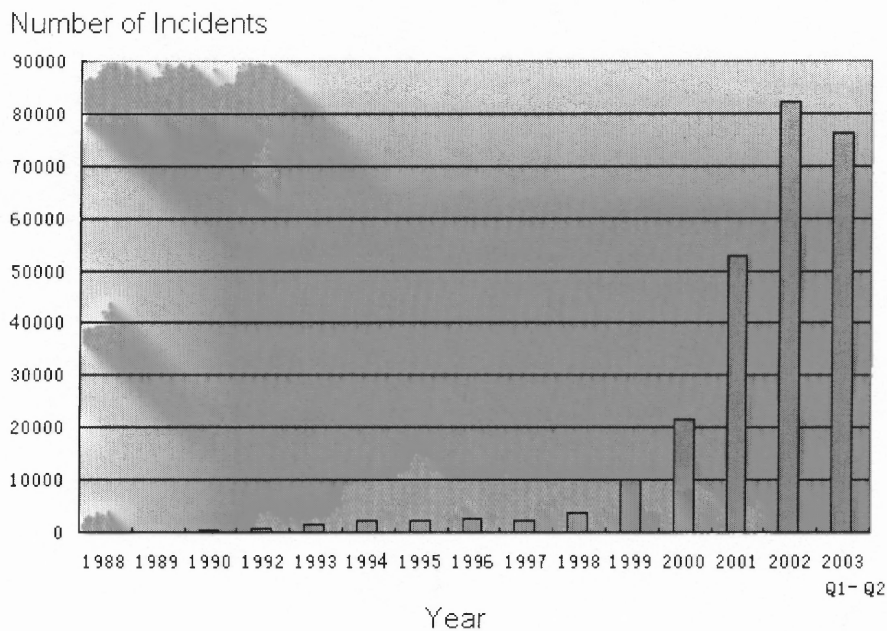
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# CHAPTER 1

## INTRODUCTION

The emergence of the Internet has profoundly and permanently changed the shape of the world. One of the greatest advantages Internet brings is its accessibility, which brings vast and diverse information to users with just a mouse click. With this greatest advantage comes its inherent opposite side, the greatest threat. While it facilitates the usage by all the legitimate users, accessibility also provides convenience for illegal users to probe into networked systems, steal sensitive information or even disable the entire World Wide Web for days. This could happen at any moment to any computer on the Internet. When considering this problem, the biggest issues are when do the intrusions happen, where do they come from and who commits them. These problems are well known to any security professional. Since the early development of data communication protocols developers considered functionalities and efficiency much more than they did network security issues, almost all established and widely used protocols “genetically” contain insecure weakness. This makes solving the above problems much more difficult. It will be a long run for the whole world to have a safe World Wide Web.

With the dramatic growth of the Internet, these kinds of intrusions happen more and more frequently. According to CERT [1], in the Year of 1988 there were only 6 security incidents reported; in the Year of 2002, there were 82,094 incidents; and as of August 2003, there have been 76,404 (Figure 1.1). Since 1988, there have been a total of 258,867 incidents that may involve one site or even hundreds of thousands of sites. Furthermore, with the evolution of technology, the malicious computing techniques and tools have been constantly progressing. Subsequently, intrusions are more complicated and it requires more and more professional skills to find them.



**Figure 1.1** Trends of reported security incidents, data obtained from CERT[1].

This severe situation leaves us in great need of countermeasures for network intrusions. First of all, security is a policy issue that has to be always kept in mind by the people who administrate data communication networks. Without the proper regulations and management on security related matters, even the finest technologies can become useless investment. Security policies for data communication networks can also reinforce the technical strength in many ways. For example, publicly emphasizing or even exaggerating that user activity is being closely monitored by administrator may deter an intrusion attempt before it happens.

However, policy management and psychological strategies are not enough to prevent the system from being invaded by experienced attackers. Technically, anti-network intrusion tools that are capable of helping an organization or network secure its information are still needed. These tools could be used to detect an intruder, identify and block the intruders, support investigations to find out how the intruder

got in, stop the exploit that the intruder used from being used by future intruders, and provide forensic evidence to carry out legal actions.

Intrusion Detection System (IDS) is the right tool to meet all these requirements. It utilizes technologies coming from various fields of computer science to discriminate inappropriate, incorrect, or anomalous activities. Detection is not the only function an IDS has. Today's advanced IDSs have the ability to automatically and aggressively counteract intrusions.

## CHAPTER 2

### INTRUSION DETECTION SYSTEMS

The concept of Intrusion Detection was created by James Anderson in 1980 [2] [3]. Based on the difference between the emphases and methodologies, intrusion detection falls into two categories: anomaly detection and misuse detection.

#### 2.1 Detection Methods

##### 2.1.1 Anomaly Detection

In anomaly detection, observed behaviors are compared against expected normal usage profiles that are developed for individual users, groups, applications, or system resource usage. Activities that are not defined as “normal behavior” are considered anomalies[4]. Instead of defining what is “bad” for the system, anomaly detection defines what is “good”, which keeps the control rules in a reasonable size. In practice, thresholds are set to defining acceptable behavior (for example, the acceptable failed logins during a certain period of time), which provides a clear line between “normal” and “anomaly”. In order to cover all the major aspects of a system, normal activities for individual user and user groups have to be properly and independently profiled. This process will include analyzing and specifying allowed usage for system resources and executables. All the users and groups are expected to comply with the system resource assignments and privileges on executables. Activities otherwise will be treated as anomalies and subject to interruption.

##### 2.1.2 Misuse Detection

In spite of comparing to historically “normal” usage and acting on the entire unknown, misuse detection essentially locates “bad behaviors” based on the summarized and abstracted description of known attack activities [5]. Misuse



detection is signature-based detection. A signature is a pattern that represents possible attacks, which can be found in network traffic. After careful analysis of raw network traffic data, rules are generated to identify a single event that represents a threat to system security, or a series of events that represent a prolonged attack scenario. Obviously, misuse detection requires comprehensive knowledge of a system, especially its weakness and vulnerabilities. Misuse detection could be implemented by developing expert systems, reasoning models, state transition analysis systems, or neural networks. Applying various Knowledge Discovery or Data Mining algorithms to misuse detection model may be a new promising way of making the detection fast, accurate and efficient [10].

## 2.2 Intrusion Detection Systems

Both anomaly detection and misuse detection can be adopted into a single architecture to form an intrusion detection system (IDS). Because of the steadily increasing number of reported computer security incidents, intrusion detection systems have gained a fast-growing market. For example, Cisco[23], ISS[24], AXENT[25] and NFR[26] all market commercial systems for intrusion detection.

Intrusion Detection System has the following functions [6]:

- Monitoring and analyzing user and system activity
- Auditing of system configurations and vulnerabilities
- Assessing the integrity of critical system and data files
- Recognizing activity patterns reflecting known attacks
- Statistically analyzing activity for abnormal patterns
- Facilitating operating-system audit-trail management, with recognition of user activity reflecting policy violations

A general model for intrusion detection system contains the following discrete components [8]:

- Event Generators. They collect events from outside the intrusion detection system protected environment and present it to other components or store it into event database both in the format of “generalized intrusion detection objects”.
- Event Analyzers. They receive events from the Event Generators, analyze the events statistically or compare the events against signatures or rules. Then they provide the result to other components, or store it to even database, both in the format of “generalized intrusion detection objects”.
- Event Databases. They are the event repositories for all the components of the system.
- Response Units. They take generalized intrusion detection objects gathered by other components and carry out actions against suspicious events based on the result presented by the Event Analyzers.

All four kinds of units are logical entities. A component might be implemented as a single process on one computer or a set of distributed processes on different computers.

Based on the needs that different IDSs may exchange data, there are two on-going developments for IDS standards. One standard is “The Intrusion Detection Exchange Protocol (IDXP)”, which is being developed by Intrusion Detection Working Group under the Internet Engineering Task Force. (IETF) [7]. The other standard is the “Common Intrusion Detection Framework (CIDF)” [8]. As of the date of writing of this thesis, none of the two above-mentioned frameworks is widely accepted nor accepted as a *de facto* standard.

## CHAPTER 3

### ESTABLISHMENT OF NETWORK TRAFFIC DATA WAREHOUSE

#### 3.1 The Limitations of Current IDSs

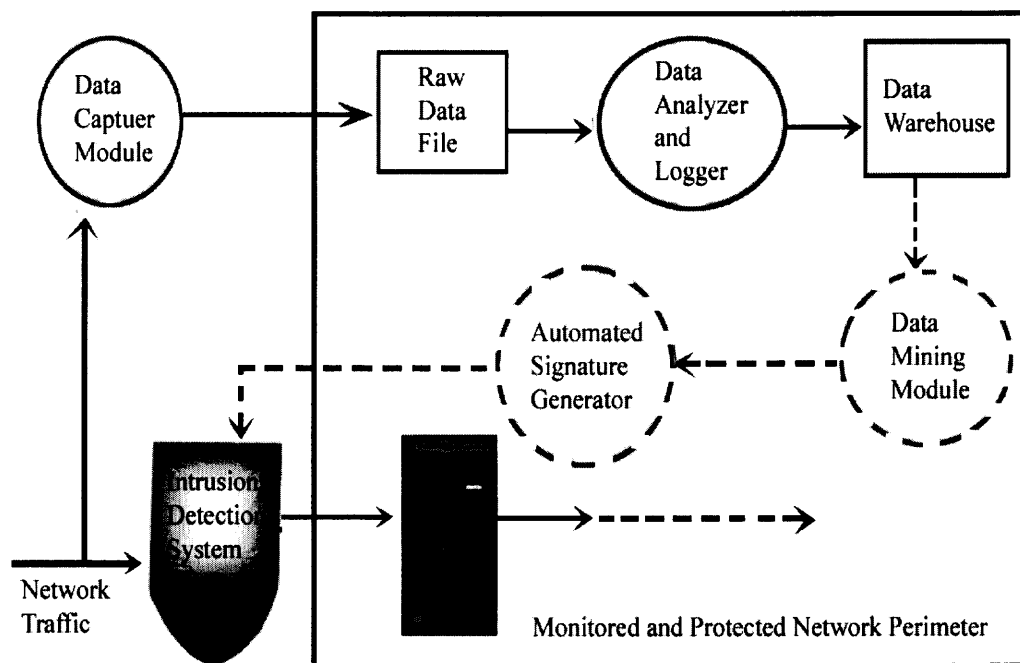
The most widely used and commercially available IDSs are signature-based systems. They often come with a large set of signatures that have been identified as unique to a particular vulnerability or exploit. A signature-based system matches features observed from the network traffic stream to the signatures hand-made by experts and stored in its signature database. When a signature for an attack matches observed traffic, an alert is generated, or the event is otherwise recorded [5].

Signature-based methods have some inherent limitations. The most significant one is that a signature-based method can only detect attacks whose features have existed in the signature database. Therefore, just like anti-virus software, signature based IDSs cannot detect unknown attacks because there is no available signature. But the problem is even worse, as of September 2002, there are only 2,000 IDS signatures that have been defined, while there are more than 30,000 for anti-virus [9]. Most IDS vendors provide regular signature updates in an attempt to keep pace with the rapid appearance of new vulnerabilities and exploits. However, building up the signature database is a tedious manual work, which requires comprehensive knowledge and professional skills. Moreover it is very time consuming. This slow manual process can neither keep pace with the advancement of new attack techniques, nor help solve the severe problems that IDSs are currently having, such as failure to recognize large numbers of attack variants, high probability of generating false positive alerts and/or false negative reports and difficulty in handling overwhelming network traffic data loads.

Based on all these factors, a feasible automated or at least semi-automated mechanisms is greatly needed to respond quickly enough to new attacks and produce reliable signatures for intrusion detection system.

### 3.2 Data Mining and Signature Generation Framework

Data mining-based methods could be very promising candidates for automated signature generation [10]. The major advantage of these methods is that they utilize the generalization ability of data mining algorithms [11]. In order to detect new and unknown attacks, a data mining-based intrusion detection system employs machine learning and data mining algorithms on a large set of system audit data to build up signature databases.



**Figure 3.1** An Intrusion Detection Framework with Automated Data Analyzer and Signature Generator.

Based on the needs and the above analysis, an intrusion detection framework is proposed in this thesis as shown in Figure 3.1, the Data Capture Module, Data Analyzer and Logger Module are implemented and Data Warehouse is established

in this thesis. The Data Mining module and Automated Signature Generator will be implemented in further research works.

In Figure 3.1, the IDS is placed on the external side of a monitored and protected network, along with a Data Capture Module. The Data Capture Module captures the network traffic data and writes the data into a raw data file in the format of the original binary stream. Then the Data Analyzer will use network protocol based analysis to extract information in every data field of the packets encapsulated in the binary stream. And the Data Logger will then log the resulted data items into corresponding positions to build up a Data Warehouse. The Data Mining Module takes over here. It queries the Data Warehouse and applies appropriate Data Mining algorithms. The output is then sent to the Automated Signature Generator to produce signatures, which will ultimately enhance the performance of the Intrusion Detection System. Ideally, the whole process needs no human interference. However, in the preliminary implementations of the system, some human interaction is still necessary.

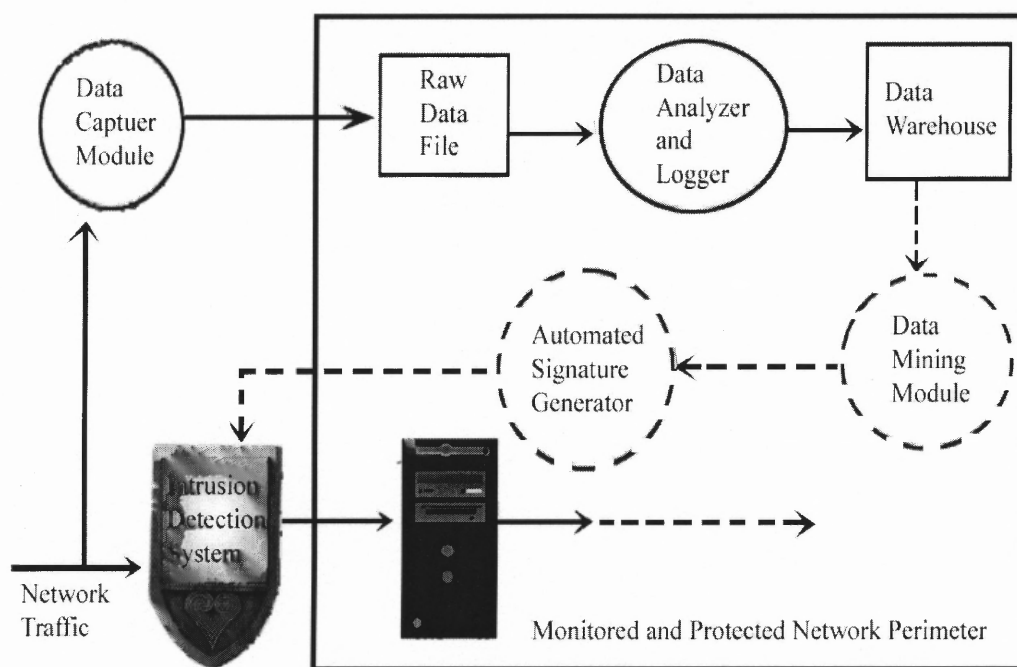
### 3.3 Construction of the Data Warehouse

Under the Defense Advanced Research Project Agency (DARPA [12]) and Air Force Research Laboratory (AFRL [13]) sponsorship, the Information System Technology Group at MIT Lincoln Laboratory [14] has been evaluating Intrusion Detection Systems. Their offline data sets that were used to carry out 1998 and 1999 evaluation have been widely accepted by researchers in the field of intrusion detection. The 1998 data sets are used to build up the Data Warehouse in this thesis.

The Data Warehouse consists of sets of network traffic databases, namely, training data sets for the training of Data Mining algorithms and Machine Learning mechanisms; and real data sets that the trained algorithms will work on. In these network traffic databases, traffic stream is dissected into fields defined by corre-

### 3.2 Data Mining and Signature Generation Framework

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**Figure 3.1** An Intrusion Detection Framework with Automated Data Analyzer and Signature Generator.

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*libpcap* [15] and *WinPCAP* [18] Programming on UNIX/LINUX systems and Win32 systems, respectively. In the work of this thesis, MySQL 4.0 [19] is used as a cross-platform database server and MySQL Control Center 0.9.2 beta is used as client [19]. The site-specific configuration file of MySQL server is provided in Appendix B.

The screenshot shows the MySQL Control Center interface. On the left, a tree view displays the database structure for 'pengfu@localhost:3306', including 'Databases' (archive, darpa98training, mysql, realdata, test) and 'Server Administration' (User Administration). The 'darpa98training' database is selected, and its 'Tables' folder is expanded. The main window displays a table with the following data:

Table	Records	Size (Bytes)	Created	Type	Coll. Char.
data	37712	76780436	2003-07-08 17:01:16	MyISAM	
detail	2	40	2003-07-08 17:01:16	MyISAM	
encoding	3	60	2003-07-08 17:01:16	MyISAM	
event	37916	796236	2003-07-08 17:01:16	MyISAM	
icmphdr	36944	628048	2003-07-08 17:01:16	MyISAM	
iphdr	37916	1213312	2003-07-08 17:01:16	MyISAM	
opt	204	4896	2003-07-08 17:01:16	MyISAM	
reference	35	908	2003-07-08 17:01:16	MyISAM	
reference_system	5	100	2003-07-08 17:01:16	MyISAM	
schema	1	13	2003-07-08 17:01:16	MyISAM	
sensor	1	64	2003-07-08 17:01:16	MyISAM	
sig_class	8	224	2003-07-08 17:01:16	MyISAM	
sig_reference	36	468	2003-07-08 17:01:16	MyISAM	
signature	30	1540	2003-07-08 17:01:16	MyISAM	
tcphdr	961	28830	2003-07-08 17:01:16	MyISAM	
udphdr	0	0	2003-07-08 17:01:16	MyISAM	

At the bottom, the message log shows the following entries:

```

[pingfu@localhost:3306] Querying MySQL Server for Table information in database: mysql
[pingfu@localhost:3306] Querying MySQL Server for Table information in database: realdata
[pingfu@localhost:3306] Querying MySQL Server for Table information in database: snort
[pingfu@localhost:3306] Querying MySQL Server for Table information in database: darpa98training
Messages SQL Debug

```

**Figure 3.2** Tables for DARPA 98 Training Database, showing in MySQL Control Center.

Part of the database contents is shown in Figure 3.2 and Figure 3.3 after the establishment of the databases.

MySQL Control Center 0.9.2 beta [penglu@localhost:3306] Query SQL

Console Options HotKeys Window Help

File Edit View Query Options HotKeys

SELECT \*  
FROM icmphdr

Query 1

sid	cid	icmp_type	icmp_code	icmp_csum	icmp_id	icmp_seq
35022	1	35393	0	0	0	[NULL]
35023	1	35394	0	0	0	[NULL]
35024	1	35395	0	0	0	[NULL]
35025	1	35396	0	0	0	[NULL]
35026	1	35397	0	0	0	[NULL]
35027	1	35398	0	0	0	[NULL]
35028	1	35399	0	0	0	[NULL]
35029	1	35400	0	0	0	[NULL]
35030	1	35401	0	0	0	[NULL]
35031	1	35402	0	0	0	[NULL]
35032	1	35403	0	0	0	[NULL]
35033	1	35404	0	0	0	[NULL]
35034	1	35405	0	0	0	[NULL]
35035	1	35406	0	0	0	[NULL]
35036	1	35407	0	0	0	[NULL]
35037	1	35408	0	0	0	[NULL]
35038	1	35409	0	0	0	[NULL]
35039	1	35410	0	0	0	[NULL]
35040	1	35411	0	0	0	[NULL]
35041	1	35412	0	0	0	[NULL]

Result 1

36944 rows in set (1.14) sec

Messages History Explain

Executing Query Read Only

**Figure 3.3** Contents of ICMP table in DARPA 98 Training Database, showing in MySQL Control Center.

### 3.4 Implementation on NJIT's Campus Network

In order to test the previously established procedure, all the involved modules are placed on NJIT network to work with real network traffic data. This implementation



Time	Security Type	Severity	Direction	Protocol	Dst_Host	Src_IP	Count
05/05/2003 03:09:17	Denial of Service	Major	Incoming	TCP	210.187.13.70	128.235.34.132	4
05/05/2003 03:09:18	Denial of Service	Major	Incoming	TCP	210.187.13.70	128.235.34.132	2
05/05/2003 03:09:27	Denial of Service	Major	Incoming	TCP	210.187.13.70	128.235.34.132	2
05/05/2003 03:09:38	Denial of Service	Major	Incoming	TCP	210.187.13.70	128.235.34.132	2
05/05/2003 03:10:00	Denial of Service	Major	Incoming	TCP	210.187.13.70	128.235.34.132	2
05/05/2003 03:10:52	Denial of Service	Major	Incoming	TCP	210.187.13.70	128.235.34.132	2
05/05/2003 13:12:43	Denial of Service	Major	Incoming	TCP	218.244.107.200	128.235.34.132	2
05/05/2003 13:12:51	Denial of Service	Major	Incoming	TCP	218.244.107.200	128.235.34.132	2
05/05/2003 13:14:19	Denial of Service	Major	Incoming	TCP	218.244.107.200	128.235.34.132	2
05/05/2003 13:15:53	Denial of Service	Major	Incoming	TCP	218.244.107.200	128.235.34.132	2
05/06/2003 01:06:05	Denial of Service	Major	Incoming	TCP	128.248.170.138	128.235.34.132	2
05/06/2003 01:06:10	Denial of Service	Major	Incoming	TCP	128.248.170.138	128.235.34.132	2
05/06/2003 01:06:15	Denial of Service	Major	Incoming	TCP	128.248.170.138	128.235.34.132	2
05/06/2003 01:06:31	Denial of Service	Major	Incoming	TCP	128.248.170.138	128.235.34.132	2
05/06/2003 01:06:52	Denial of Service	Major	Incoming	TCP	128.248.170.138	128.235.34.132	2
05/06/2003 01:07:45	Denial of Service	Major	Incoming	TCP	128.248.170.138	128.235.34.132	2
05/06/2003 01:09:13	Denial of Service	Major	Incoming	TCP	128.248.170.138	128.235.34.132	2
05/06/2003 03:13:46	Denial of Service	Major	Incoming	TCP	211.156.96.11	128.235.34.132	2
05/06/2003 03:13:47	Denial of Service	Major	Incoming	TCP	211.156.96.11	128.235.34.132	4
05/06/2003 03:14:02	Denial of Service	Major	Incoming	TCP	211.156.96.11	128.235.34.132	2
05/06/2003 03:14:12	Denial of Service	Major	Incoming	TCP	211.156.96.11	128.235.34.132	2
05/06/2003 03:14:37	Denial of Service	Major	Incoming	TCP	211.156.96.11	128.235.34.132	2
05/06/2003 03:16:59	Denial of Service	Major	Incoming	TCP	211.156.96.11	128.235.34.132	2
05/06/2003 14:34:21	Denial of Service	Major	Incoming	TCP	217.209.63.102	128.235.34.132	4
05/06/2003 14:34:23	Denial of Service	Major	Incoming	TCP	217.209.63.102	128.235.34.132	2
05/06/2003 14:34:29	Denial of Service	Major	Incoming	TCP	217.209.63.102	128.235.34.132	2
05/06/2003 14:34:41	Denial of Service	Major	Incoming	TCP	217.209.63.102	128.235.34.132	2
05/06/2003 14:35:05	Denial of Service	Major	Incoming	TCP	217.209.63.102	128.235.34.132	2

**Figure 3.4** Some of the captured network traffic data that are labeled as DoS attacks.

is conducted to comply with the framework proposed in Figure 3.1, with only one exception that the data capture module is placed inside the NJIT campus network to capture traffic data going through a certain domain, instead of the whole campus network.

During capturing, the network traffic is also monitored by a third-party software to calibrate the data labeling. Figure 3.4 shows part of the labeling result.

After the capturing and labeling, the traffic stream is reconstructed by Ethereal [20]. Figure 3.5 shows this reconstruction, in which highlighted frame is previously labeled as DoS from 128.235.34.132 to 128.248.170.138.

Under the same database structure used for the training set, the DARPA 98 Datasets, the captured network traffic data are logged into the database called

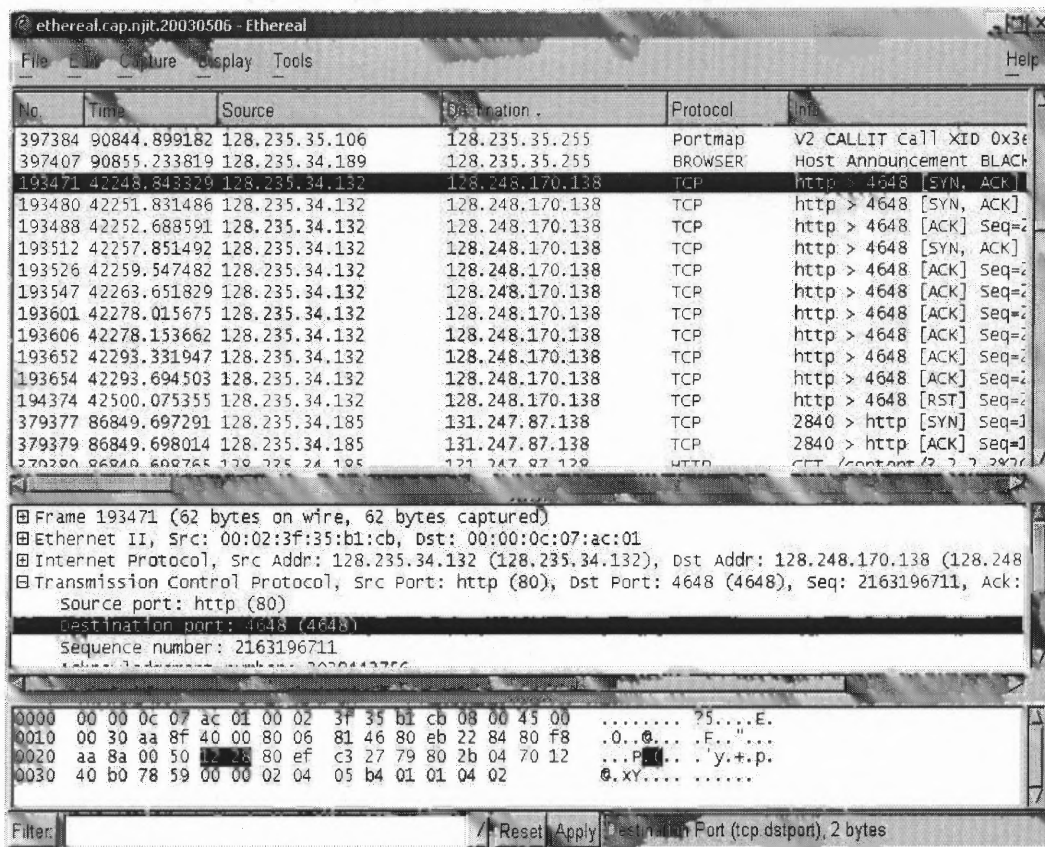


Figure 3.5 Reconstructed network traffic by Ethereal[20] showing real DoS attacks.

“realdata”. In this database, the targeted traffic information can be retrieved in many ways. For example, by carefully comparing the TCP ports and time stamps, all the traffic between host 128.235.34.132 and host 128.248.170.138 can be found, weather it is normal or malicious, as shown in Figure 3.6. These databases now contain valuable information to feed data mining algorithms in further research works.

MySQL Control Center 0.9.2 beta [ipengju@mysql.com]

Console

File Edit View Query Options Help

```

SELECT sid, cid, inet_ntoa(ip_src), inet_ntoa(ip_dst), ip_ver, ip_hlen, ip_tos, ip_len, ip_id, ip_flags, ip_off, ip_ttl, ip_proto, ip_csum
FROM iphdr
where cid=12249 and cid < 12297;
Query 1

```

#	cid	inet_ntoa(ip_src)	inet_ntoa(ip_dst)	ip_ver	ip_hlen	ip_tos	ip_len	ip_id	ip_flags
1	12250	128.248.170.138	128.235.34.132	4	5	0	1420	43313	
2	12251	128.248.170.138	128.235.34.132	4	5	0	1420	43314	
3	12252	128.235.34.165	255.255.255.255	4	5	0	84	53157	
4	12253	128.248.170.138	128.235.34.132	4	5	0	1420	43835	
5	12254	128.235.35.106	128.235.35.255	4	5	0	132	13405	
6	12255	128.235.35.106	128.235.35.255	4	5	0	132	13406	
7	12256	128.248.170.138	128.235.34.132	4	5	0	1420	44254	
8	12257	128.235.34.165	255.255.255.255	4	5	0	84	62117	
9	12258	128.248.170.138	128.235.34.132	4	5	0	1420	44619	
10	12259	128.235.35.106	128.235.35.255	4	5	0	132	13407	
11	12260	128.235.34.165	255.255.255.255	4	5	0	84	5542	
12	12261	128.235.35.106	128.235.35.255	4	5	0	132	13408	
13	12262	128.248.170.138	128.235.34.132	4	5	0	1420	45282	
14	12263	128.235.34.249	255.255.255.255	4	5	0	84	41492	
15	12264	128.235.34.249	255.255.255.255	4	5	0	84	50196	
16	12265	128.248.170.138	128.235.34.132	4	5	0	1420	45842	
17	12266	128.235.34.165	255.255.255.255	4	5	0	84	14502	
18	12267	128.235.35.106	128.235.35.255	4	5	0	132	13409	
19	12268	128.235.35.106	128.235.35.255	4	5	0	132	13410	

Result 1

↓/1 row in set (0.07) sec  
↓/Empty set (0.08) sec  
↓/37 rows in set (0.07) sec  
↓/37 rows in set (0.07) sec  
Messages: History Explain

Executing Query Read Only

Figure 3.6 Real DoS attack packets between host 128.235.34.132 and host 128.248.170.138 in the NJIT Network Traffic Database.

## CHAPTER 4

### CONCLUSIONS AND FUTURE WORK

In this research, a workable preliminary procedure has been established to build up the network traffic data warehouse, which thus forms the basis of the automated intrusion detection signature generator.

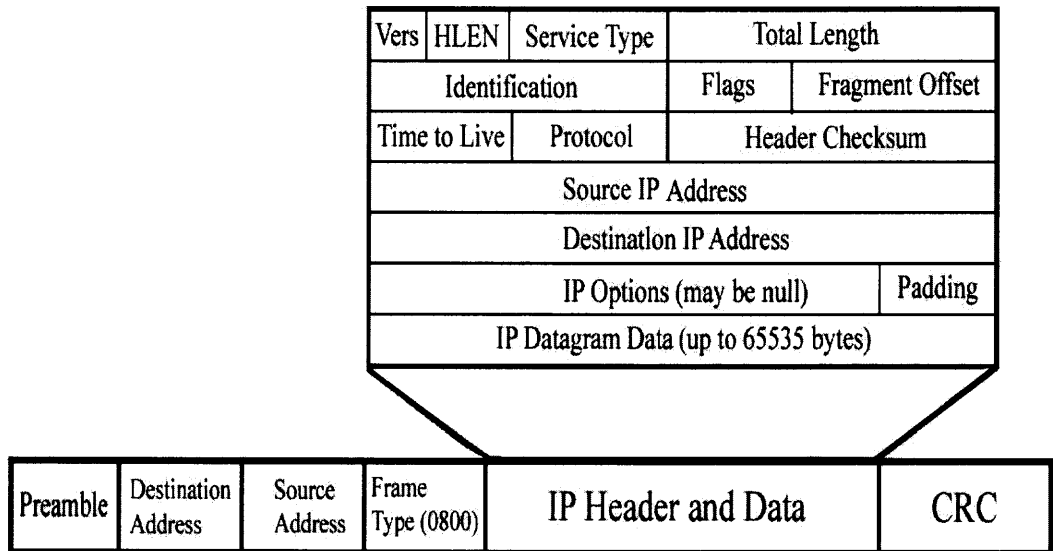
It has to be pointed out that the data analyzer and logger used in this research is still very crude. It only dissects IP, TCP, UDP and ICMP data streams. More protocol analysis modules need to be added. The database schema also needs improvement for this matter. It will be great news for researchers in the field of Intrusion Detection if Ethereal is rendered database connectivity, given the fact that Ethereal can dissect more 200 protocols as of the time of writing this thesis [20].

Fighting against network intrusion is such a charming yet extremely challenging job that it demands prolonged enthusiasm and continuous hard working. It is obviously true that the more people pay attention to security issues, the safer the Internet would be.

**APPENDIX A**  
**MAJOR NETWORK PROTOCOLS**

**A.1 Internet Protocol**

Figure A.1 shows an Internet Protocol version 4 packet is embedded in an Ethernet Frame, which is indicated in the Frame Type field with a hexadecimal value 0080. In the IP packet, IP header occupies the first 20 bytes of space (top five rows in the figure). There have been some changes in the meaning of data fields since the Internet Protocol was established. For example, Time to Live now means "how many hops (routers) the packet can pass before it expires (dropped by the system that receive it). Protocol field is a very informative; it implies what kind of data is embedded after IP header. A decimal value of 17 means it is UDP; 6, TCP and 1, ICMP.



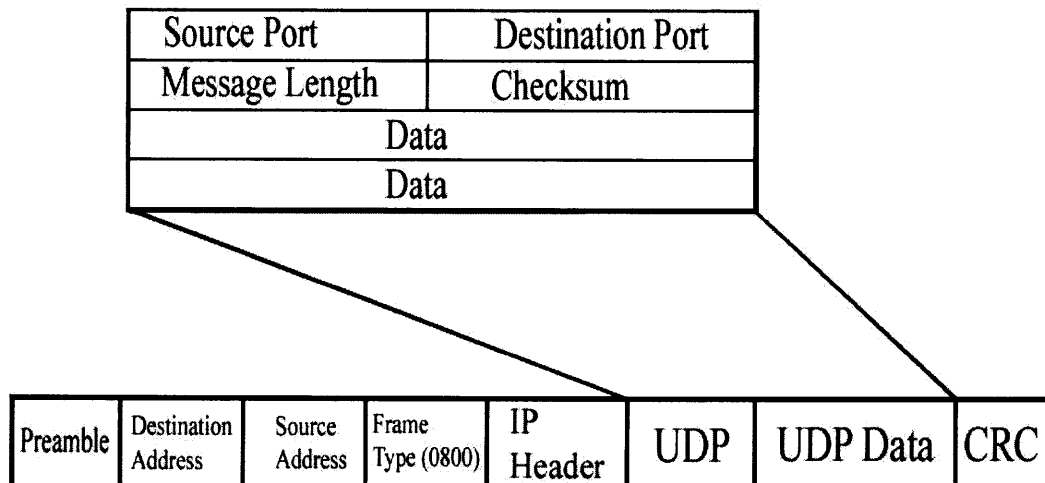
Ethernet Data

**Figure A.1** IP packet format and ethernet packet format, IP packet is encapsulated in an ethernet packet.

## A.2 User Datagram Protocol

User Datagram Protocol (UDP) is a connectionless, unreliable transport service. It does not provide mechanism to ensure connection. It does not acknowledge. It does not re-order the received packets. It may lose or duplicate data packets during transmission. Further more, it has no error messaging functions to inform the upper layer applications or to request a re-send from the sender. It is the duty of the application program, which employs UPD at transport-layer, to ensure the quality of service.

Even though having these unreliable features, UDP is still widely used by many applications such as IP phone applications and online multimedia services that demand speed more than stability. UDP is faster than TCP because it has much less overhead and simpler procedures to transfer data. An embedded UDP packet is shown in Figure A.2.



**Figure A.2** UDP packet format, UDP packet is encapsulated in an IP packet, which is encapsulated in an ethernet packet.

### A.3 Transmission Control Protocol

Transmission Control Protocol (TCP) is a reliable connection-oriented protocol employed by many applications that require stable connections between two hosts to ensure correct data transfer. TCP guarantees the reliability by the following mechanisms:

- TCP uses unique sequence numbers to identify the data sent to destinations.
- TCP uses acknowledgement numbers to inform the sender which exact data has been received. Acknowledgement numbers are generated by adding 1 to the corresponding sequence number.
- TCP uses three-way handshake procedure to establish a exclusive unicast connection between two hosts.

Figure A.3 shows the organization of a TCP packet. *SYN* flag is used at startup to establish the connection. *FIN* flag is used to terminate the existing connection.

Source Port				Destination Port			
Sequence Number							
Acknowledgement Number							
Data Offset	Reserved	U R G	A C K	P S H	R S T	S Y N	F I N
Checksum				Window			
Options				Urgent Pointer			
Options				Padding			
TCP Data							

**Figure A.3** TCP packet format, which is encapsulated in the same way as UDP is shown in Figure A.2.

## APPENDIX B

### SITE SPECIFIC CONFIGURATIONS

#### B.1 MySQL Configuration

MySQL 4.0 configuration used in this thesis is shown in Figure B.1. In order to avoid system configuration conflicts with Windows 2000, “localhost” is used as the bind-address, instead of the real IP address of the server.

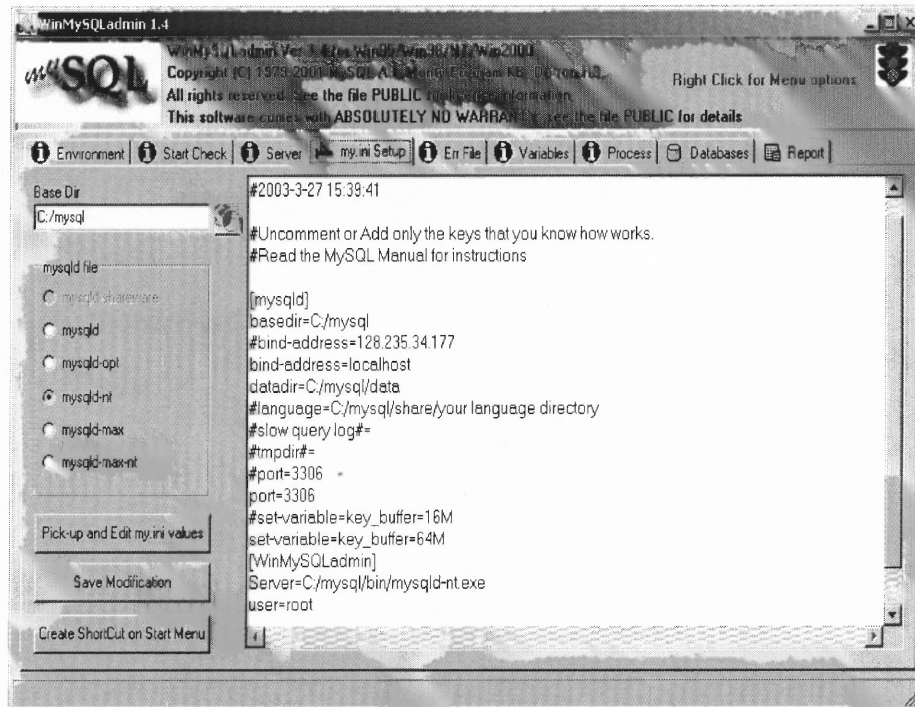


Figure B.1 Local host MySQL server configuration for MySQL 4.0 running on Windows 2000 Professional.



## B.2 Snort Configuration

The snort configuration file is included in below. It is modified according to the default setting of Snort 2.0. This configuration has been tested on Windows 2000 with MySQL 4.0 in the given environment.

```
#####  
# The Snort Configuration File  
#####  
  
var HOME_NET any  
  
var EXTERNAL_NET any  
  
# List of DNS servers  
var DNS_SERVERS $HOME_NET  
  
# List of SMTP servers  
var SMTP_SERVERS $HOME_NET  
  
# List of web servers  
var HTTP_SERVERS $HOME_NET  
  
# List of sql servers  
var SQL_SERVERS $HOME_NET  
  
# List of telnet servers  
var TELNET_SERVERS $HOME_NET  
  
# Ports you run web servers on  
var HTTP_PORTS 80  
  
# Ports you want to look for SHELLCODE on.  
var SHELLCODE_PORTS !80  
  
# Ports you do oracle attacks on  
var ORACLE_PORTS 1521  
  
# Path to the rules files
```

```
var RULE_PATH c:/snort/rules

# Configuration for preprocessors

preprocessor frag2

preprocessor stream4: detect_scans, disable_evasion_alerts

preprocessor stream4_reassemble

preprocessor http_decode: 80 unicode iis_alt_unicode double_encode
                        iis_flip_slash full_whitespace

preprocessor rpc_decode: 111 32771

preprocessor bo: -nobrute

preprocessor telnet_decode

preprocessor conversation: allowed_ip_protocols all, timeout 60,
                        max_conversations 32000

# The output plugin used for MySQL database
output database: log, mysql, user=pengfu password=12345678
                dbname=realdata host=localhost
                port=3306 sensor_name=<nobody>

# Include classification & priority settings
include c:\snort\etc\classification.config

# Include reference systems
include c:\snort\etc\reference.config

#
# Include rule sets
include $RULE_PATH/bad-traffic.rules
include $RULE_PATH/exploit.rules
include $RULE_PATH/scan.rules
include $RULE_PATH/finger.rules
include $RULE_PATH/ftp.rules
```

```
include $RULE_PATH/telnet.rules
include $RULE_PATH/rpc.rules
include $RULE_PATH/rservices.rules
include $RULE_PATH/dos.rules
include $RULE_PATH/ddos.rules
include $RULE_PATH/dns.rules
include $RULE_PATH/tftp.rules

include $RULE_PATH/web-cgi.rules
include $RULE_PATH/web-coldfusion.rules
include $RULE_PATH/web-iis.rules
include $RULE_PATH/web-frontpage.rules
include $RULE_PATH/web-misc.rules
include $RULE_PATH/web-client.rules
include $RULE_PATH/web-php.rules

include $RULE_PATH/sql.rules
include $RULE_PATH/x11.rules
include $RULE_PATH/icmp.rules
include $RULE_PATH/netbios.rules
include $RULE_PATH/misc.rules
include $RULE_PATH/attack-responses.rules
include $RULE_PATH/oracle.rules
include $RULE_PATH/mysql.rules
include $RULE_PATH/snmp.rules

include $RULE_PATH/smtp.rules
include $RULE_PATH/imap.rules
include $RULE_PATH/pop3.rules
include $RULE_PATH/pop2.rules

include $RULE_PATH/nntp.rules
include $RULE_PATH/other-ids.rules
include $RULE_PATH/experimental.rules
include $RULE_PATH/local.rules
```

## APPENDIX C

### CODE SAMPLES

The code listed here contains two parts. Part one is a basic network packet dumper, and part two is a basic data logger engine. Both parts are written in C Language. Based on the assumption that users of the code have basic knowledge about C, network, and MySQL C API, only the core routines are shown for better understanding, all the other unnecessary details are omitted. If any problem is encountered, refer to Reference [15], [18] and [19] for a more comprehensive description.

#### C.1 A Basic Network Traffic Dumper

```
/* Copyright information coming with LibPcap library
 *
 * Copyright (c) 1993, 1994, 1995, 1996, 1997
 * The Regents of the University of California. All rights
 * reserved.
 *
 * Redistribution and use in source and binary forms, with or
 * without modification, are permitted provided that the following
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```

```

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* PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE,
* DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED
* AND ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT
* LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING
* IN ANY WAY OUT OF THE USE OF THIS SOFTWARE, EVEN IF ADVISED OF
* THE POSSIBILITY OF SUCH DAMAGE.
*
*/

..... /*necessary "include"s*/

#include <pcap.h> /*the libpcap library header*/
#include <sys/socket.h>
#include <netinet/in.h>

...../*necessary declarations*/

int main(int argc, char* argv){

    pcap_t *packet; /*the packet capture descriptor*/
    pcap_dumper_t * _datafile; /*pointer to he datafile that
                                the data will be written into*/
    char interface_name[16]; /*name of the network interface
                              on the host*/
    char errbuf[PCAP_ERRBUF_SIZE]; /*buffer for error messages*/
    int snaplen; /*maximum number of bytes to capture in a packet*/
    int promisc; /*flag to determine if the interface is
                 in promiscuous mode or not*/
    int to_ms; /*read timeout in milliseconds*/
    char datafile[32]; /*the datafile that captured packets
                       will be written into*/
    int count; /*number of packets that are designated to capture*/
    int packetnumber; /*number of packets read
                      during a live capture*/

```

```

/*First, pcap looks up the network interfaces
   on which it will capture packets*/
if(!(interface_name=pcap_lookupdev(errbuf))){
    fprintf(stderr, "Error Message, %s\n",
            interface_name, errbuf);
    exit(1);
}

/*Open the network device interface to capture packets*/
if(!(packet=pcap_open_live(interface_name, snaplen, promisc,
                           to_ms, errbuf))){
    fprintf(stderr, "Cannot open network interface %s: %s\n",
            interface_name, errbuf);
    exit(1);
}

/*Open the dump device to write captured packet
   data into a datafile*/
if((_datafile=pcap_dump_open(packet, datafile))==NULL){
    fprintf(stderr, "cannot open datafile!\n")
    exit(1);
}

/*pcap_dispatch() is used to collect and process packets
   on the opened interface. Then it passes the collected
   packets to pcap_dump(), which will out the packets to
   the saved datafile. pcap_dispatch() will return when
   the number of count packets have been collected. instead
   of using pcap_dispatch(), we can also use pcap_loop()
   in the similar way.*/
if((packetnumber=pcap_dispatch(packet, count, &pcap_dump,
                               (u_char *)_datafile))<0){
    fprintf(stderr, "cannot capture packets on interface %s:",
            interface_name);
    exit(0);
}

pcap_dump_close(_datafile); /*saved data file is closed*/
pcap_close(packet); /*packet descriptor opened by
                    pcap_open_live() is closed*/
}

```

## C.2 A Basic Network Traffic Data Logger Engine

```

/*=====
* The same copyright information as listed above
* =====
* The following is a sample data logger with connectivity to
* MySQL. It reads the saved data file previously dumped by the
* data capture engine, it then logs into the MySQL database
* server running on localhost, and then inserts the data items
* into relevant tables.
* =====*/

..... /*necessary "include"s*/

#include <pcap.h> /*the libpcap library header*/
#include <sys/socket.h>
#include <netinet/in.h>

#include <mysql.h> /*MySQL C API headers*/
#include <my_global.h> /* MySQL C API header*/

...../*necessary declarations*/

int main(int argc, char *argv ){

    pcap_t *packet; /*the packet capture descriptor*/
    pcap_dumper_t * _datafile; /*pointer to the datafile that
                                the data will be written into*/
    char interface_name[16]; /*name of the network
                              interface on the host*/
    char errbuf[PCAP_ERRBUF_SIZE]; /*buffer for error messages*/
    int snaplen; /*maximum number of bytes to capture in a packet*/
    int promisc; /*flag to determine if the interface
                 is in promiscuous mode or not*/
    int to_ms; /*read timeout in milliseconds*/
    char datafile[32]; /*the datafile that captured
                       packets will be written into*/
    int count; /*number of packets that are designated to capture*/
    int packetnumber; /*number of packets read*/
    struct pcap_pkthdr *packet_header; /*packet header*/
    u_char* packet_data; /*pointer to packet data*/

```

```

char* host_name = "localhost"; /*server host (default==localhost)*/
char* user_name = "pengfu"; /*user name (default == login name)*/
char* password = "12345678"; /*password(default == none)*/
unsigned int port_num = 3306; /*default mssql port number*/
char* socket_name = NULL; /*socket name (use build-in value)*/
char* db_name = "realdata"; /*database name (default == none)*/
unsign int flags = 0; /*connection flags
                        (0 when no connection option chosen)*/

MYSQL *connection; /*pointer to connection handler*/

char* query;

/* prototype function that handles the operations on MySQL
   database. mysql_db() also serves as the callback function
   called by pcap_loop()*/
void mysql_db(MYSQL *, u_char *);

/*prototype function that handles the insertion on MySQL
   database. it returns 0 when succeeds, otherwise fails*/
int myInsert(char *, u_char *);

/*pcap_open_offline() opens a saved file, presents it
   for reading if pcap_open_offline()fails, it returns NULL*/
if((packet=pcap_open_offline(argv[1], errbuf))==NULL){
    fprintf("cannot open file %s\n", argv[1]);
    exit(1);
}

/*when pcap_loop() finds packets (returns positive integer)
   or there is no error occuring (returns zero), packet data is
   inserted into the relational database*/
while((packetnumber=pcap_loop(packet, count, &mysql_db, NULL))>=0){

    /*pcap_next() reads the next packet
       and returns a pointer to data in that packet*/
    if((packet_data=pcap_next(packet, packet_header))==NULL){
        fprintf("Error: %s\n", pcap_geterr(packet));
        exit(1);
    }
}

```



```

}

mysql_db(connection, packet_data){

    /*initialize the connection handler*/
    if((connection = mysql_init())==NULL){
        fprintf(stderr, "fail to initialize the connection\n");
    };

    /*this function connects to the mysql server.*/
    if((mysql_real_connect(connection, host_name, user_name,
        password, db_name, port_name,
        socket_name, flags))==NULL){
        fprintf(stderr, "fail to connect to mysql server:\n%s",
            mysql_error(connection));
        mysql_close(connection);
        exit(1);
    }

    /*This is a customly defined insert function
    that inserts packet data into database*/
    if(!myInsert(query, packet_data)){
        fprintf(stderr, "insertion failed\n%s",
            mysql_error(connection));

        exit(1);
    }
};
}

/*if other query are demanded, the following function
can be used to conduct the query.
however, mysql_real_query() must be used here because
captured data is in binary format, which contains '\0'
character.*/
if(!mysql_real_query(connection, query, strlen(query))){
    fprintf(stderr, "query failed \n%s", mysql_error(connection));
}

```

```
/*connection to mysql server is closed*/  
    mysql_close(connection);  
  
/*packet descriptor opened by pcap_open_offline() is closed*/  
pcap_close(packet);  
  
return 0;  
}
```

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